

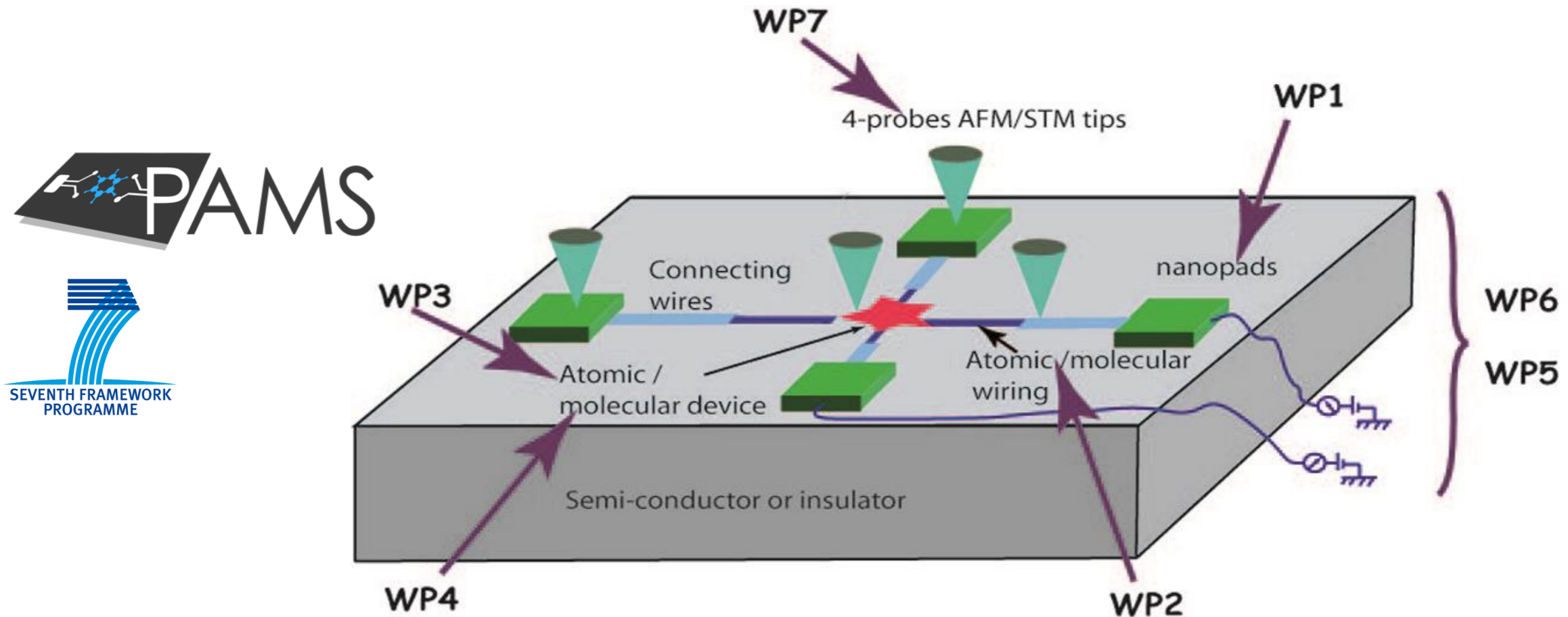
# Gold monolayer islands on a polar AlN(0001) surface

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Toulouse, France



# Goal: metallic nano-islands on an insulator molecular electronics applications



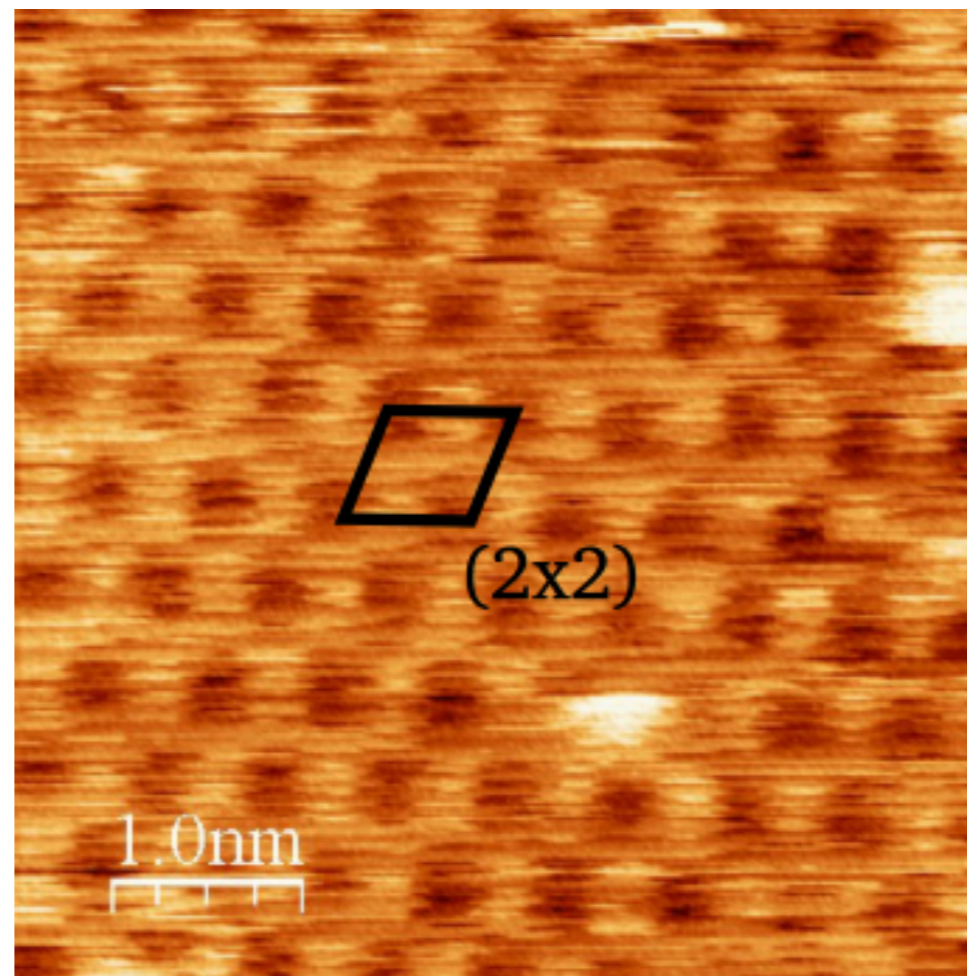
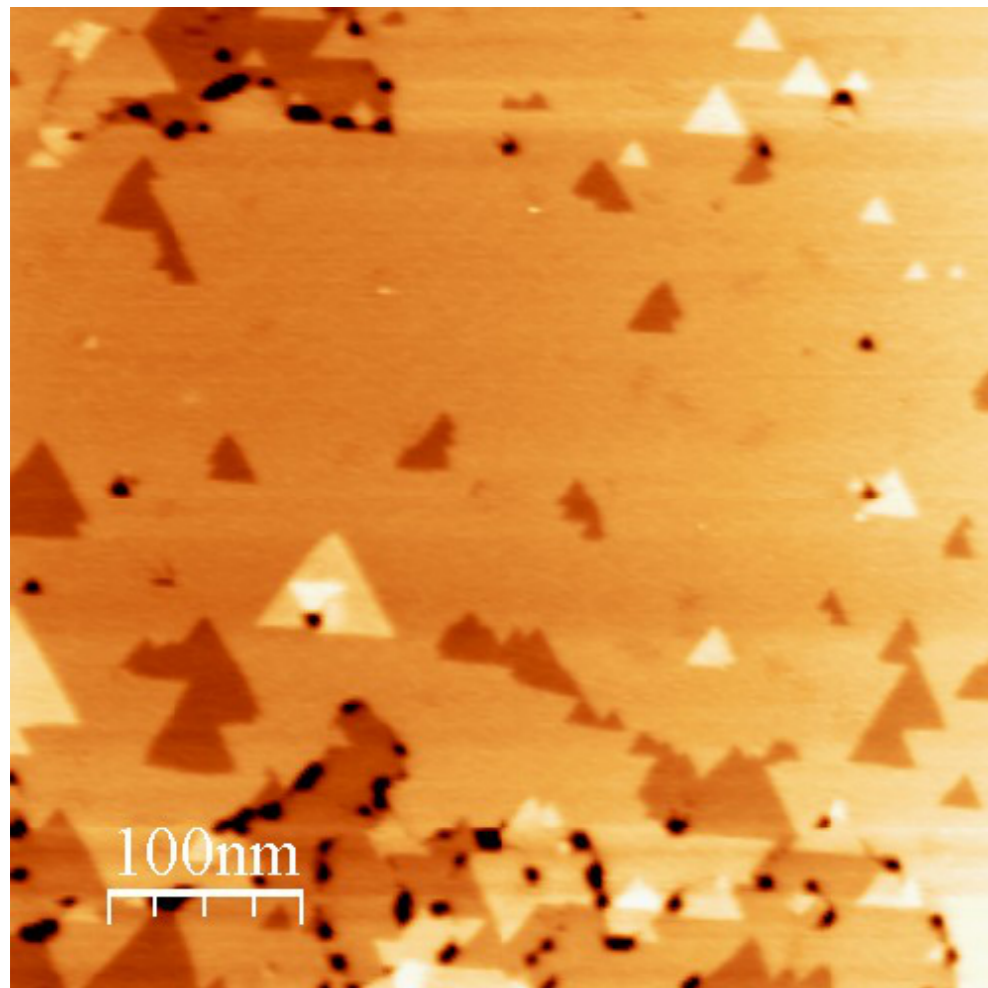
- Acting as electron reservoirs
- Relatively flat (one monolayer height ideally)
- Local-probe based methods: observation / manipulation
- Keep intact the electronic properties of a molecule
- Atomic control of the surface

# Aluminium nitride

III-V semiconductor with  $E_g = 6.2 \text{ eV}$

MBE growth ( $\text{NH}_3$ )  $\sim 100 \text{ nm}$  2H-AlN on a 4H-SiC substrate @  $990 \text{ }^\circ\text{C}$

nc-AFM images @ RT



$$a = 6.22 \text{ \AA}$$

# Aluminium nitride

Polar materials



Alternation of  
positively and negatively charged planes



electrostatic divergence

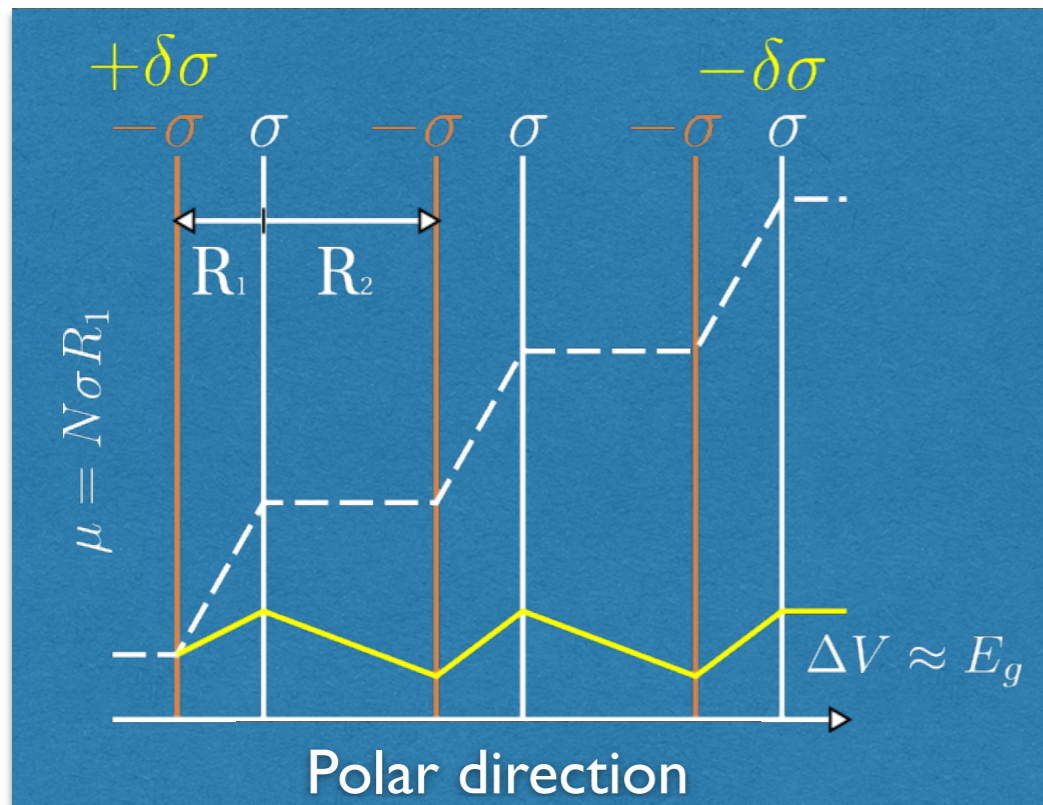


Compensation

# Aluminium nitride

## Polar materials and electrostatic divergence, compensation

First order compensation:  
lateral charge transfer



Thermodynamic  
limit

$$\delta\sigma_{\infty} = \frac{\sigma R_1}{R_1 + R_2}$$

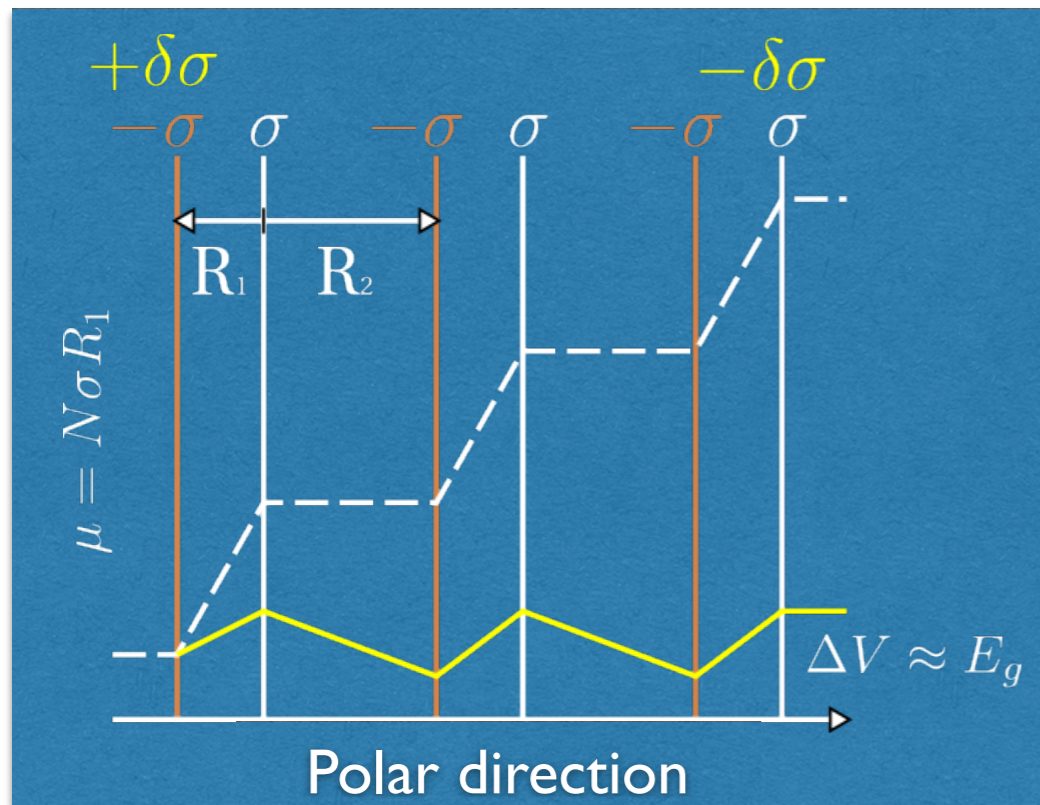
J. Goniakowski *et al.*, Rep. Prog. Phys. **71**, 016501 (2008)

C. Noguera, J. Phys.: Condens. Matter **12** (2000) R367–R410

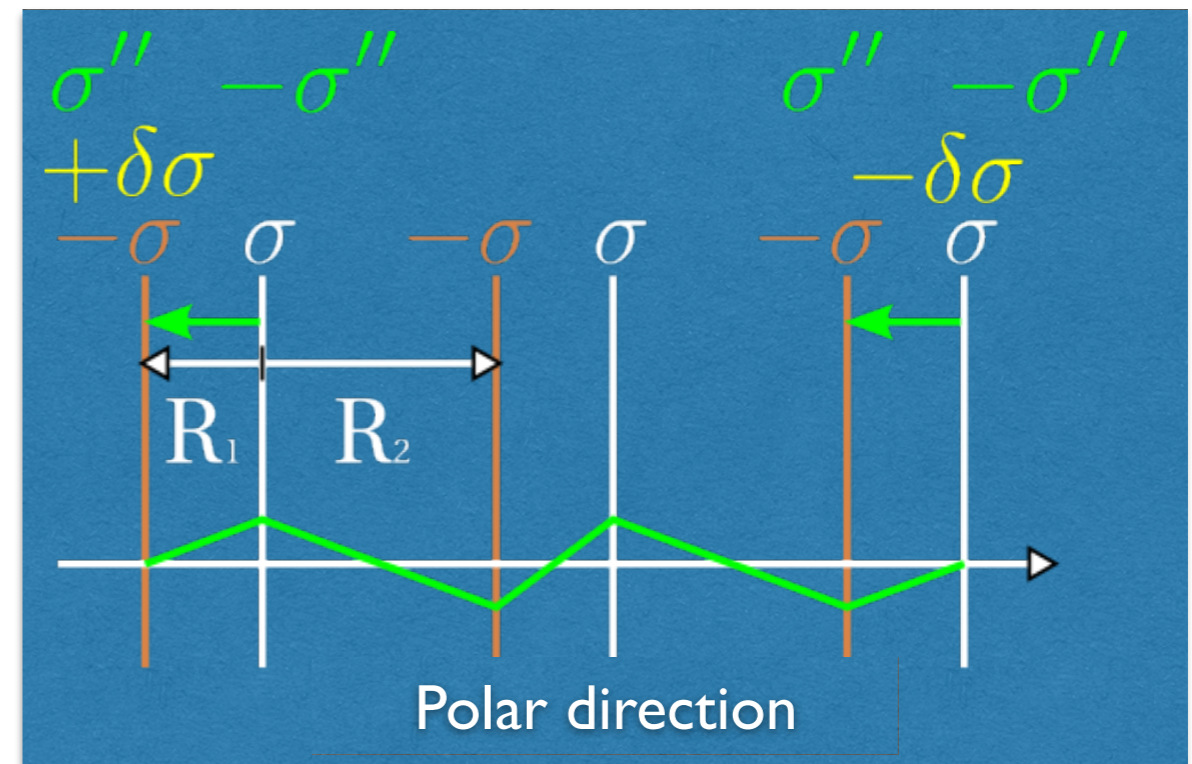
# Aluminium nitride

## Polar materials and electrostatic divergence, compensation

First order compensation:  
lateral charge transfer



Second order compensation:  
Relaxation: surface dipoles



Thermodynamic  
limit

$$\delta\sigma_{\infty} = \frac{\sigma R_1}{R_1 + R_2}$$

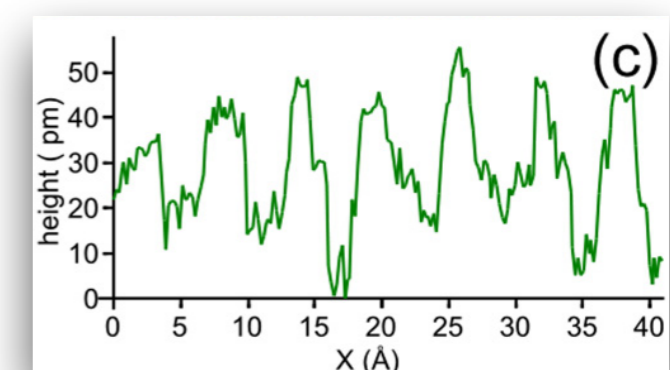
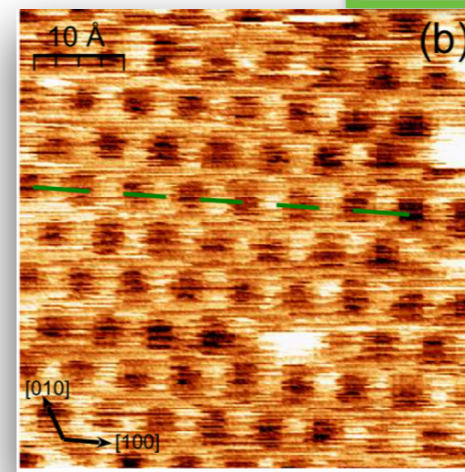
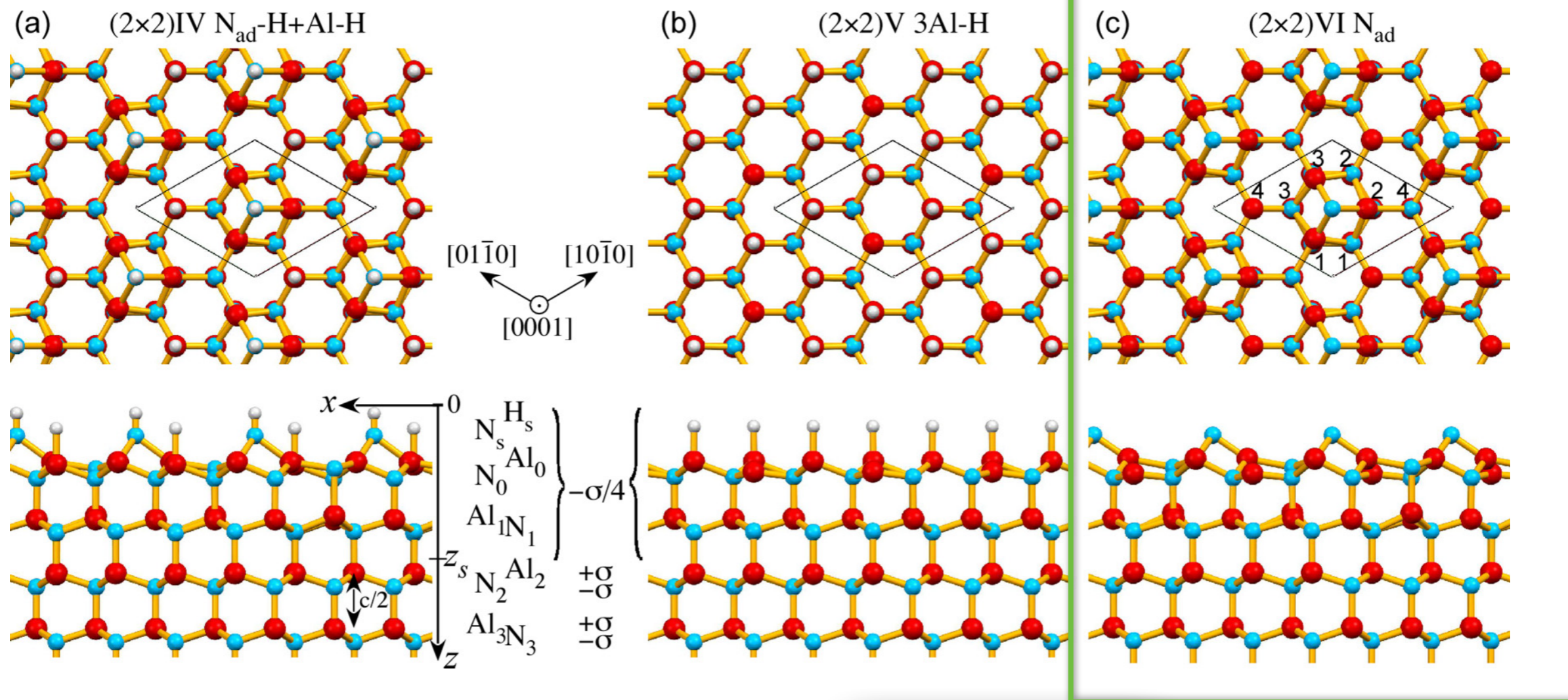
$$\sigma'' = \sigma \frac{R_1}{2(R_1 + R_2)}$$

