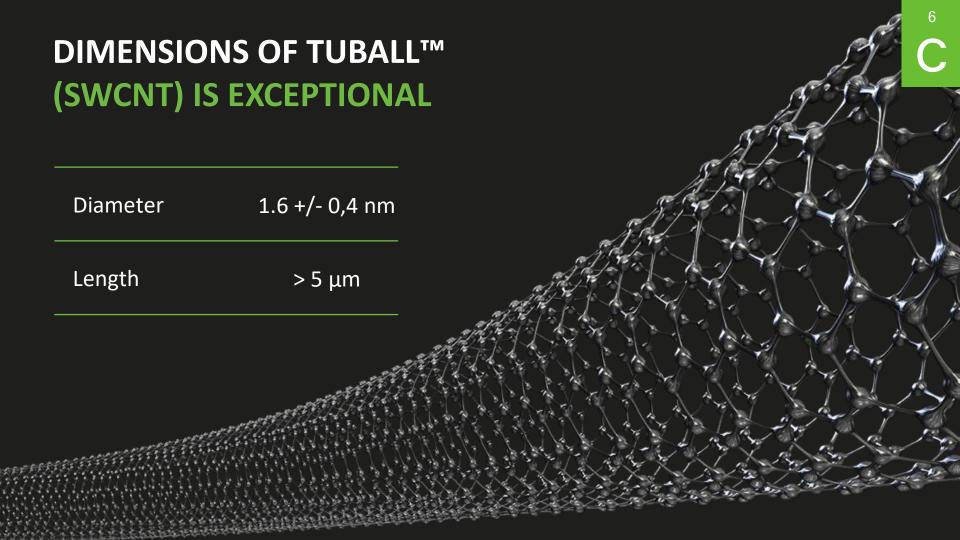


TUBALL[™] NANOTUBES HEALTH, SAFETY & ENVIRONMENTAL STATUS