Wurtzite AlN

$$\delta\sigma = \frac{\sigma}{4}$$

J. Goniakowski *et al.*, Rep. Prog. Phys. **71**, 016501 (2008)  
C. Noguera, J. Phys.: Condens. Matter **12** (2000) R367–R410

# Aluminium nitride



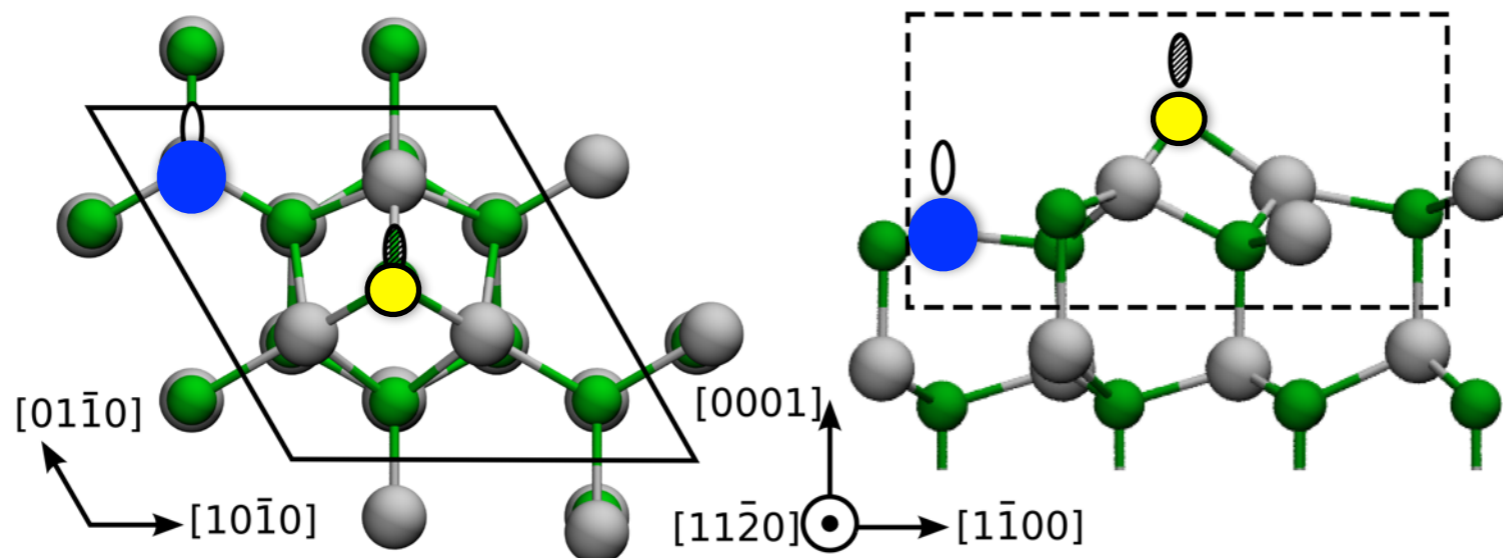
F. Chaumeton *et al.* Phys. Rev. B **94**, 165305 (2016)

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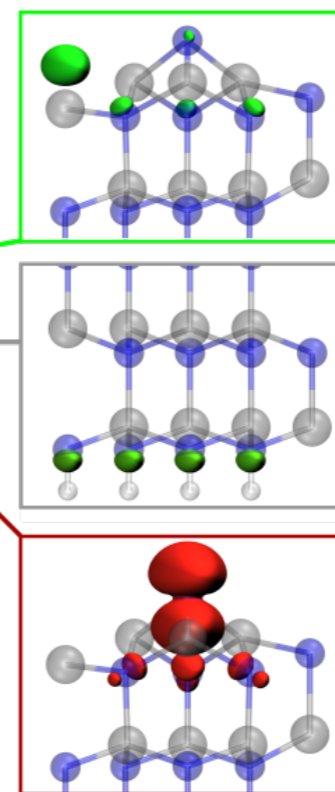
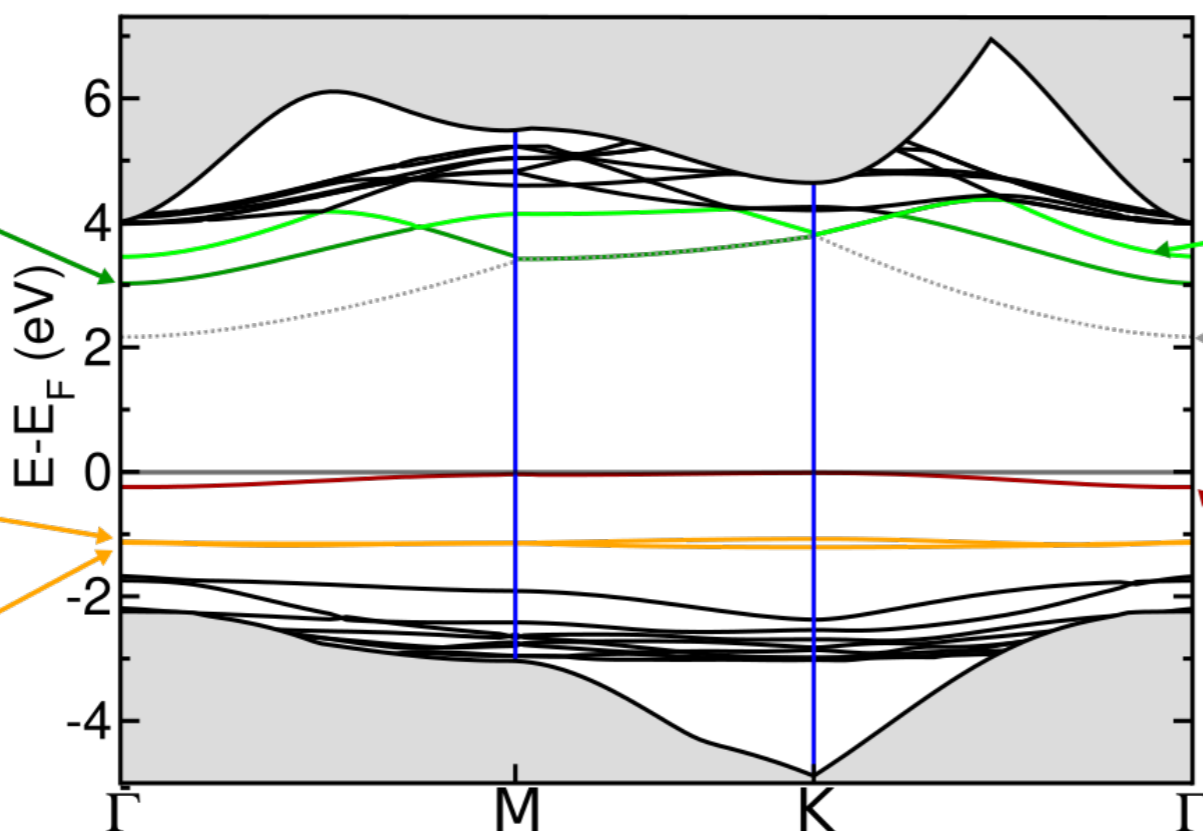
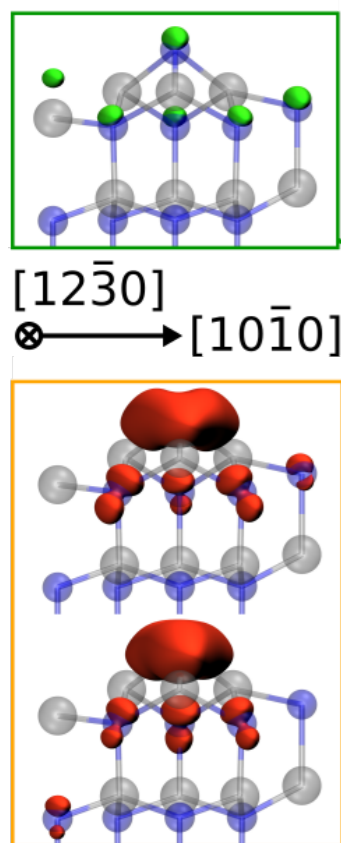
# (2x2)-N<sub>ad</sub> reconstruction

DFT  
PBE  
HSE06



- Al sp<sup>3</sup>
- Al sp<sup>2</sup>
- N bulk
- N<sub>ad</sub>

Donor sites: N<sup>2-</sup>  
Acceptor sites: Al sp<sup>2</sup>

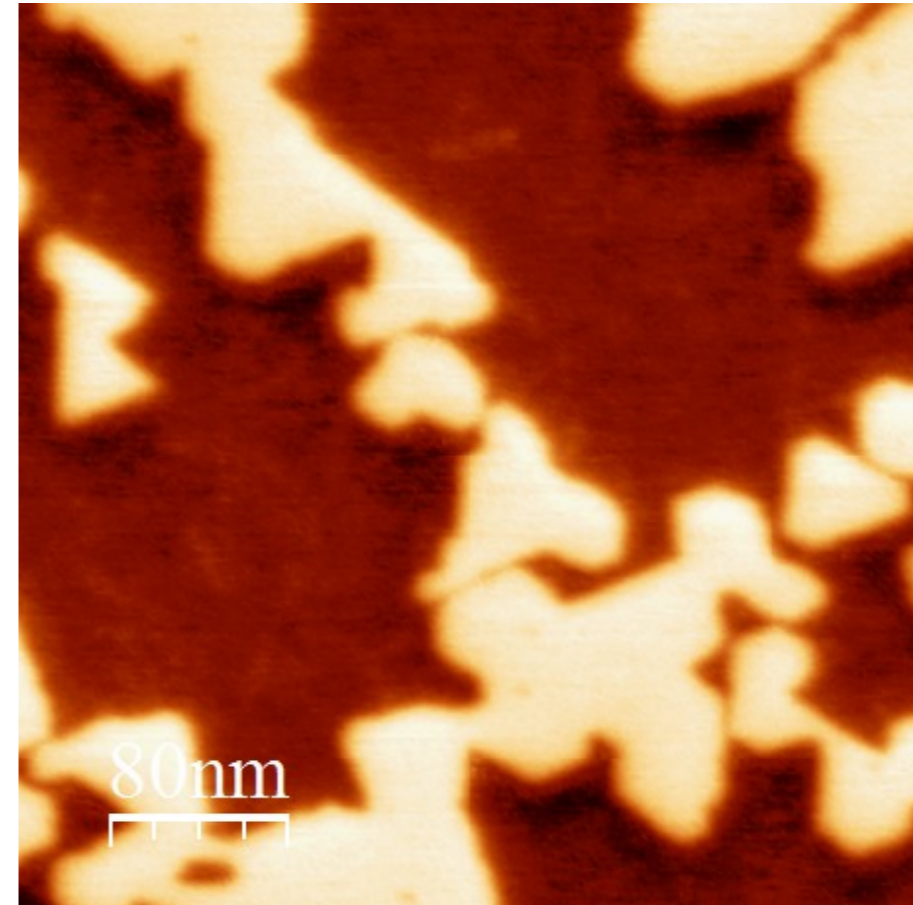
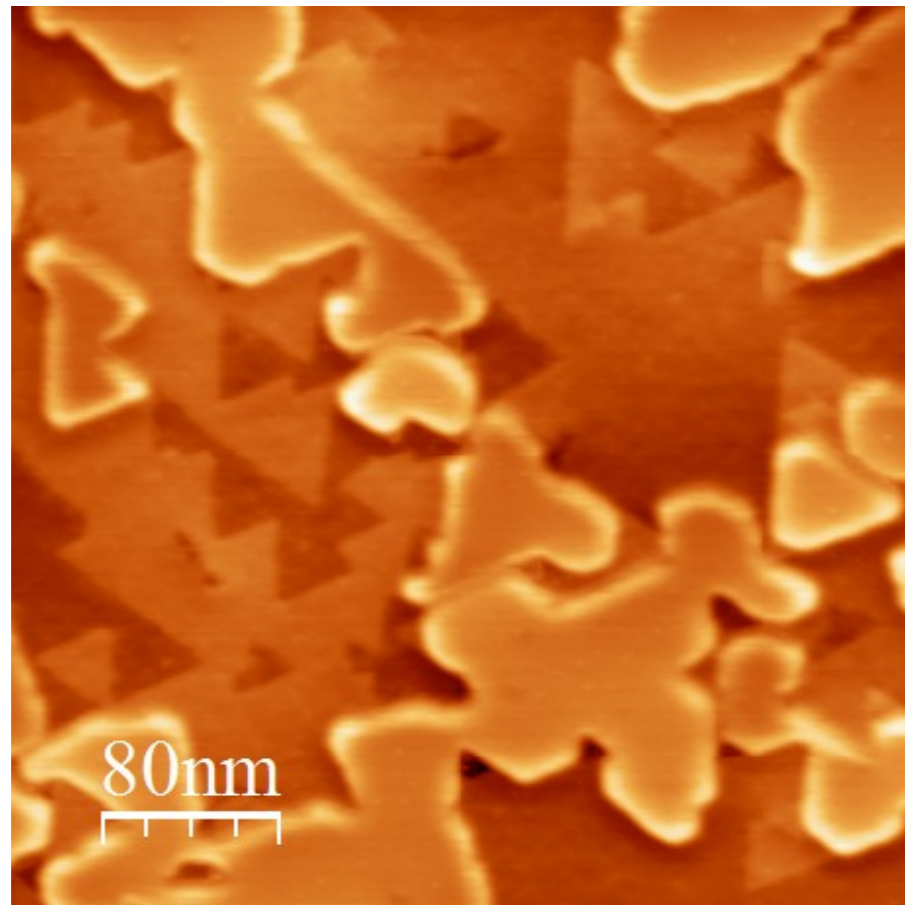


Surface states  
in the gap

- flat bands (N p<sub>z</sub>)
- disperse bands (Al sp<sup>2</sup>)
- two bands (σ bonds, N p<sub>x</sub> and N p<sub>y</sub>)



# Aluminium nitride with gold

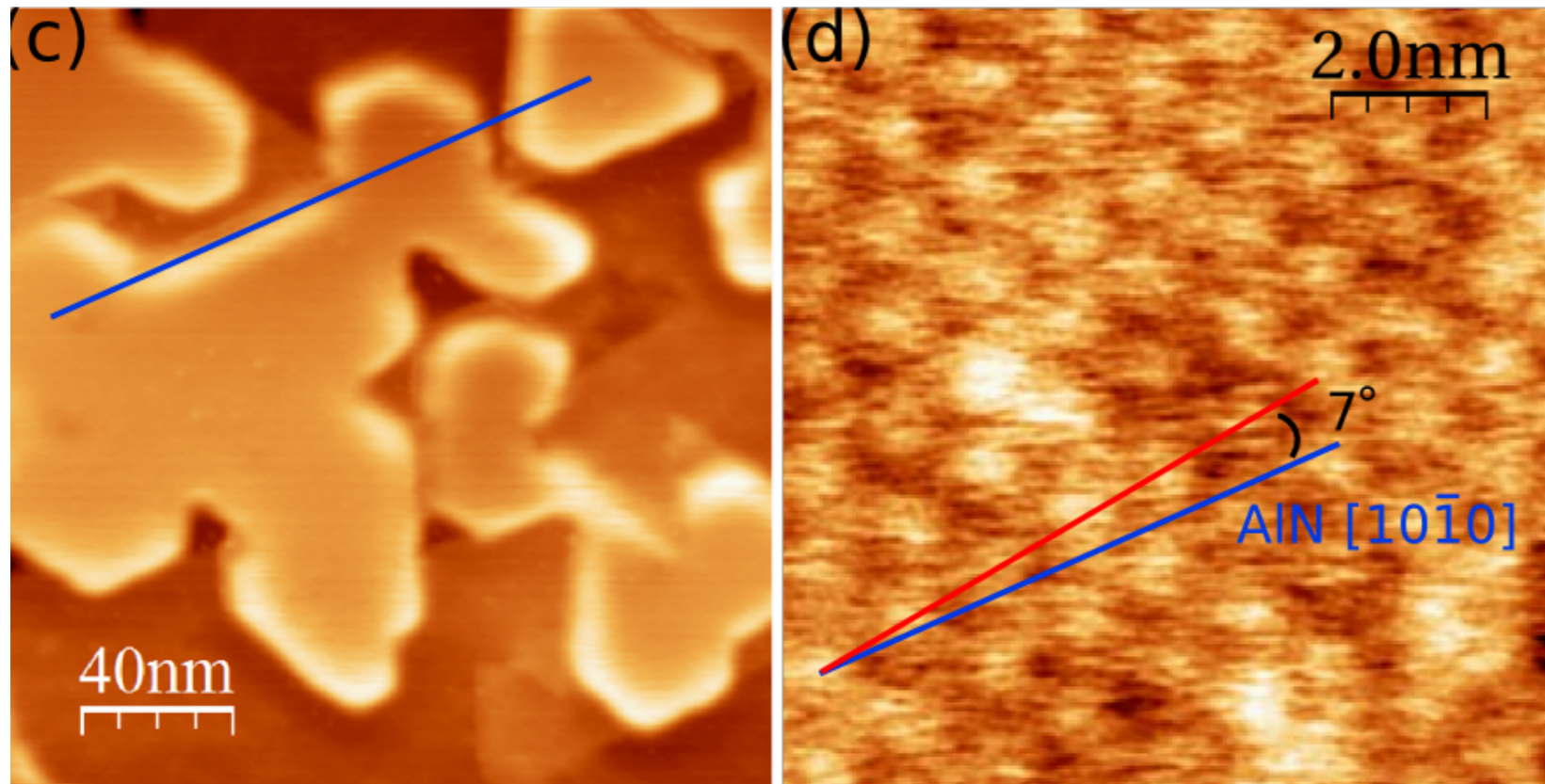


What is the atomic structure of the gold islands?

What is the stabilization mechanism of gold on this insulating substrate?

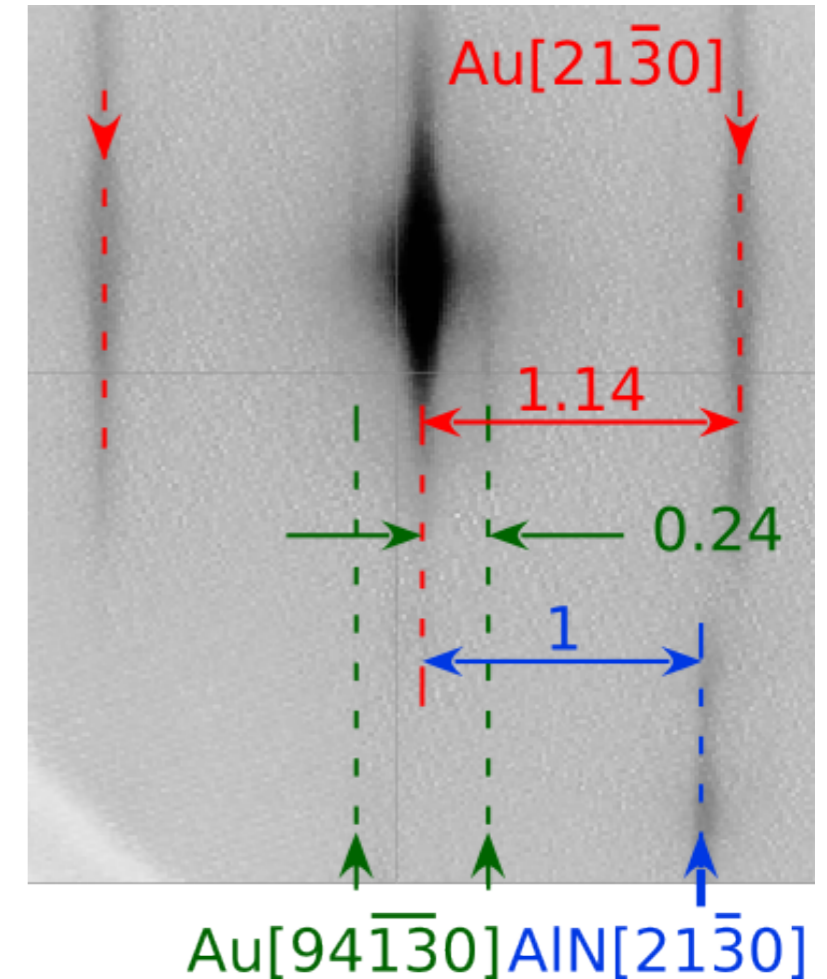
# Aluminium nitride with gold

Au 2D monoatomic high islands on AlN(0001)  
the experimental structure at **RT**



NC-AFM at RT: observation of an hexagonal pattern with  $A=2.6 \pm 0.1$  nm and angle close to  $7^\circ$

In situ RHEED after gold deposition in the MBE



Reconstructed RHEED pattern  
obtained by summation from  $6$  to  $11^\circ$   
after AlN[21-30]

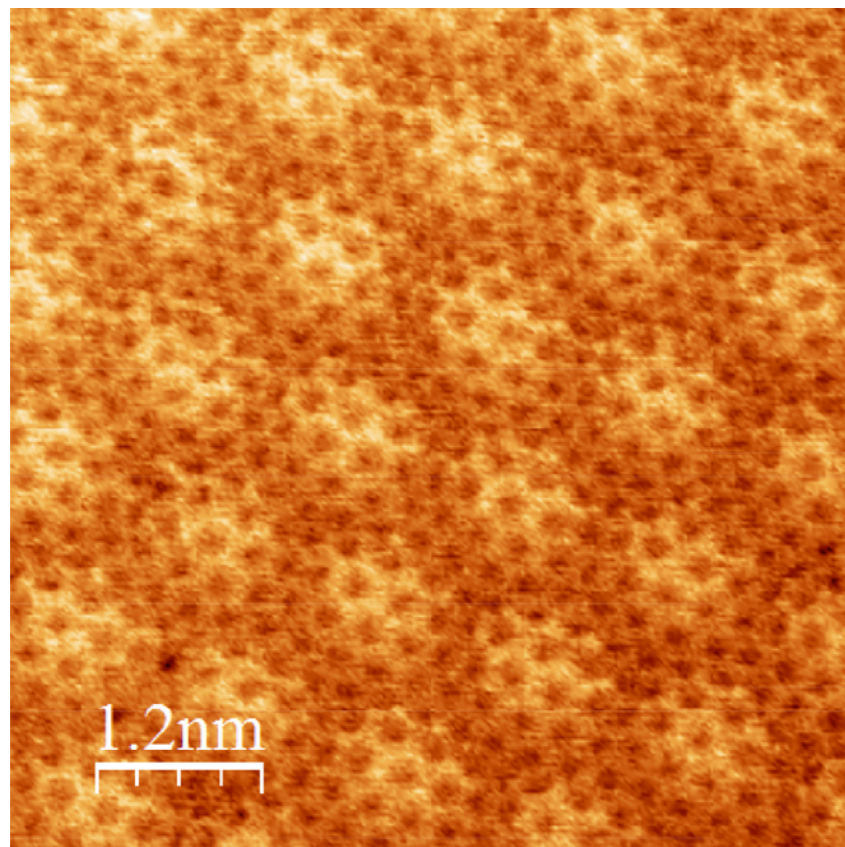
# Aluminium nitride with gold

Au 2D monoatomic high islands on AlN(0001):  
atomically resolved structure at **4K**

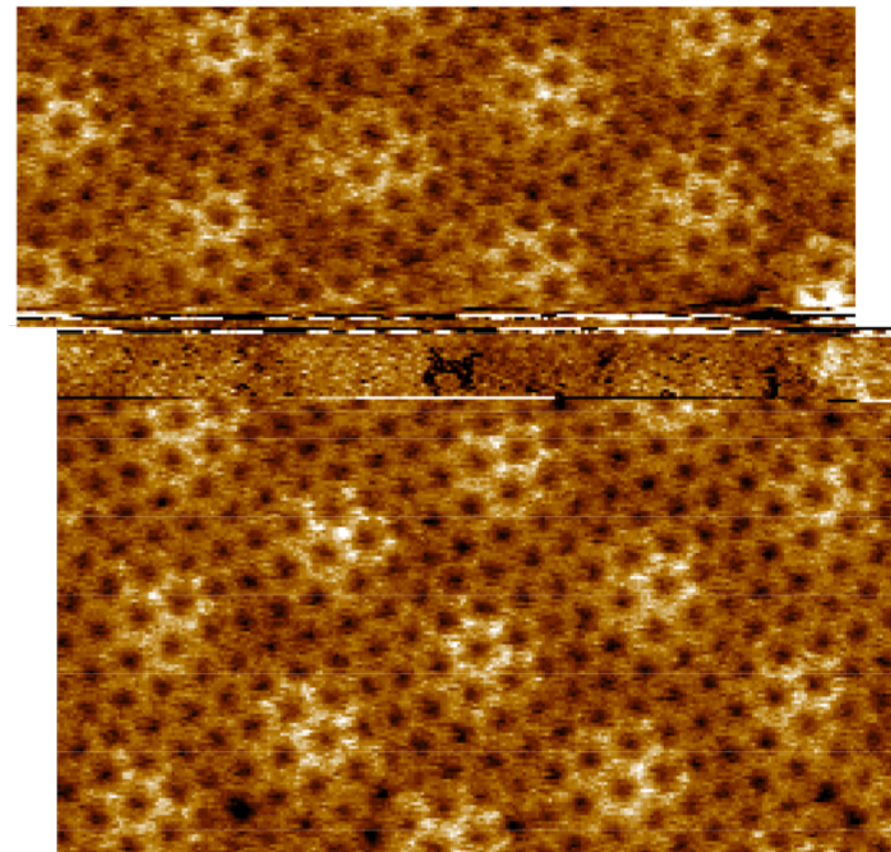
⇒ Sample was transferred under UHV for low temperature characterization with Qplus ncAFM

⇒ Observation of two moiré with atomic resolution

Moiré M1

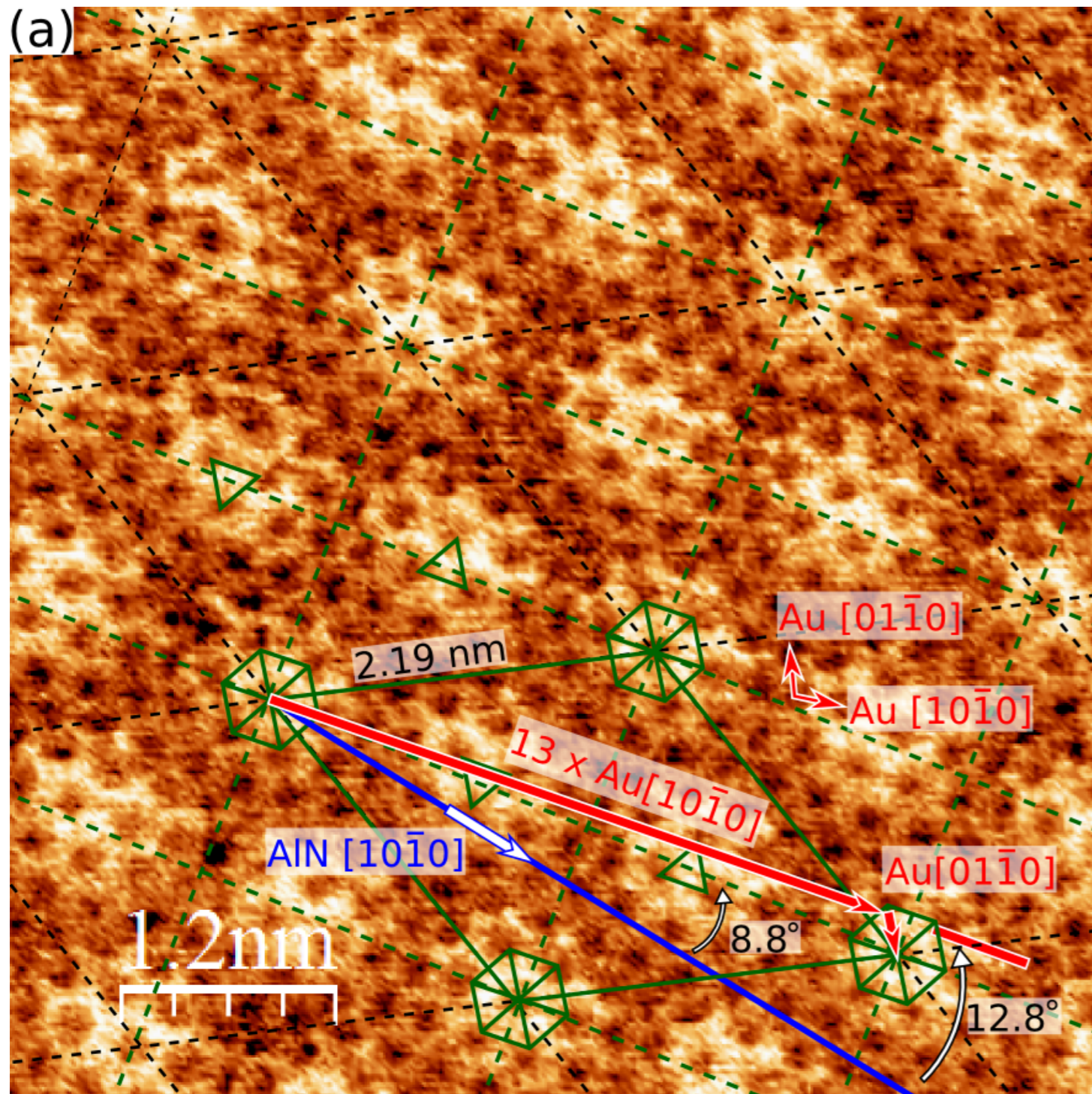


Moiré M2



The topography contrast is reversed which was already observed in Qplus with very small amplitude

# Aluminium nitride with gold



## EXPERIMENTAL RESULTS

1 - Distance between nearest neighbor :

$$d_{\text{Au-inplane}} = 2.8 \pm 0.1 \text{ \AA}$$

( gold bulk value : 2.88  $\text{\AA}$  )

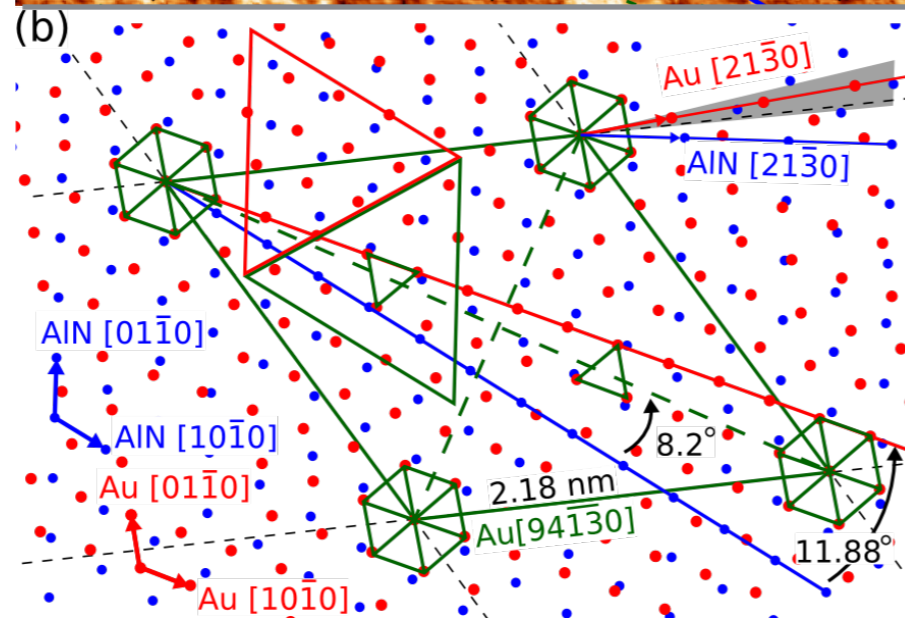
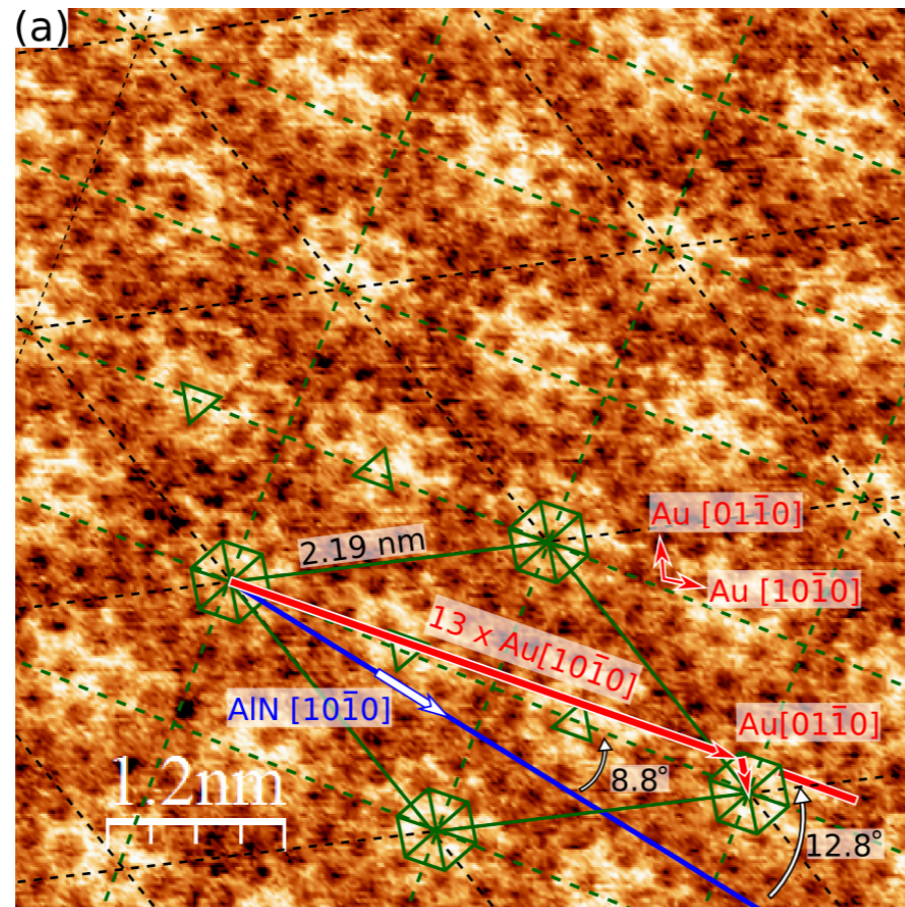
2 - Hexagonal modulation (moiré) :

$$12.6 \pm 0.5 \text{ \AA}, \text{ angle } 8.8 \pm 1^\circ$$

3 - Supercell parameters :

$$a = b = 21.9 \pm 0.2 \text{ \AA}, \text{ alpha} = 12.8 \pm 1^\circ$$

# Aluminium nitride with gold



## EXPERIMENTAL RESULTS

1 - Distance between nearest neighbor :

$$d_{\text{Au-inplane}} = 2.8 \pm 0.1 \text{ \AA}$$

( gold bulk value : 2.88 Å )

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$$12.6 \pm 0.5 \text{ \AA}, \text{ angle } 8.8 \pm 1^\circ$$

3 - Supercell parameters :

$$a = b = 21.9 \pm 0.2 \text{ \AA}, \text{ alpha} = 12.8 \pm 1^\circ$$

## EPITAXY MODEL RESULTS

1 -  $d_{\text{Au-inplane}} = 2.79 \text{ \AA}$

2 - Hexagonal modulation (moiré) :

$$12.5 \text{ \AA}, \text{ angle } 8.21^\circ$$

3 - Supercell parameters :

$$a = b = 21.8 \text{ \AA}, \text{ alpha} = 11.88^\circ$$

4 - Epitaxial relation :

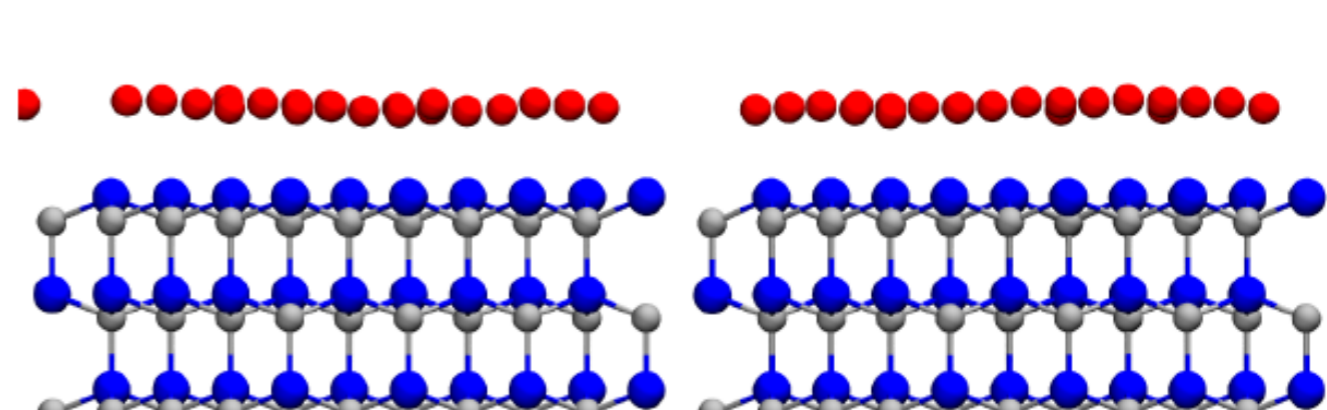
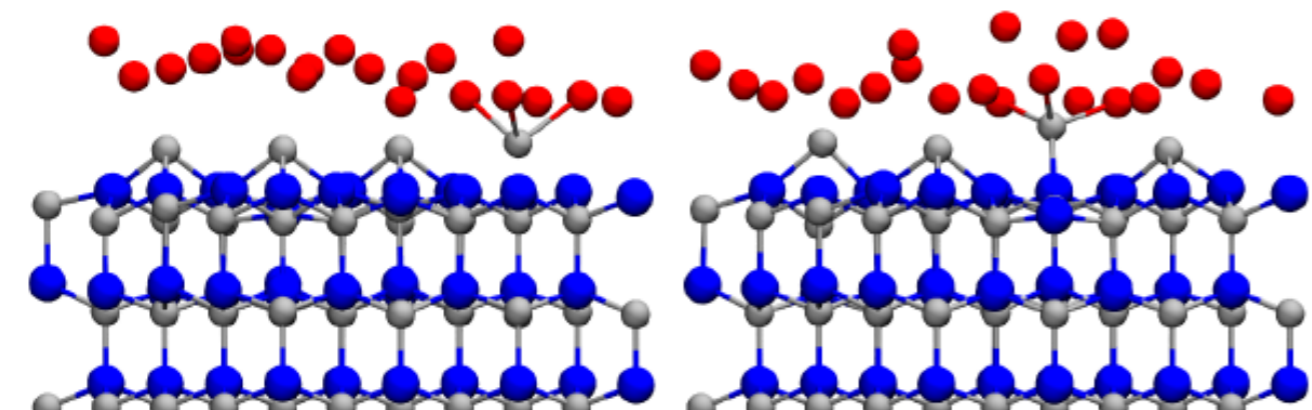
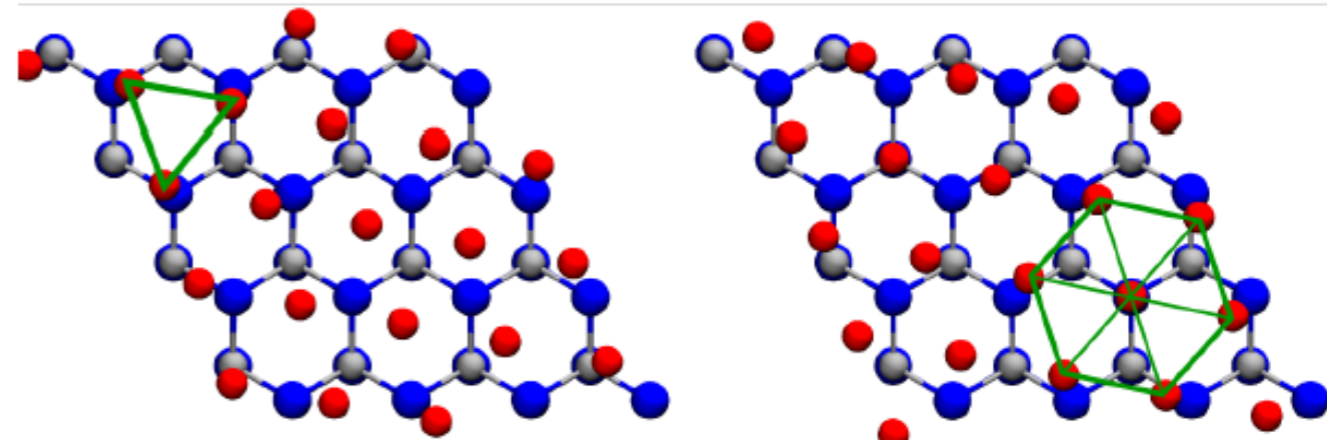
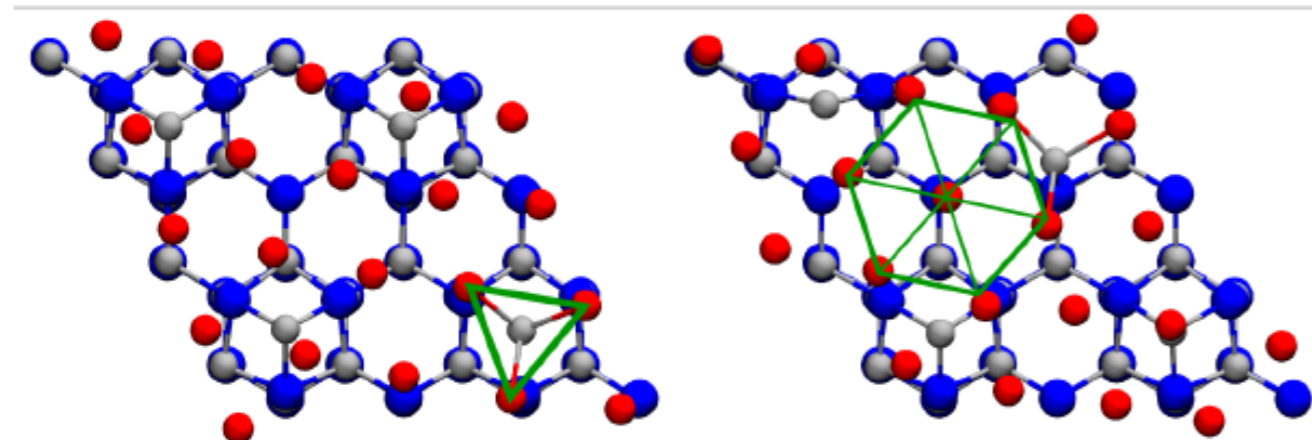
$$\text{Au}[94\bar{1}30] // \text{AlN}[85\bar{1}30]$$

Model for the  
moiré M1

# Aluminium nitride with gold

DFT calculations: model on (2x2)-N<sub>ad</sub> and bare AlN(0001)

Au ● Al ● N ●



M1

M1-h

U1

U1-h

E=-1797.59 eV

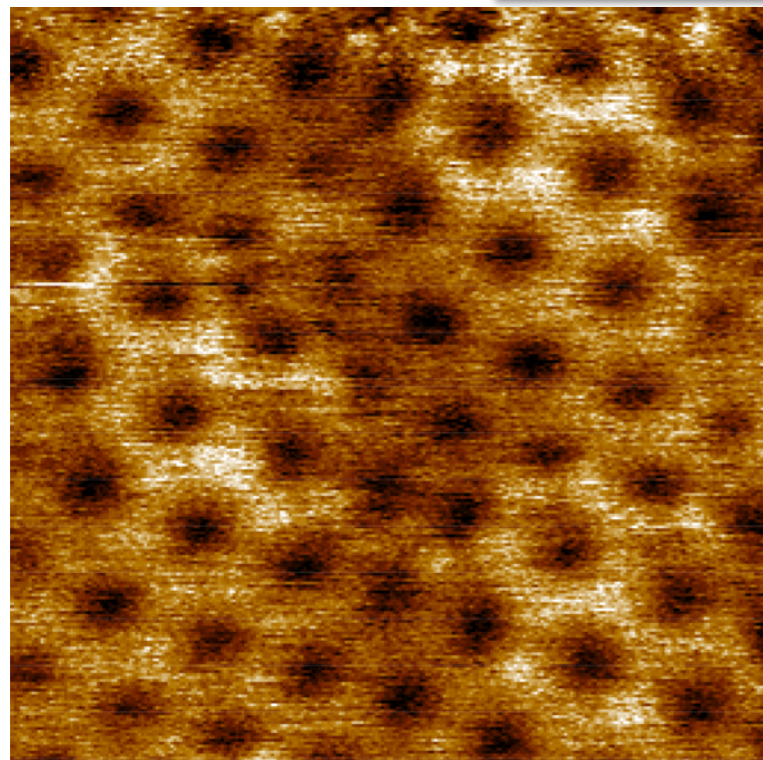
E=-1797.63 eV

E=-1772,86 eV

E=-1772,86 eV

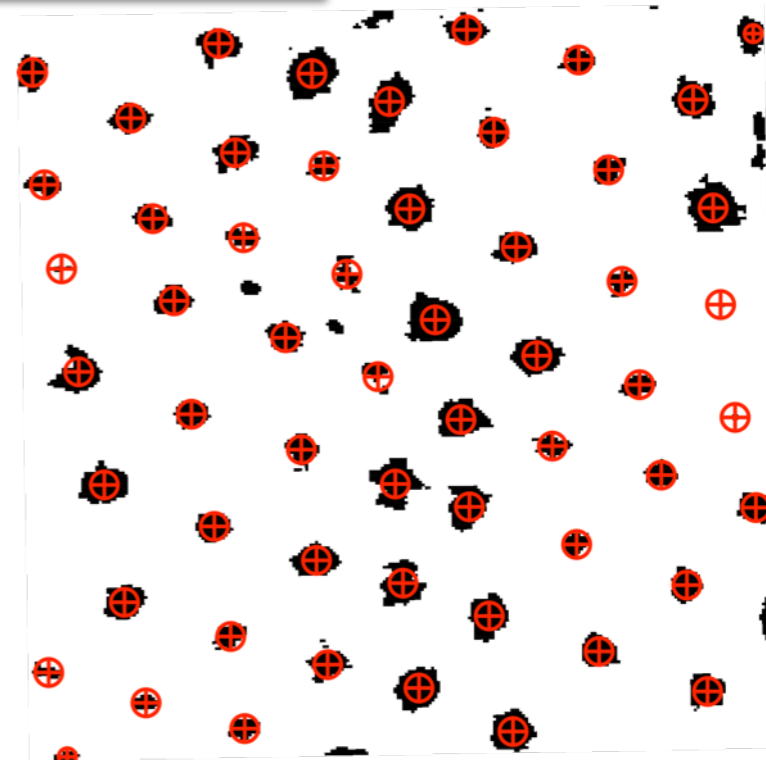
< 25 eV

# Aluminium nitride with gold



extracting position →

Calculate  $d_{\text{Au-inplane}}$  values



## Experiment and DFT comparison for $d_{\text{Au-inplane}}$

$d_{\text{Au-inplane}}$	Experiment	DFT-Au-U1t	DFT-Au-M1t
Minimum (Å)	2.01	2.70	2.44
Maximum (Å)	3.16	2.77	3.15
Mean (Å)	2.74	2.73	2.74
RMS	2.76	2.73	2.75
Std Deviation (Å)	0.255	0.0166	0.153

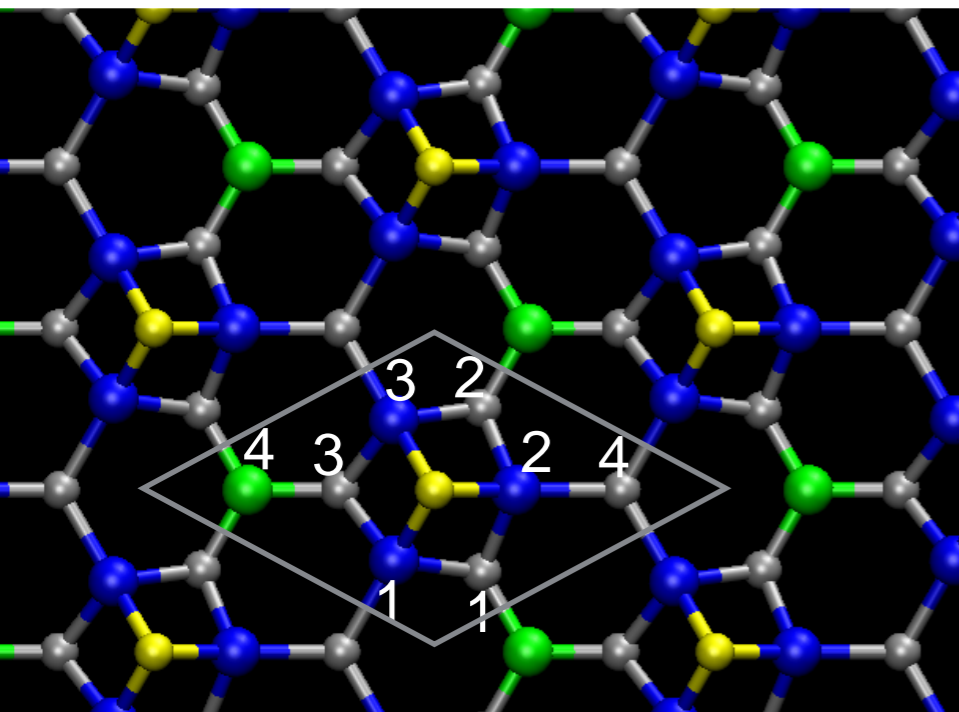
Dispersion matching

**GOOD**

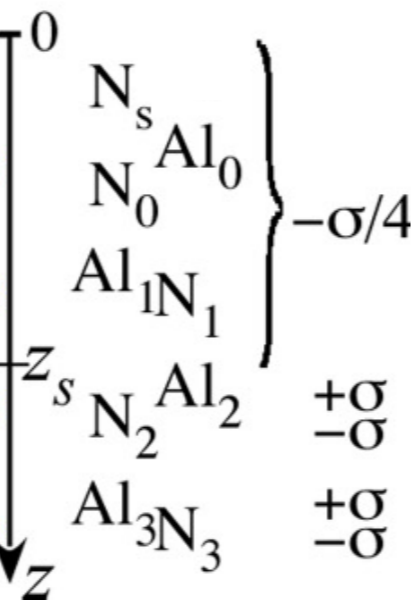
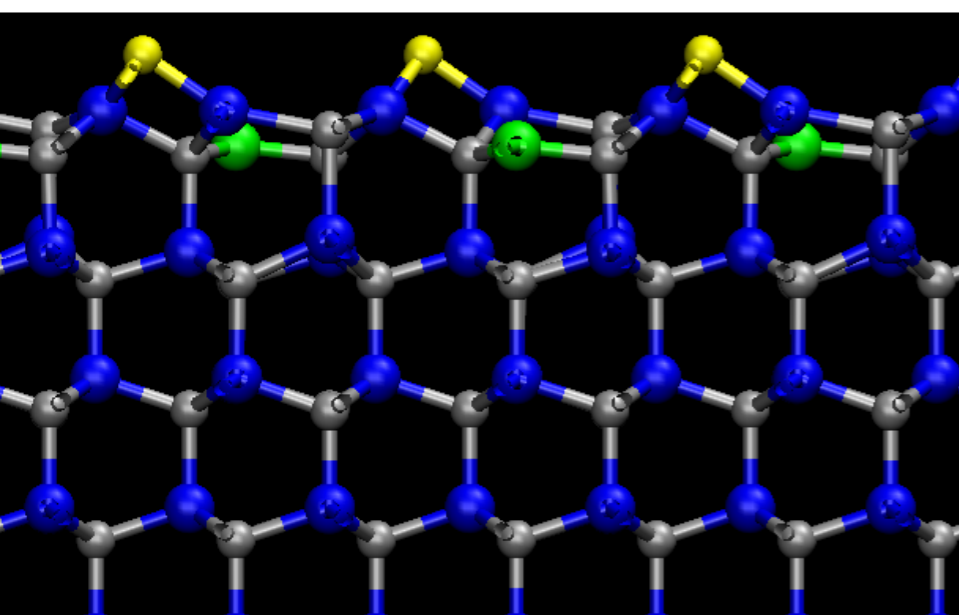
**CONCLUSION:** the N atoms of the (2x2)- $N_{\text{ad}}$  stay below the Au layer

# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>

## 1 - the surface charge on the 2H-AlN(0001) (2x2)-N<sub>ad</sub> surface



- Al sp<sup>3</sup>
- Al sp<sup>2</sup>
- N bulk
- N<sub>ad</sub>



For 2H AlN(0001) polar direction :

$$\sigma_s = -\sigma/4$$

Bader charge analysis

(in |e| and |e| per (1x1) unit surface for  $\sigma_s$ )

Atom	1	2	3	4	$\sigma_s$
N <sub>s</sub>	-2.201				-0.550
Al <sub>0</sub>	2.322	2.322	2.322	2.348	
N <sub>0</sub>	-2.386	-2.385	-2.385	-2.305	-0.587
Al <sub>1</sub>	2.388	2.388	2.388	2.354	
N <sub>1</sub>	-2.381	-2.381	-2.381	-2.405	-0.595
Al <sub>2</sub>	2.387	2.387	2.387	2.386	
N <sub>2</sub>	-2.387	-2.387	-2.387	-2.388	-0.595

$$\sigma = 2.387 \Rightarrow \sigma_s = -\sigma/4 = -0.597$$

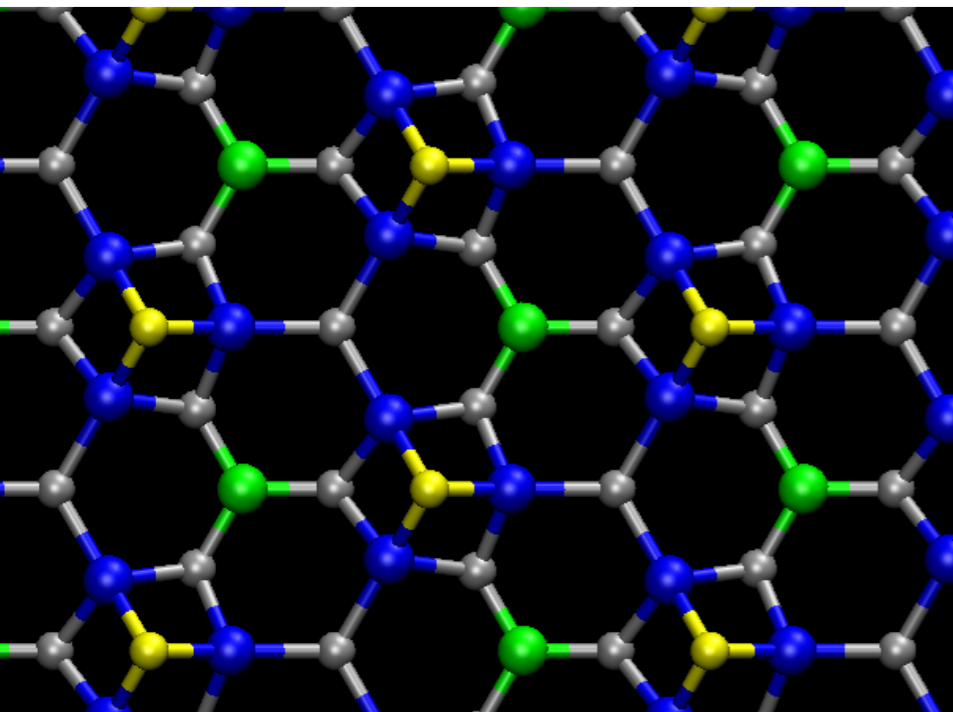
The additional N atom is responsible of 90 % of the surface charge.

F. Chaumeton et al., PHYSICAL REVIEW B **94**, 165305 (2016)

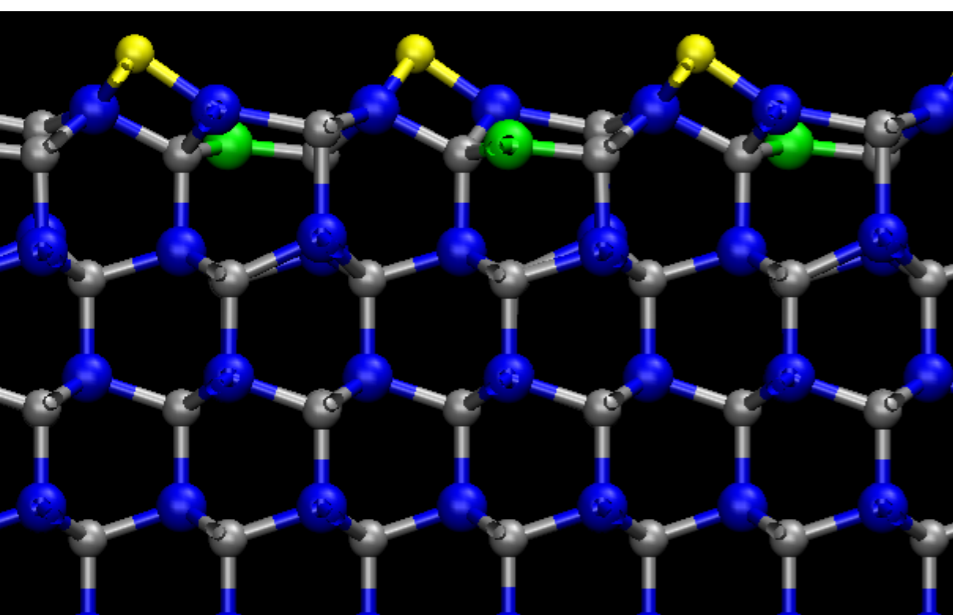


# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>

## 1 - the surface charge on the 2H-AlN(0001) (2x2)-N<sub>ad</sub> surface



- Al sp<sup>3</sup>
- Al sp<sup>2</sup>
- N bulk
- N<sub>ad</sub>



$z_s$   
 $N_s Al_0$   
 $N_0 Al_1 N_1$   
 $N_2 Al_2$   
 $Al_3 N_3$   
 $-\sigma/4$   
 $+\sigma$   
 $-\sigma$   
 $+\sigma$   
 $-\sigma$

For 2H AlN(0001) polar direction :

$$\sigma_s = -\sigma/4$$

Bader charge analysis

(in |e| and |e| per (1x1) unit surface for  $\sigma_s$ )

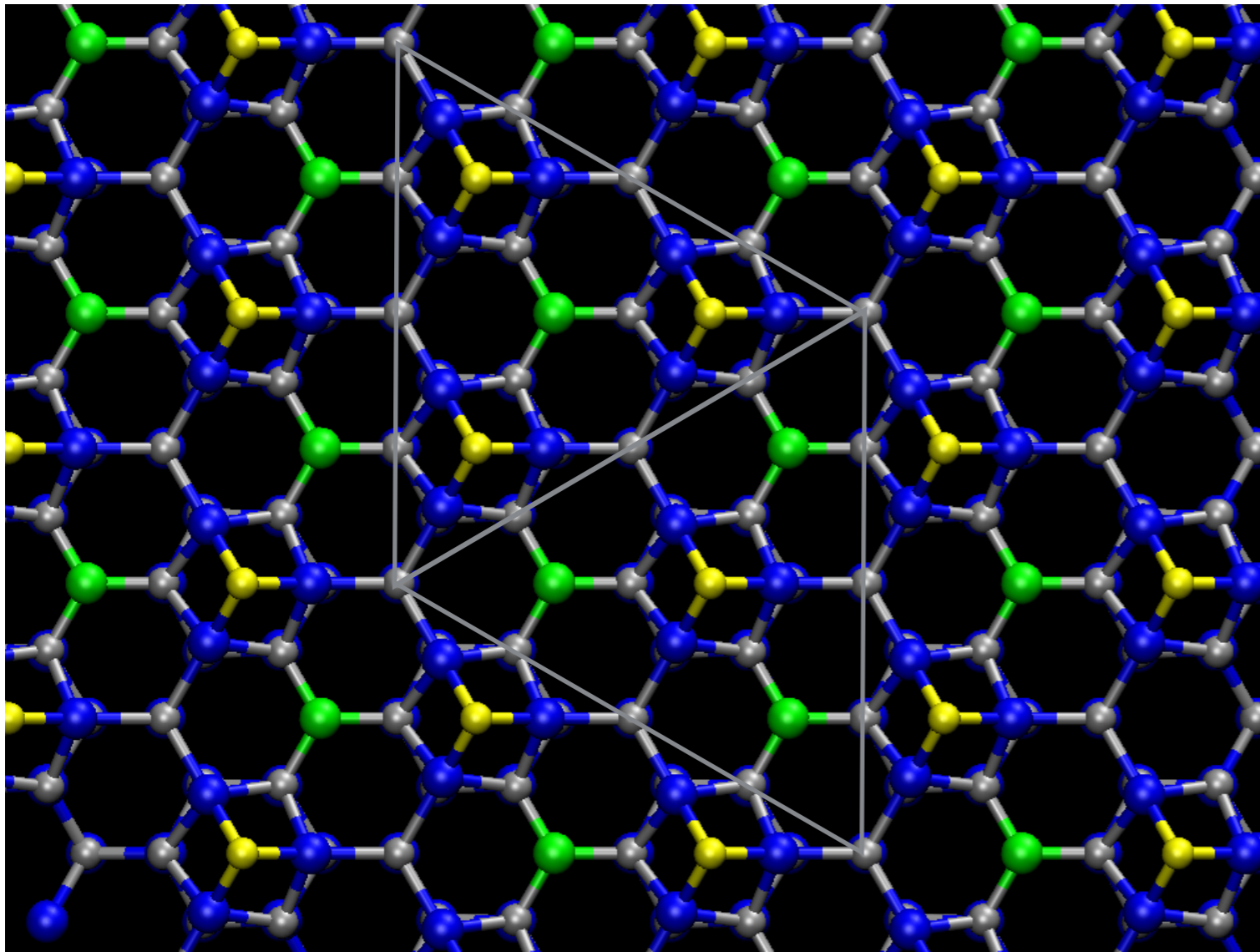
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Al <sub>2</sub>	2.387	2.387	2.387	2.386	
N <sub>2</sub>	-2.387	-2.387	-2.387	-2.388	-0.595

$$\sigma = 2.387 \Rightarrow \sigma_s = -\sigma/4 = -0.597$$

The additional N atom is responsible of 90 % of the surface charge.

CHARGE TRANSFER to the Au layer ?

# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



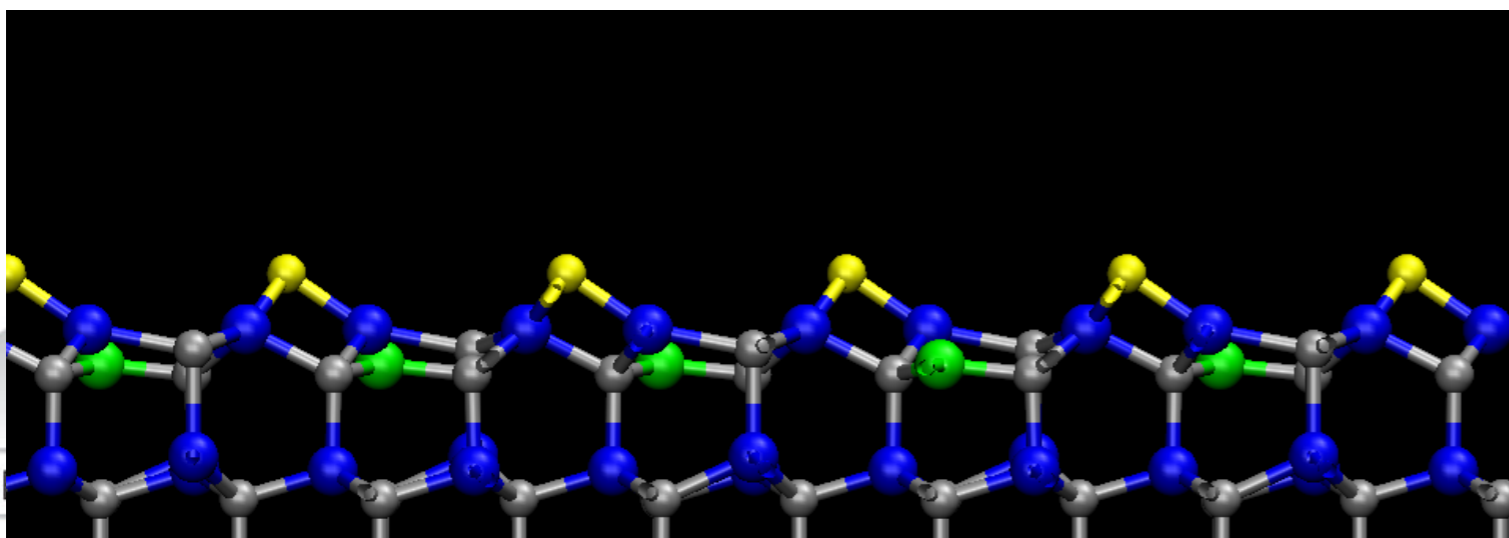
## 2 - Bonds and charge transfer on the Au layer

DFT cell: AlN(0001) (4x4) with the reconstruction (2x2)N<sub>ad</sub>

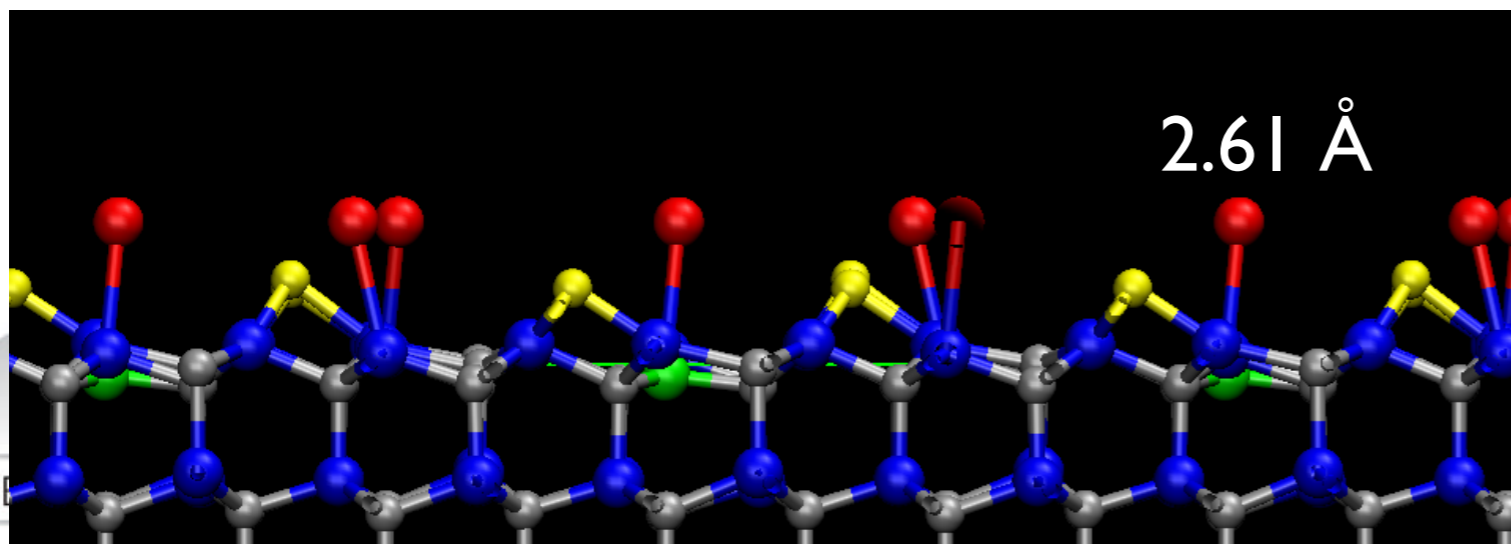
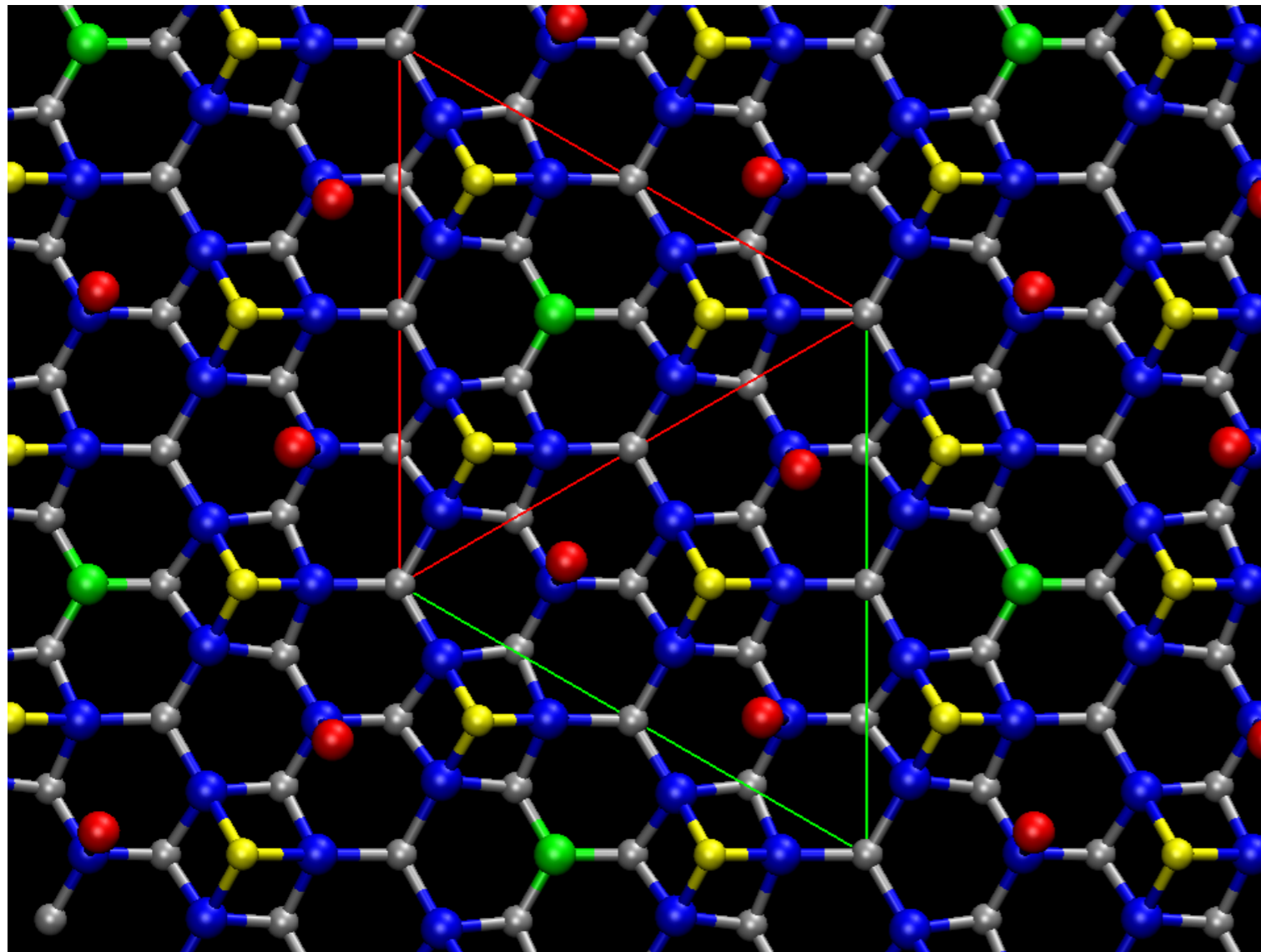
+  
21 Au atoms

Due to the three-fold symmetry:  
7 groups of  
3 Au atoms

- Al sp<sup>3</sup>
- Al sp<sup>2</sup> (acc)
- N bulk
- N<sub>ad</sub> (don)



# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



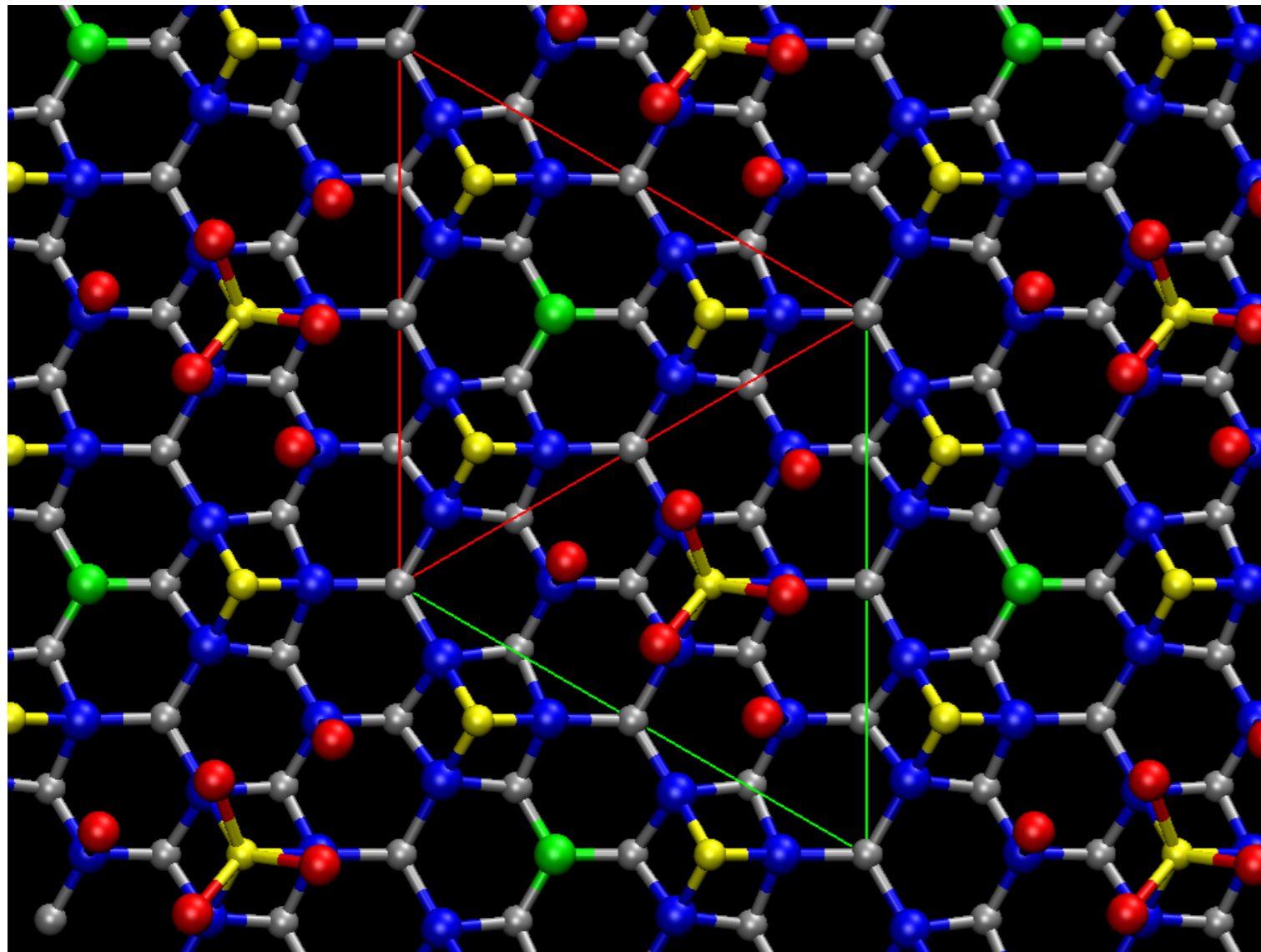
- Al sp<sup>3</sup>
- Al sp<sup>2</sup>
- N bulk
- N<sub>ad</sub>

## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

Group	Bonds with the AlN surface	Q <sub>b</sub>  e
1	Al sp <sup>2</sup>	- 0.48
2		
3		
4		
5		
6		
7		

# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

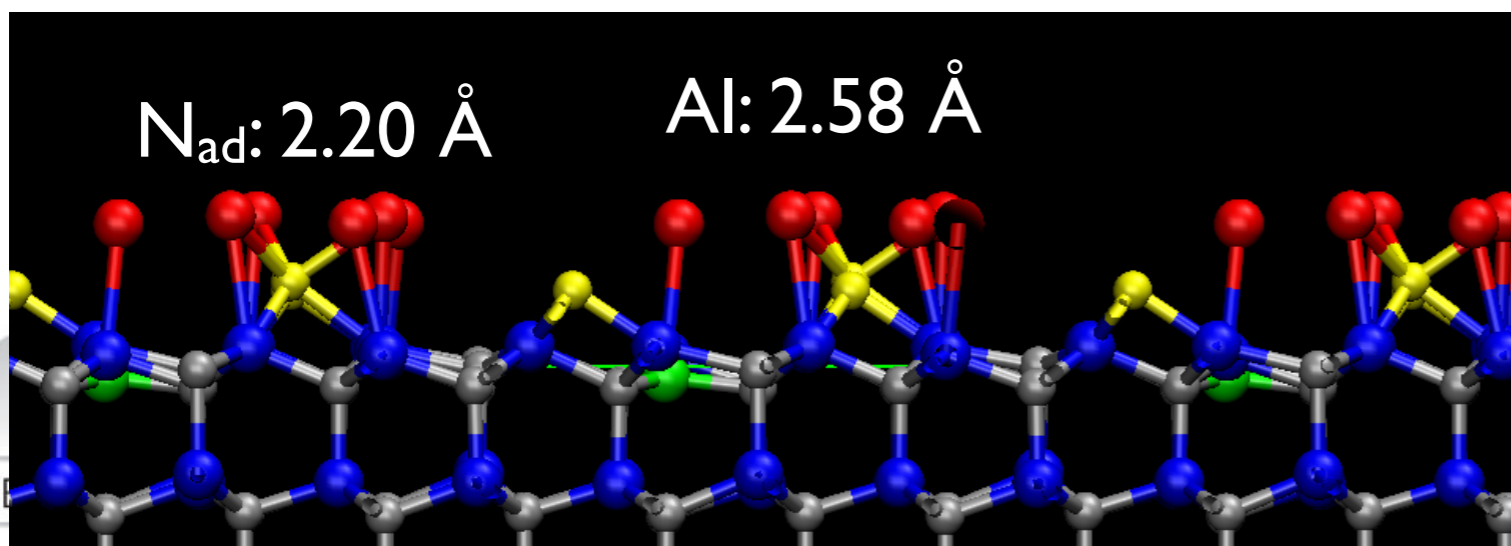
Group	Bonds with the AlN surface	$Q_b$  e
1	Al sp <sup>2</sup>	- 0.48
2	Al + N <sub>ad</sub>	- 0.13
3		
4		
5		
6		
7		

● Al sp<sup>3</sup>

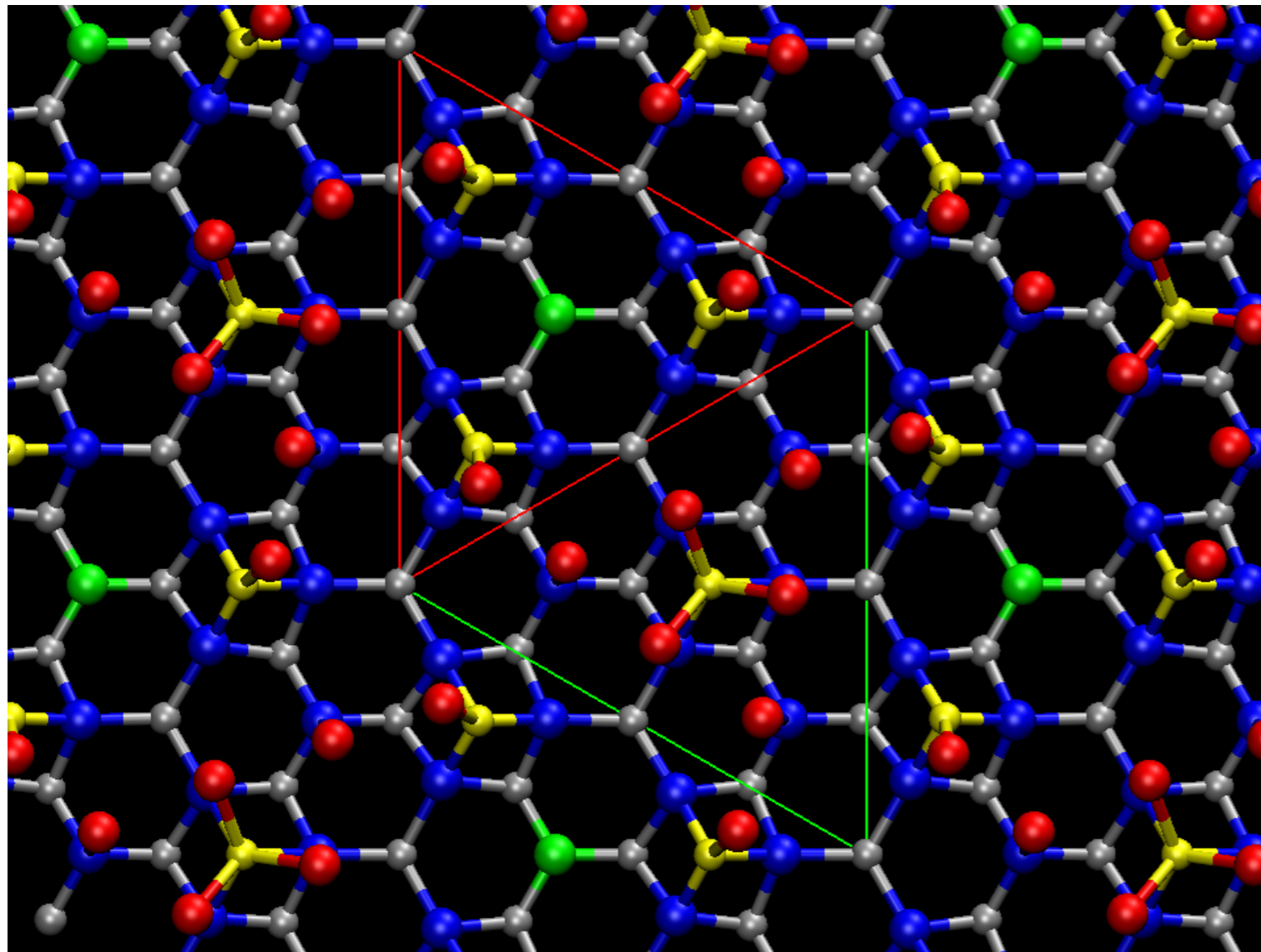
● Al sp<sup>2</sup>

● N bulk

● N<sub>ad</sub>



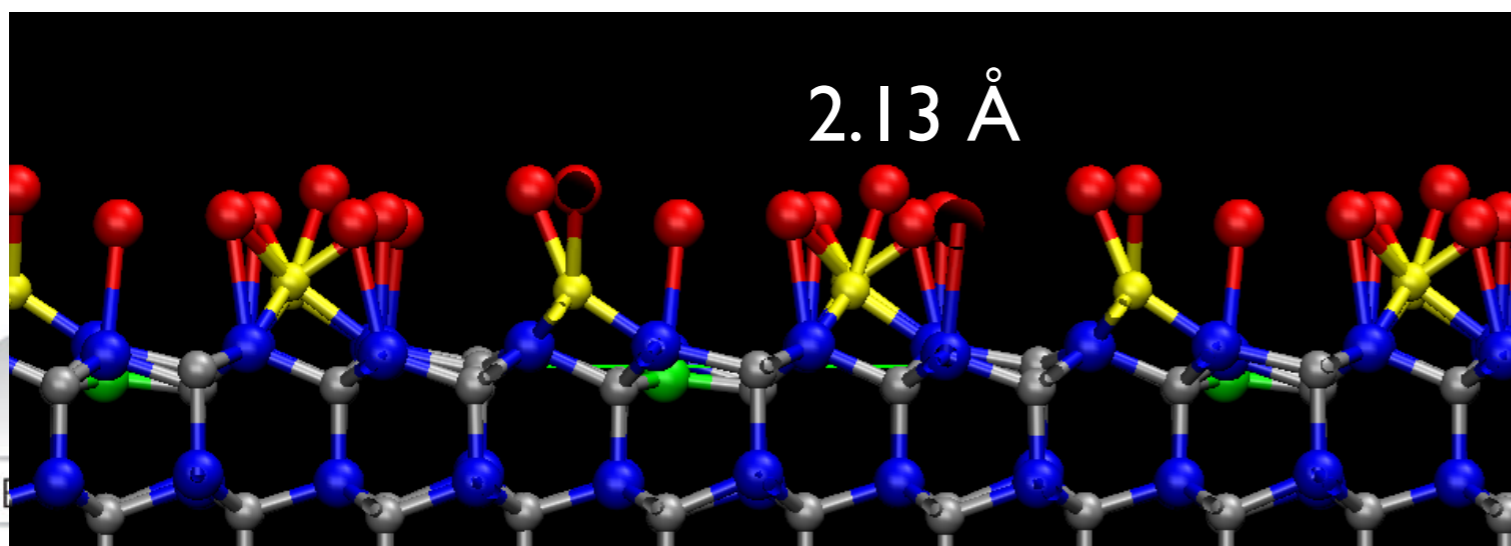
# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



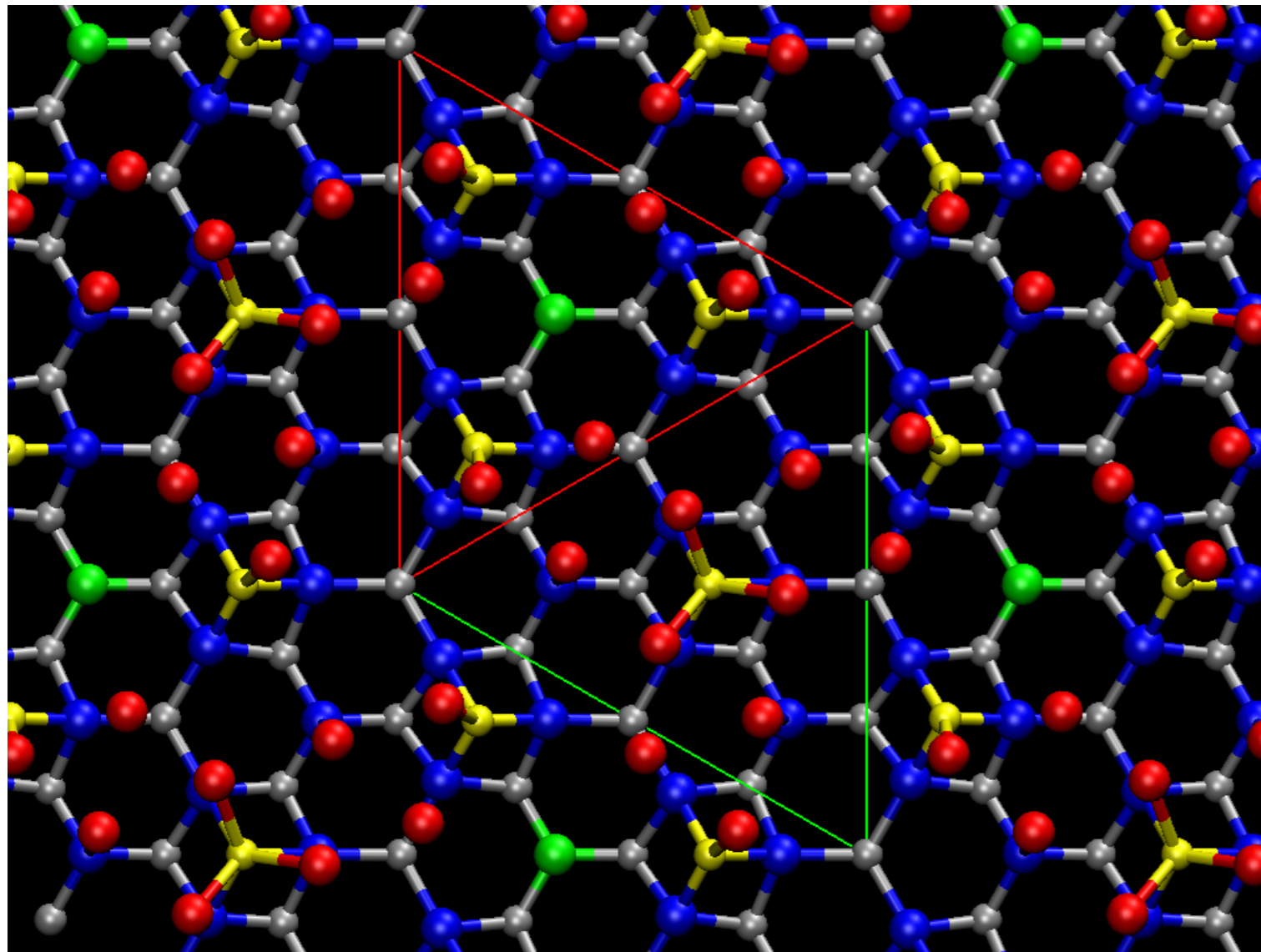
## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

Group	Bonds with the AlN surface	$Q_b$  e
1	Al sp <sup>2</sup>	- 0.48
2	Al + N <sub>ad</sub>	- 0.13
3	N <sub>ad</sub>	0.1
4		
5		
6		
7		



# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

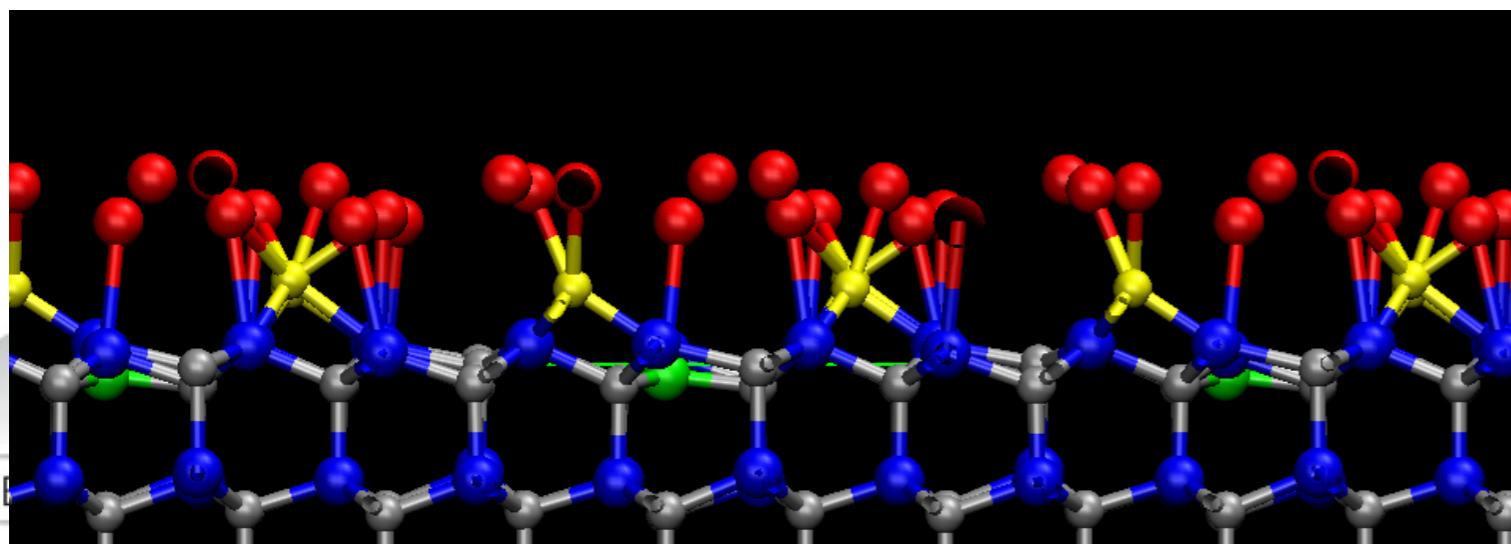
Group	Bonds with the AlN surface	$Q_b$  e
1	Al sp <sup>2</sup>	- 0.48
2	Al + N <sub>ad</sub>	- 0.13
3	N <sub>ad</sub>	0.1
4	no	- 0.09
5		
6		
7		

● Al sp<sup>3</sup>

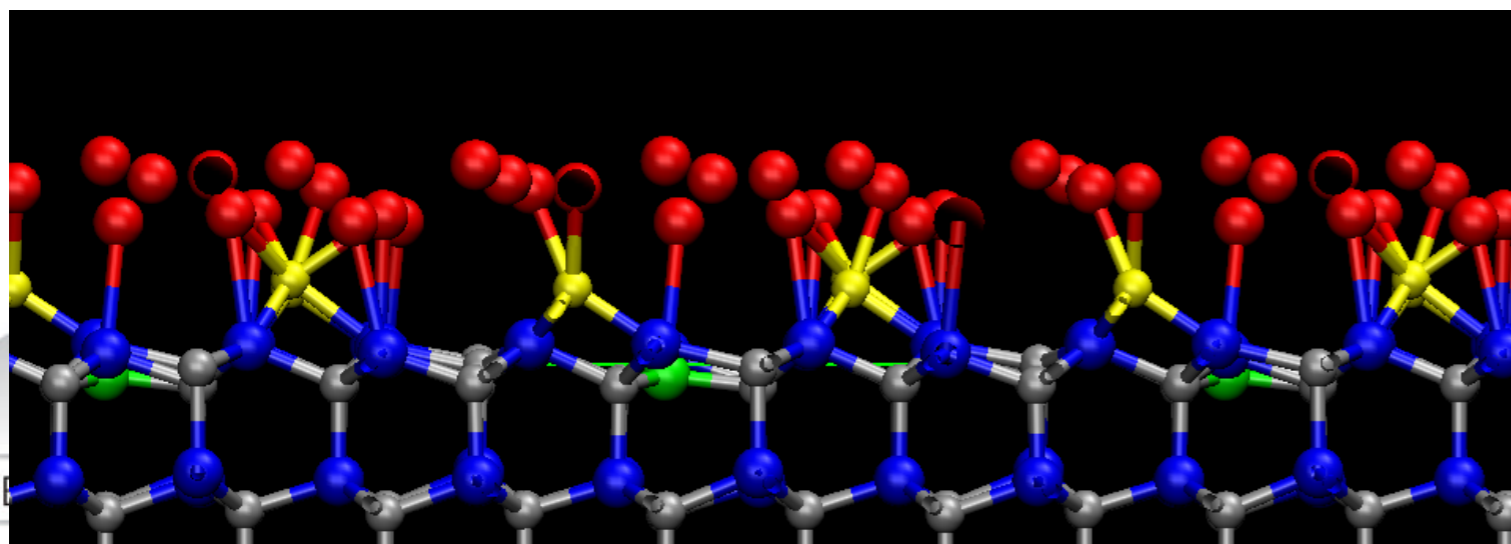
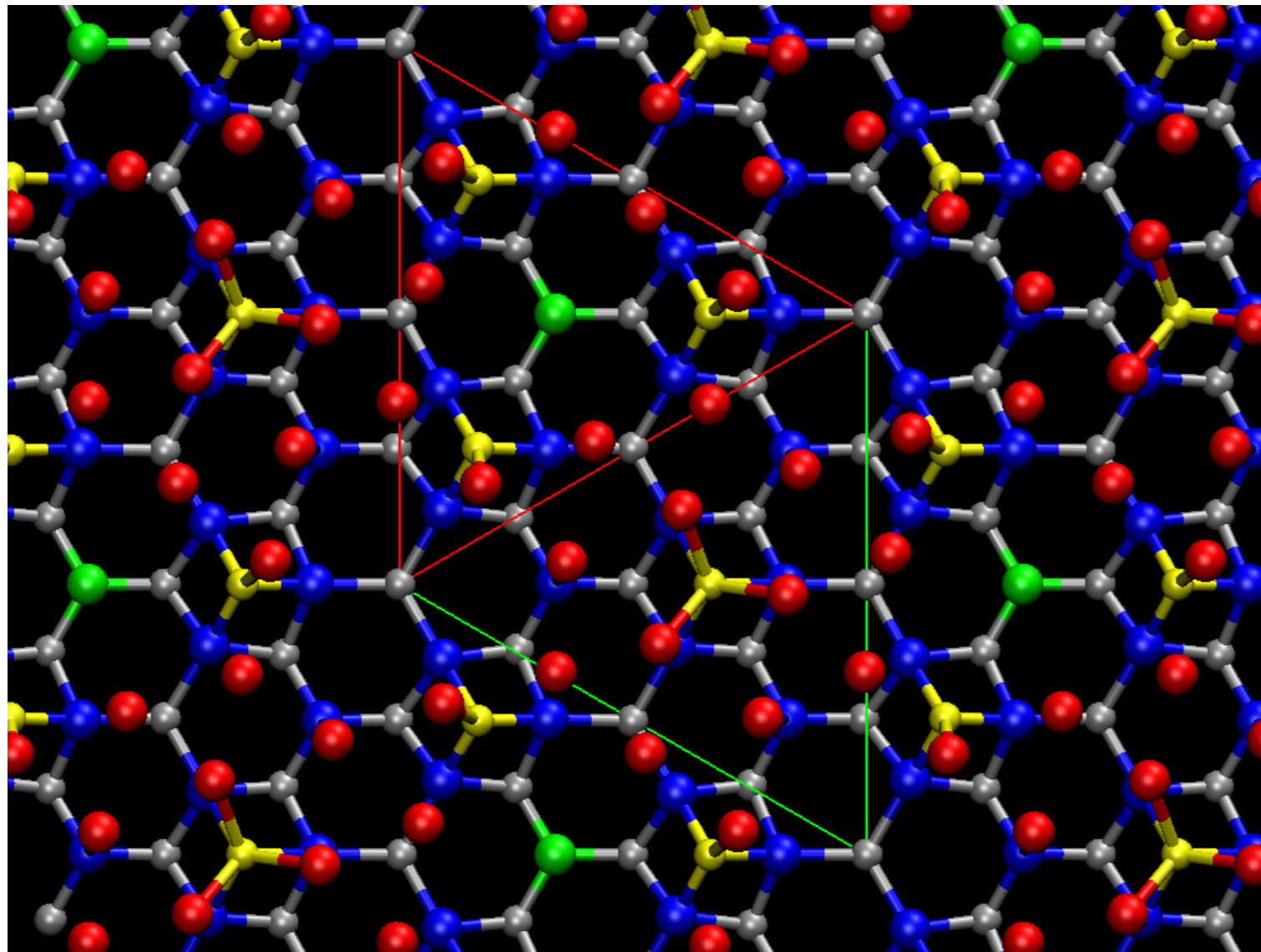
● Al sp<sup>2</sup>

● N bulk

● N<sub>ad</sub>



# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



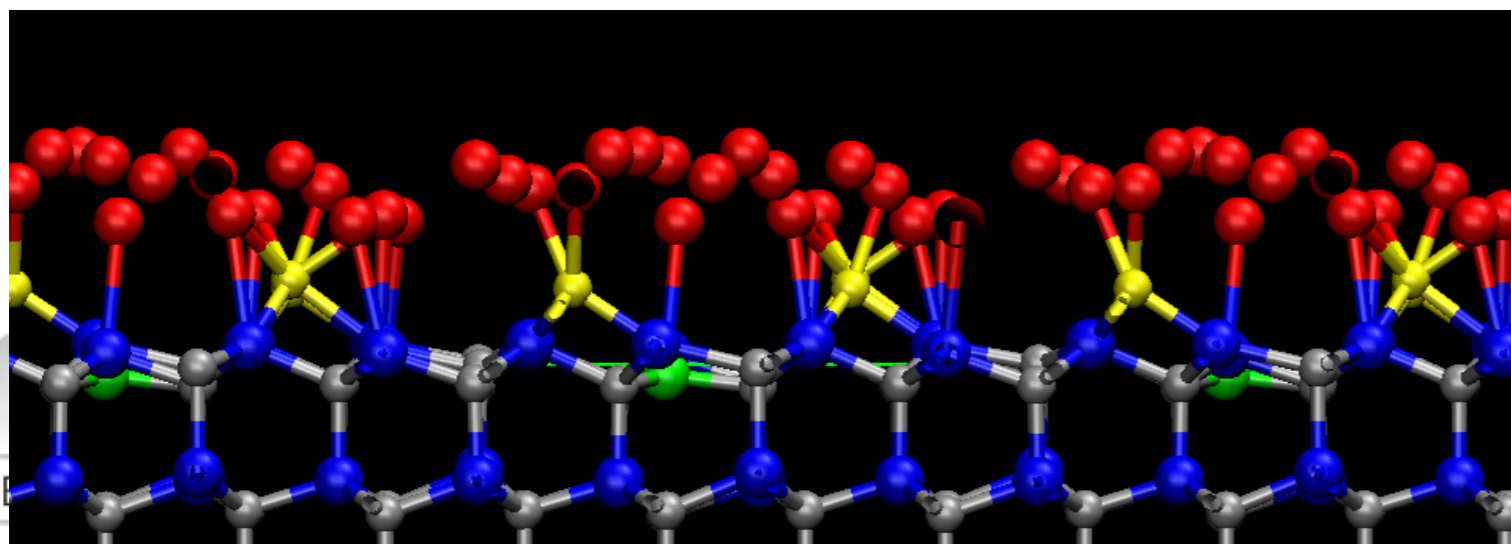
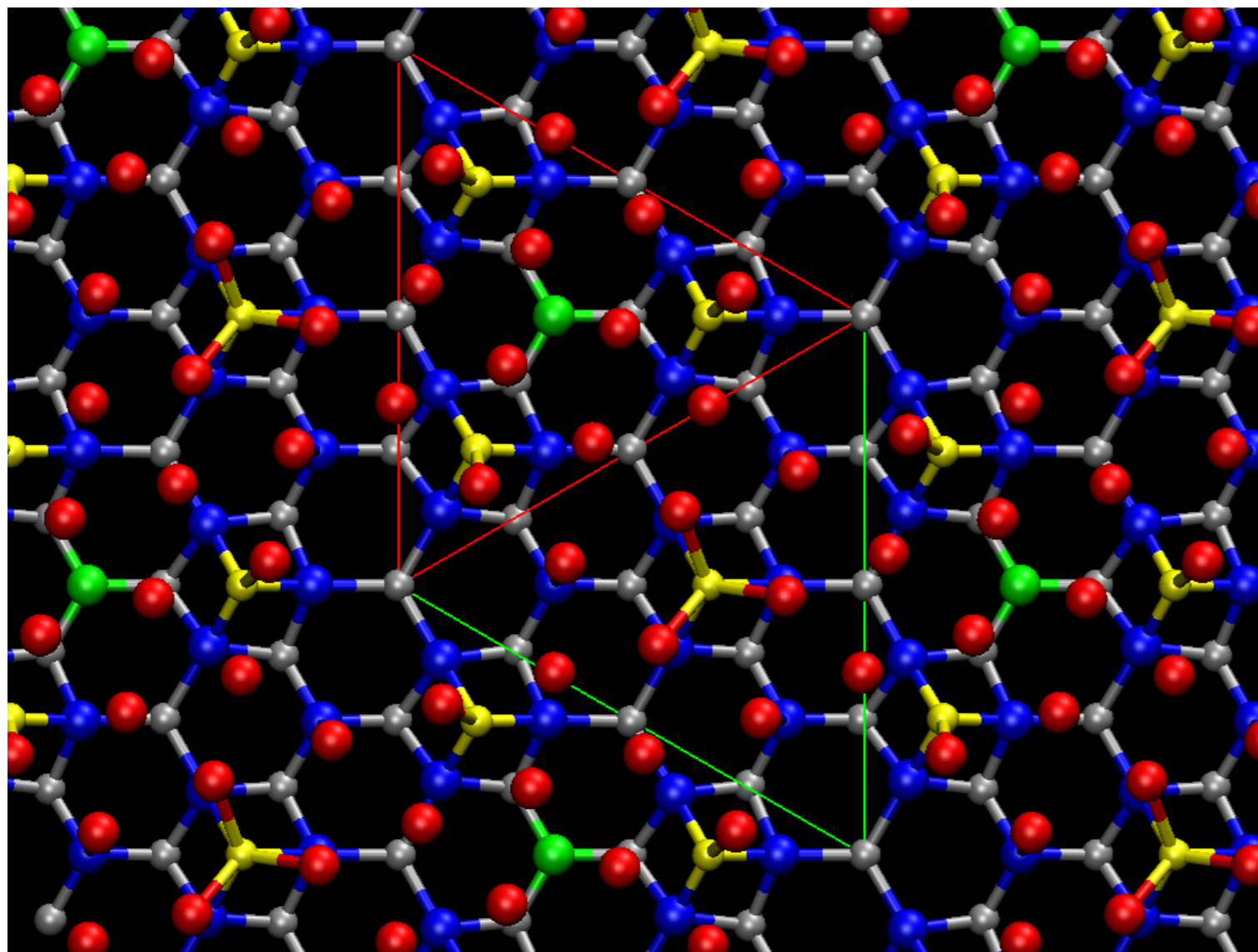
- Al sp<sup>3</sup>
- Al sp<sup>2</sup>
- N bulk
- N<sub>ad</sub>

## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

Group	Bonds with the AlN surface	Q <sub>b</sub>  e
1	Al sp <sup>2</sup>	- 0.48
2	Al + N <sub>ad</sub>	- 0.13
3	N <sub>ad</sub>	0.1
4	no	- 0.09
5	no	- 0.05
6		
7		

# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



- Al sp<sup>3</sup>
- Al sp<sup>2</sup>
- N bulk
- N<sub>ad</sub>

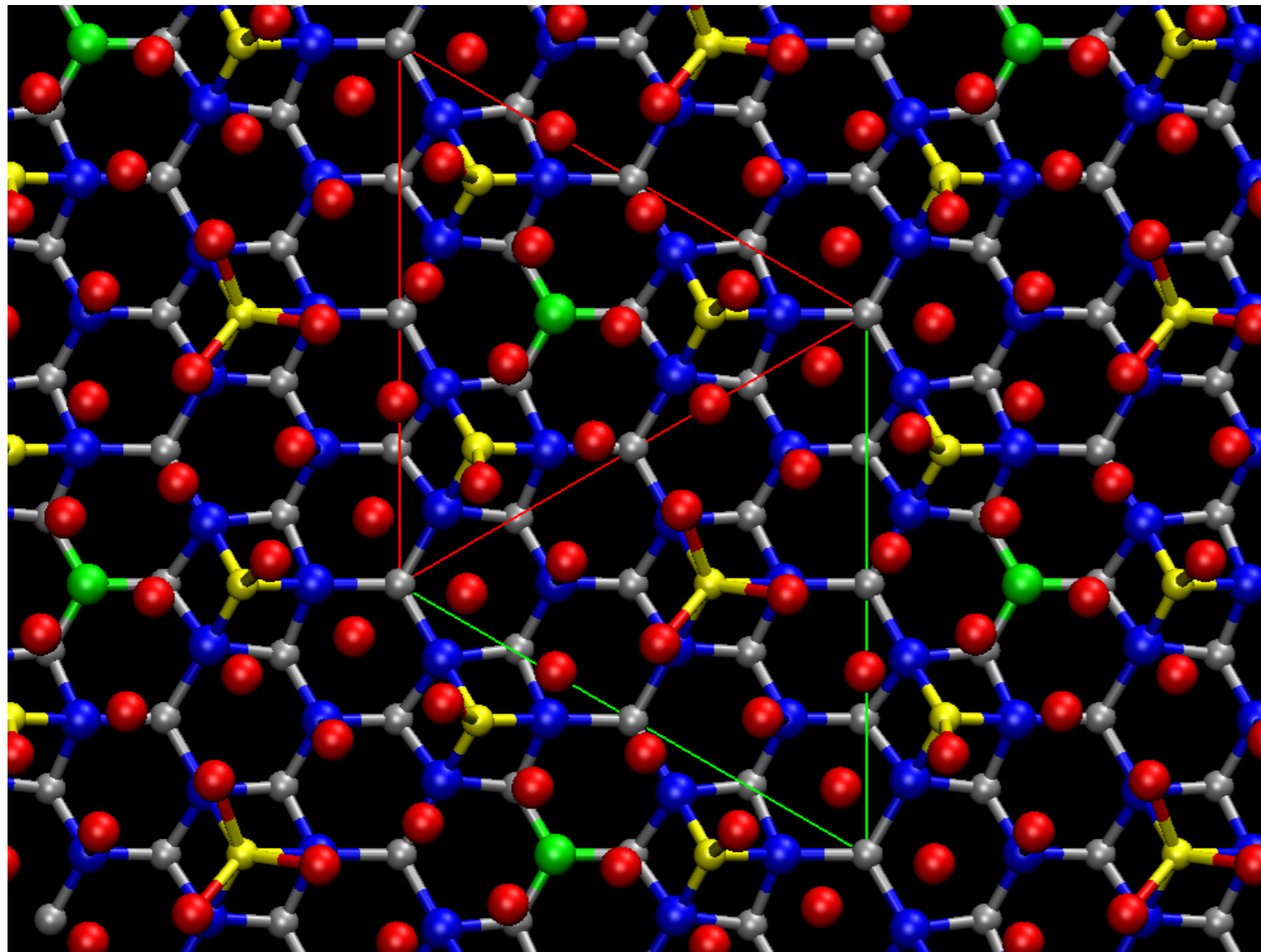
## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

Group	Bonds with the AlN surface	Q <sub>b</sub>  e
1	Al sp <sup>2</sup>	- 0.48
2	Al + N <sub>ad</sub>	- 0.13
3	N <sub>ad</sub>	0.1
4	no	- 0.09
5	no	- 0.05
6	no	- 0.03
7		



# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



## 2 - Bonds and charge transfer on the Au layer

Due to the three-fold symmetry:  
7 groups of 3 Au atoms

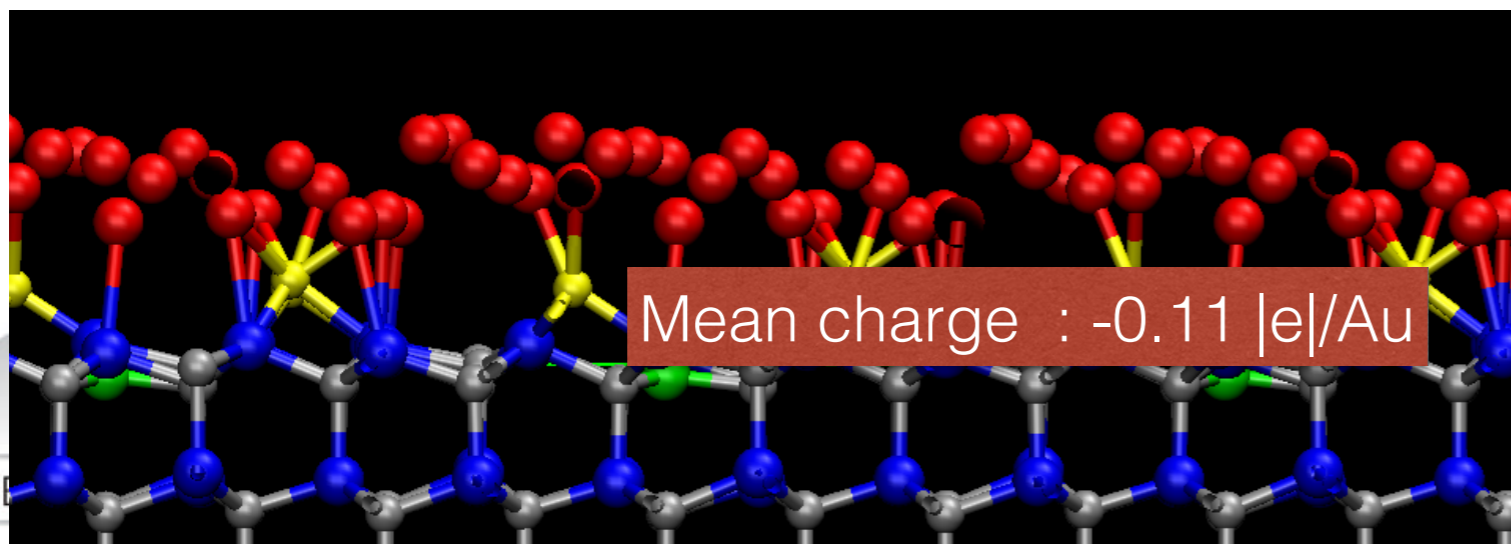
Group	Bonds with the AlN surface	Q <sub>b</sub>  e
1	Al sp <sup>2</sup>	- 0.48
2	Al + N <sub>ad</sub>	- 0.13
3	N <sub>ad</sub>	0.1
4	no	- 0.09
5	no	- 0.05
6	no	- 0.03
7	no	- 0.08

● Al sp<sup>3</sup>

● Al sp<sup>2</sup>

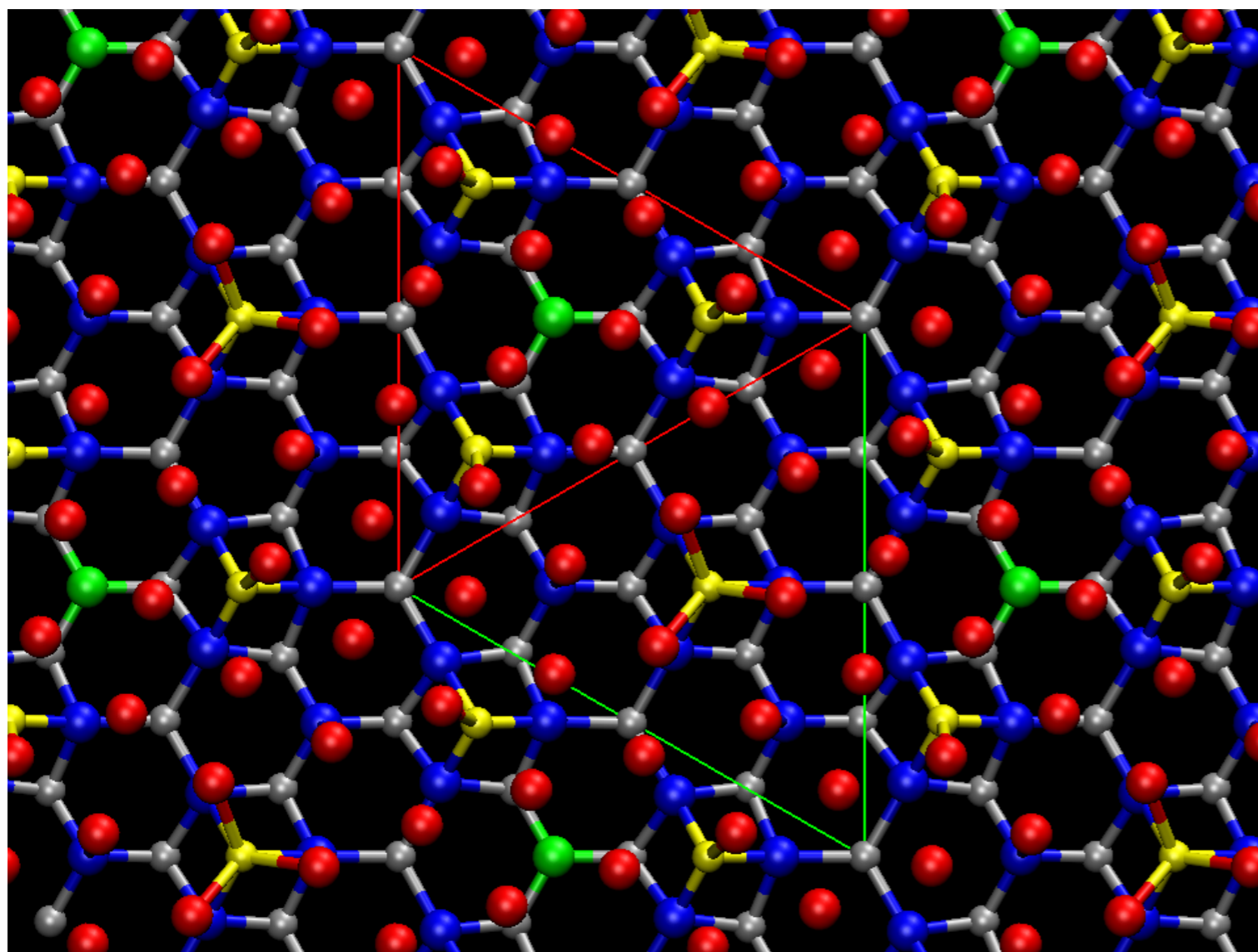
● N bulk

● N<sub>ad</sub>



Mean charge : -0.11 |e|/Au

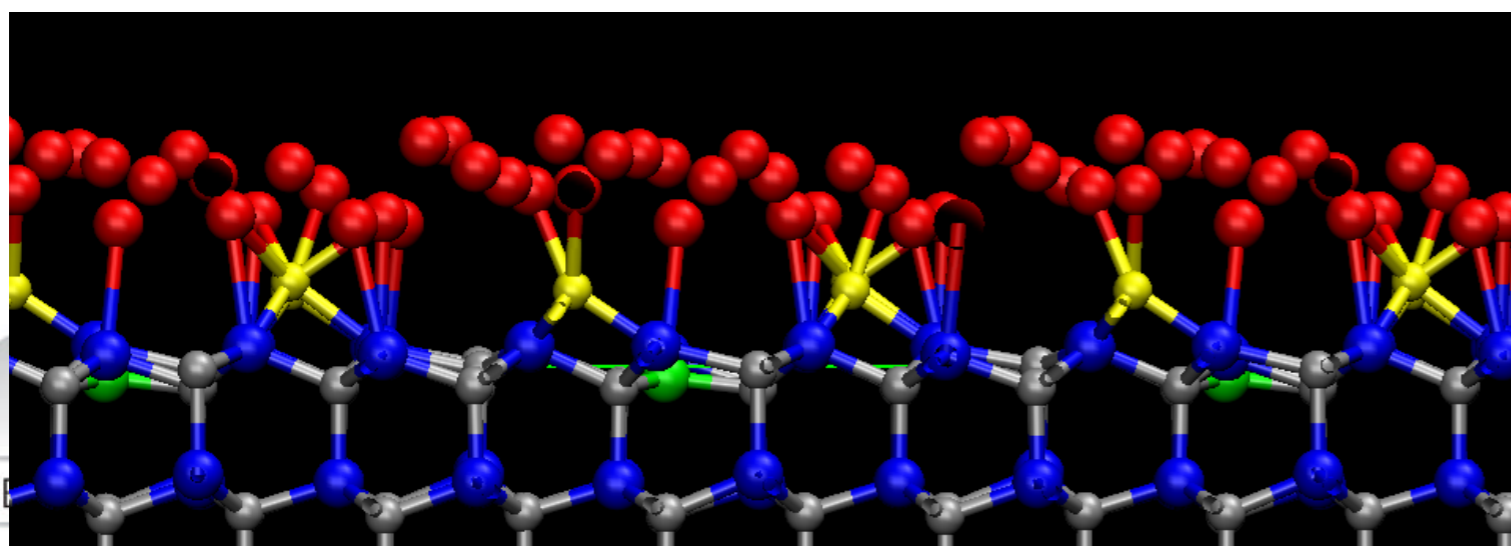
# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>



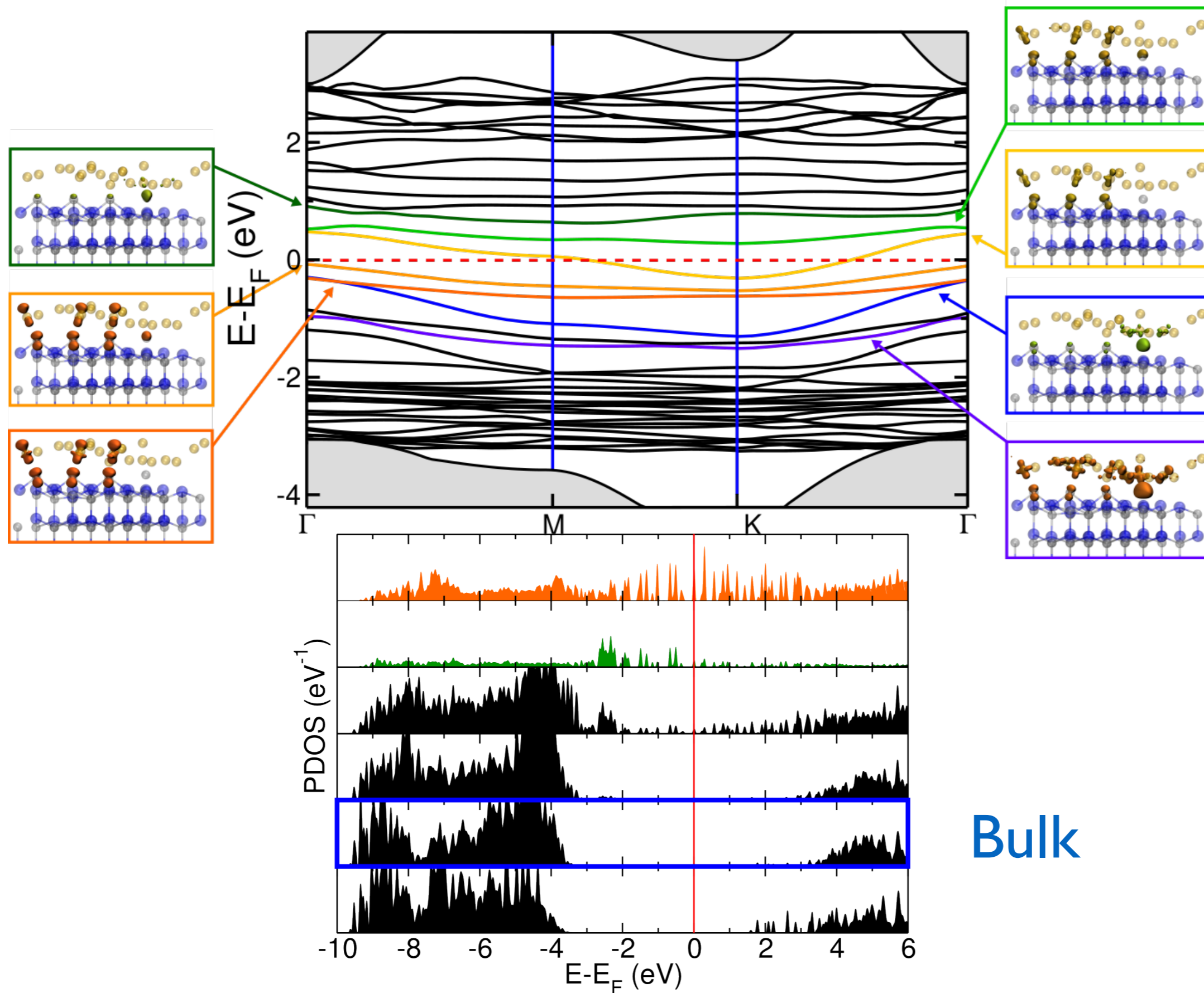
## 2 - Bonds and charge transfer on the Au layer

	AlN (2x2)N <sub>ad</sub>		21 Au/AlN(4x4)	
	atom mean charge	(1x1) mean charge	atom mean charge	(1x1) mean charge
Au	—	—	-0.11	-0.144
N <sub>ad</sub>	-2.18	-0.545	-1.73	-0.432
Al <sub>1</sub>	2.31	0.577	2.33	0.578
N <sub>1</sub>	-2.35	-0.587	-2.34	-0.585

25 % of charge transfer from the (2x2)N<sub>ad</sub> to the Au layer

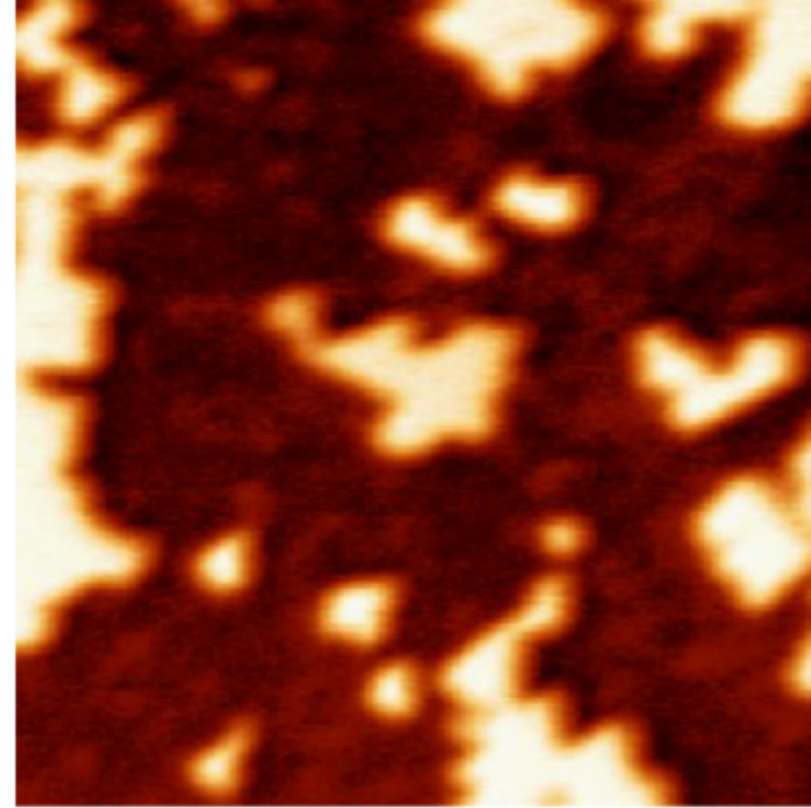
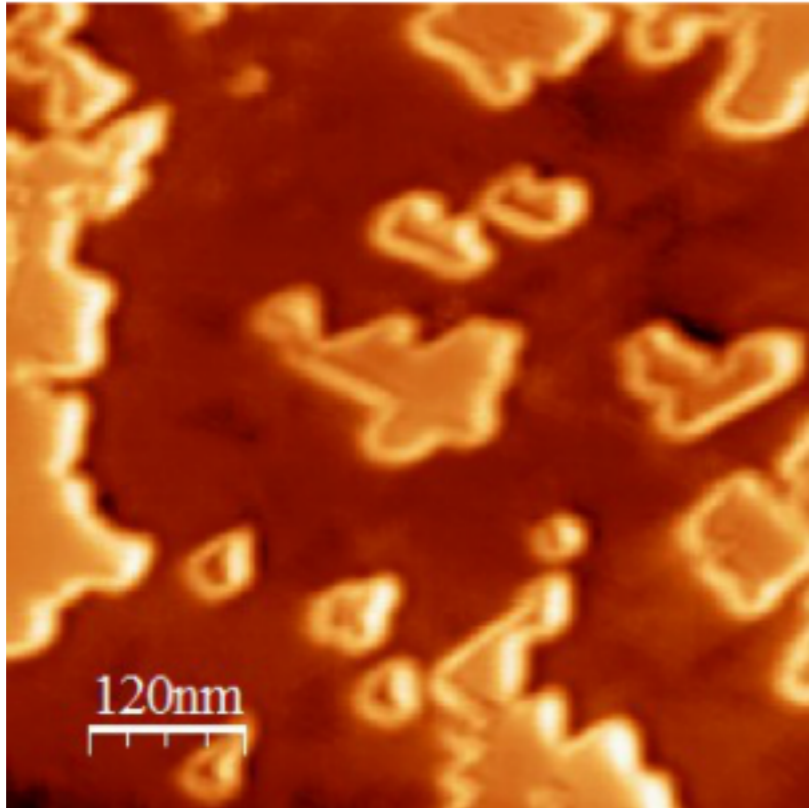


# Stabilization mechanism of the Au on AlN(2x2)-N<sub>ad</sub>

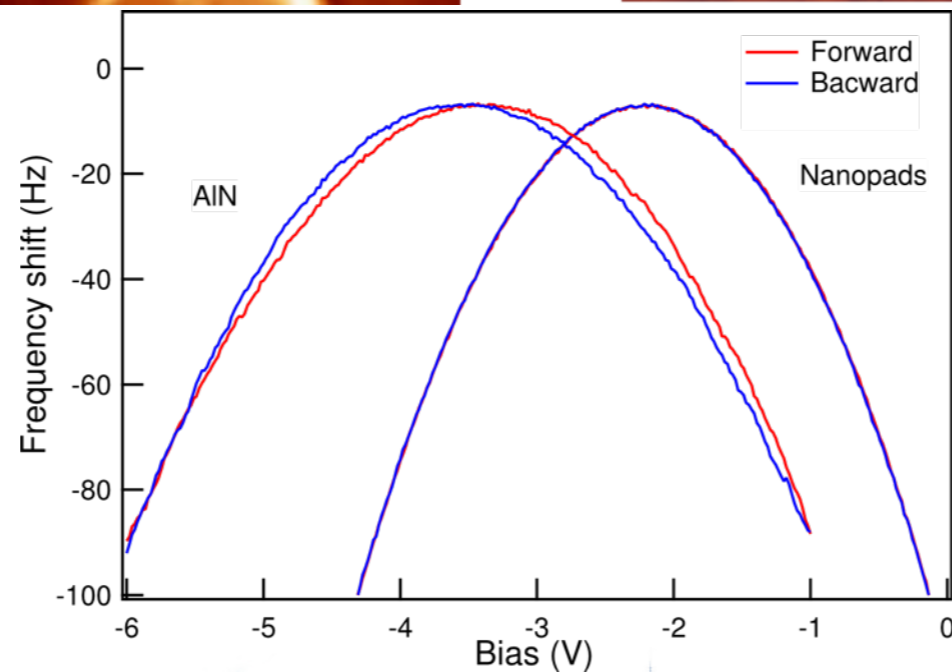


# Au / AlN(0001)

nc-AFM  
Topo



KPFM



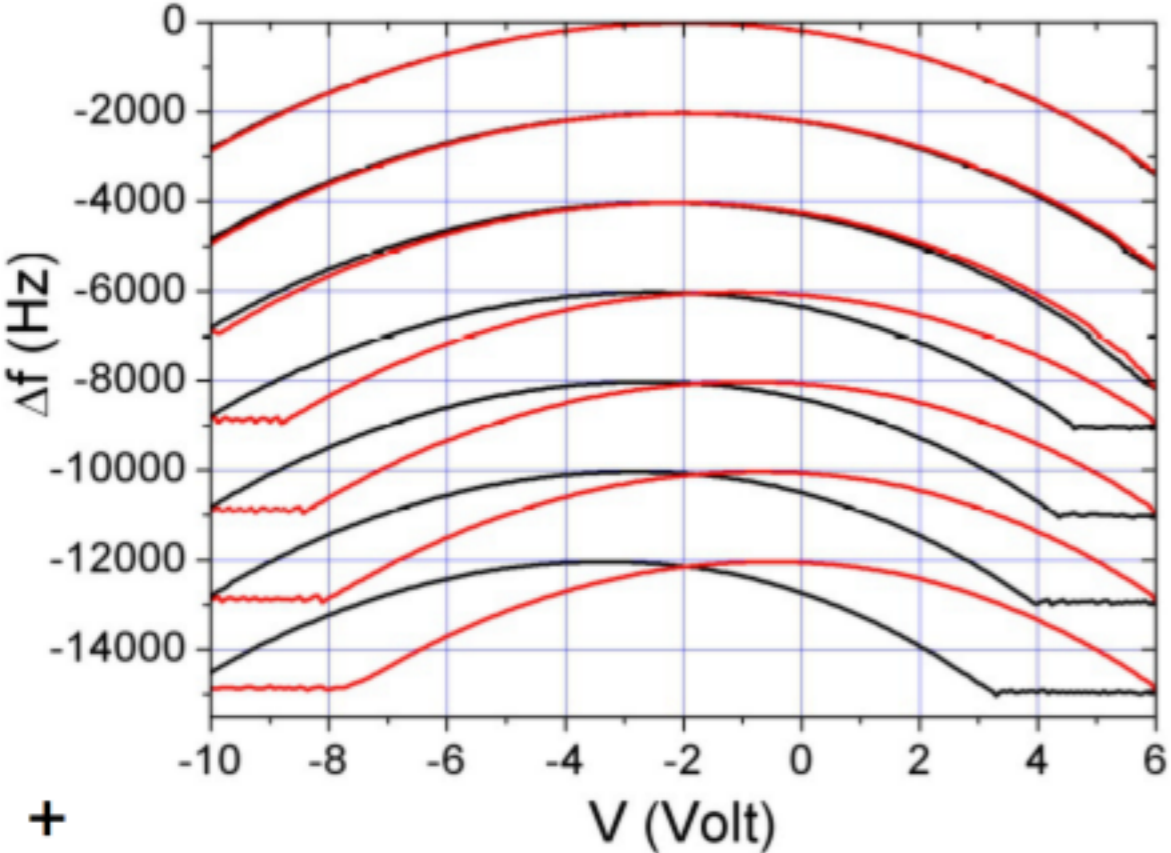
# Au / AlN(0001)

## Charging the islands

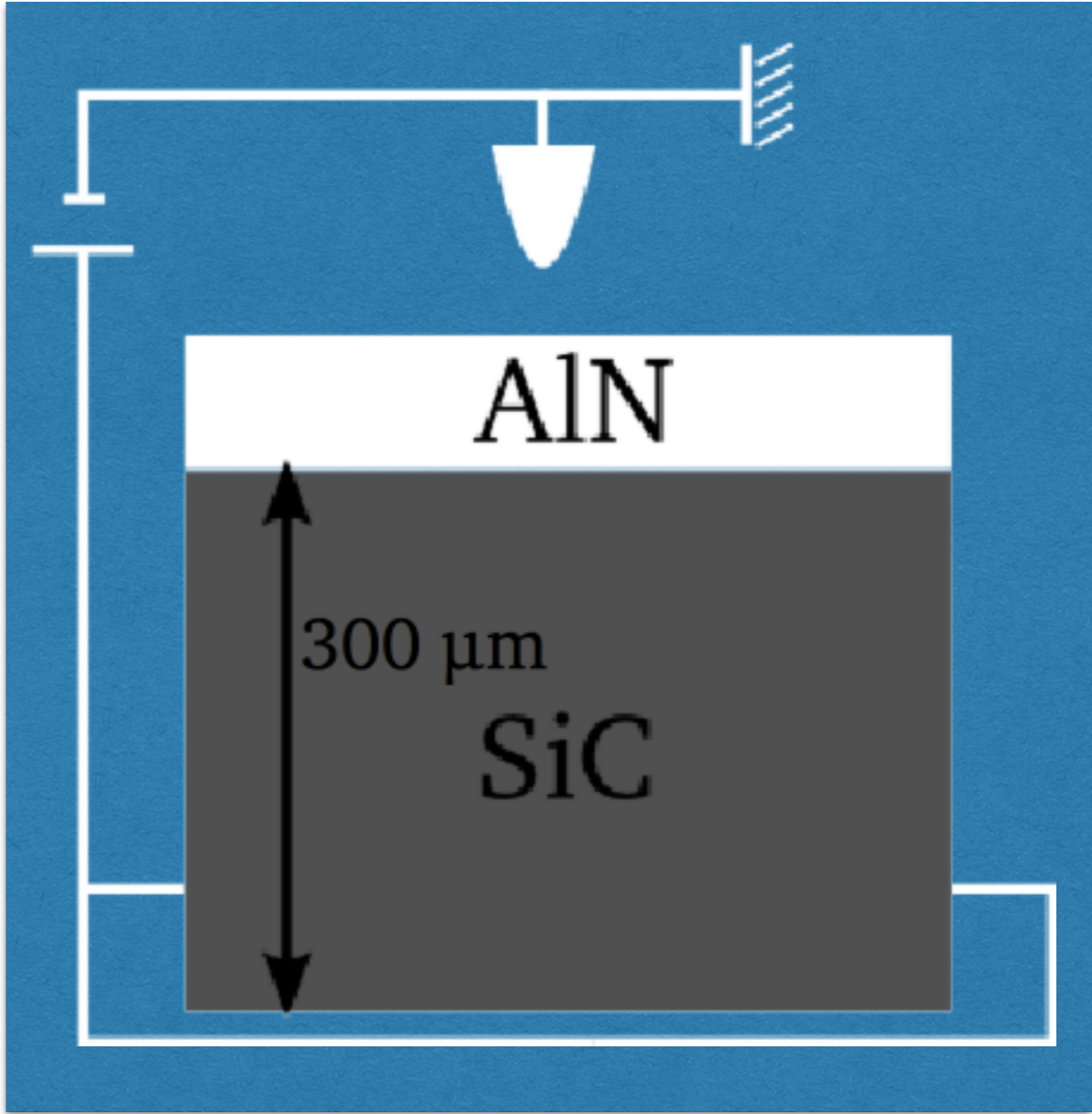
$\Delta z = 0.1 \text{ nm}$   
 $z_{\text{min}} \sim 1 \text{ nm}$

approach

retract



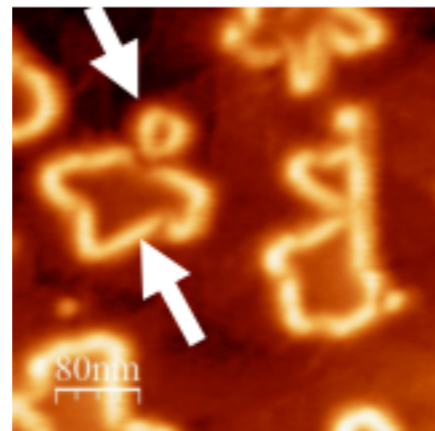
Charge transfer occurs



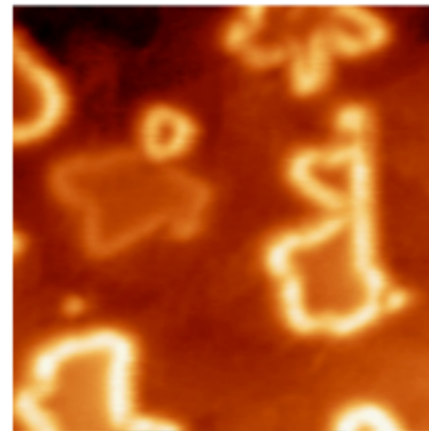
Au / AlN(0001)

Discharge?

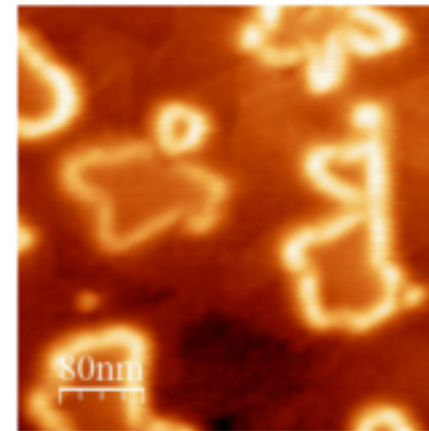
Topo



t = 0

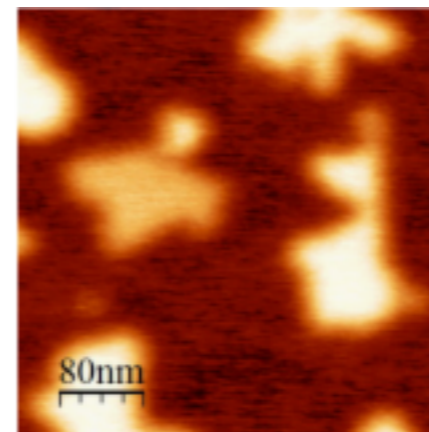
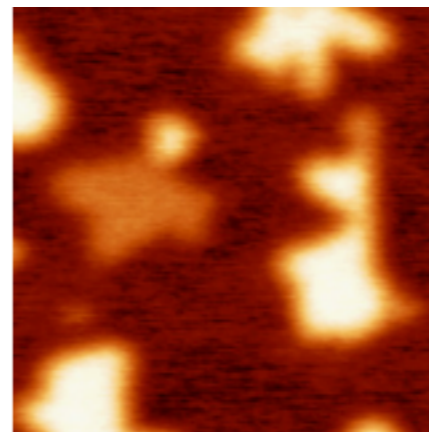
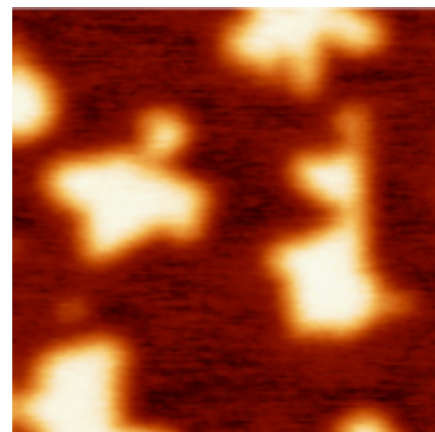


t = 1 h



t = 42 h

KPFM



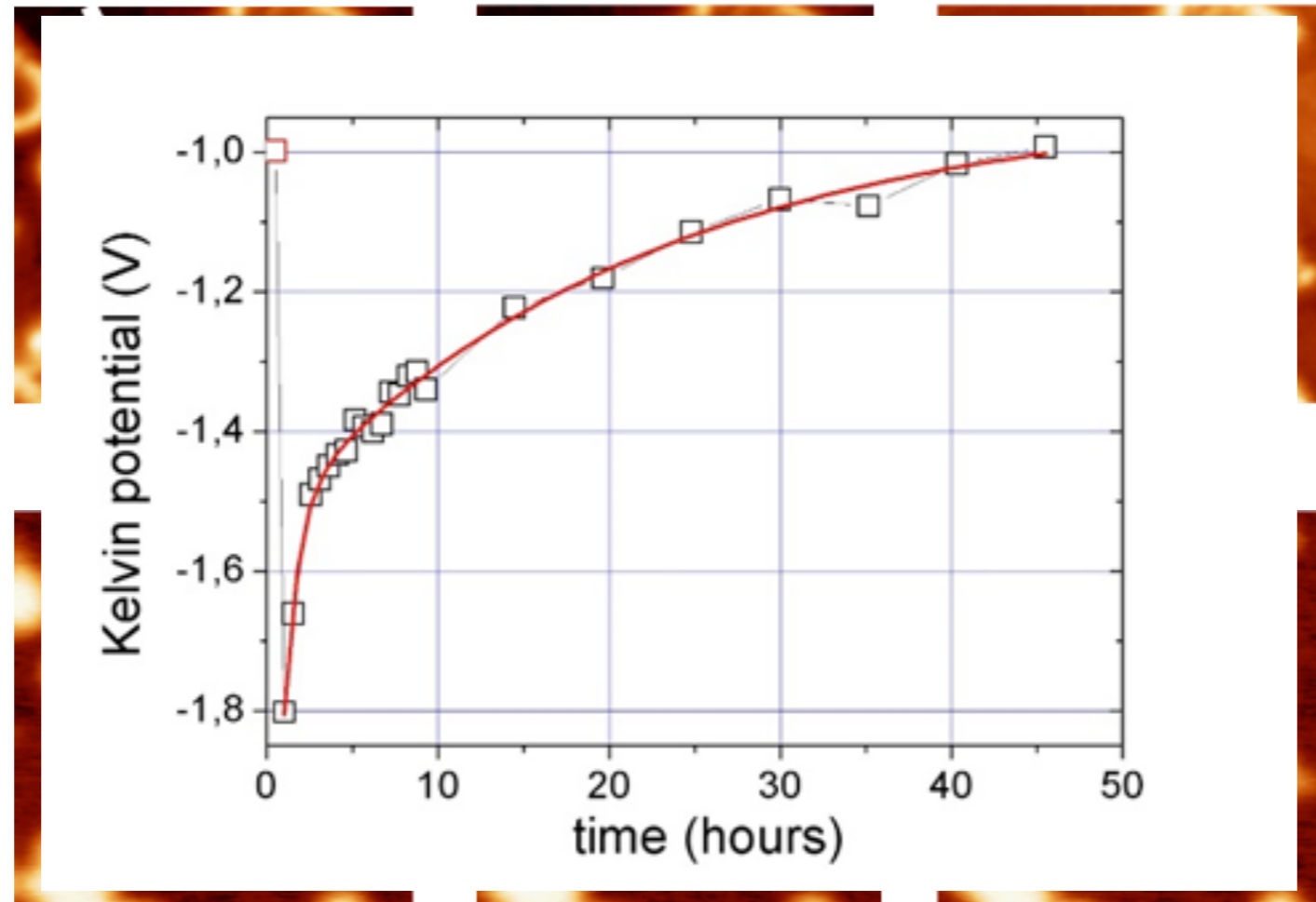
Charge is localized  
No leakage to neighboring islands

Au / AlN(0001)

Discharge?

Topo

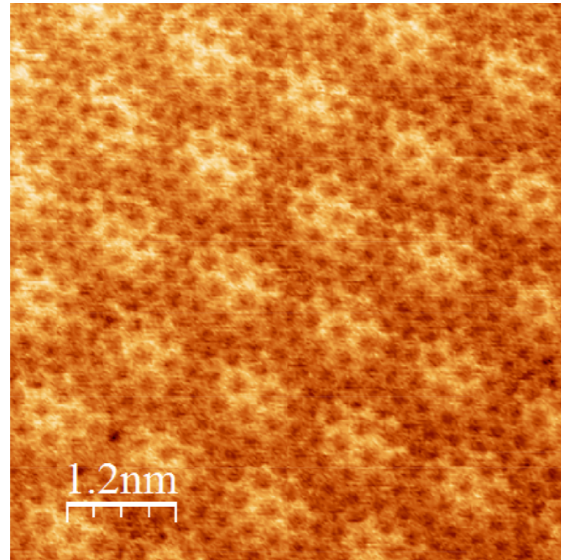
KPFM



Charge is localized  
No leakage to neighboring islands

# Summary

## Au 2D monoatomic high islands on AlN(0001)



### Experiments:

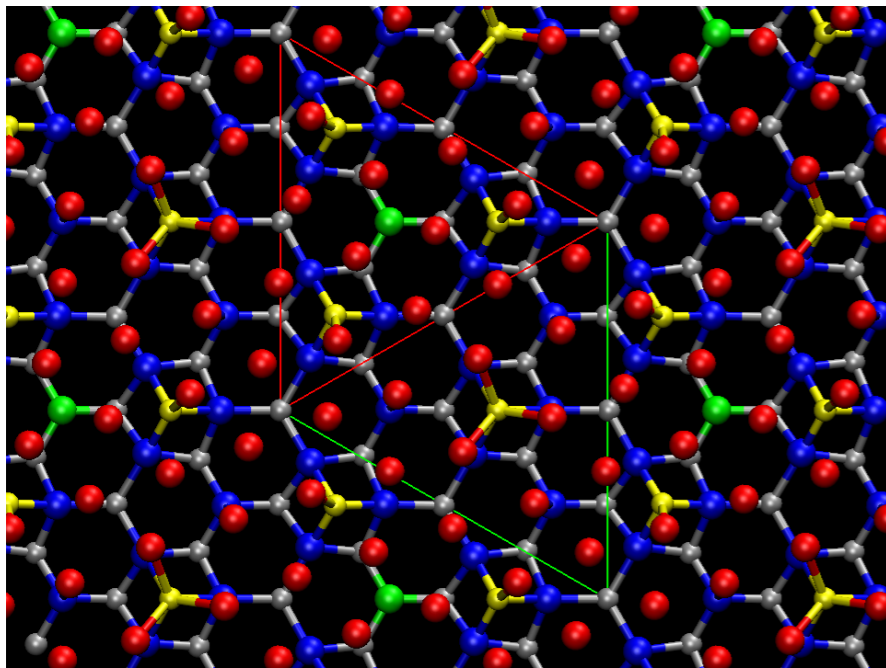
- two moiré observed by NC-AFM with Qplus at 4K
- Two models with hexagonal pattern explained the observed moiré

### DFT calculations :

- models on AlN(4x4) cells with 21 atoms allow to mimic the moiré
- DFT energies and  $d_{\text{Au-inplane}}$  analysis confirm that the  $N_{\text{ad}}$  atoms are still present

### Stabilization mechanisms :

- 9 of the 21 Au atoms create bonds with Al  $sp^2$  and  $N_{\text{ad}}$
- charge transfer of 25 % from the  $N_{\text{ad}}$  atoms to the Au layer
- AlN(0001) polar surface drives the stabilization of the Au layer





# Acknowledgments

## Support



## Funding



## Calculations

Benoit Eydoux

## Experiments

Bulent Baris  
Hassan Khousa  
Olivier Guillermet  
Sébastien Gauthier  
David Martrou



X. BOUJU  
CEMES-CNRS

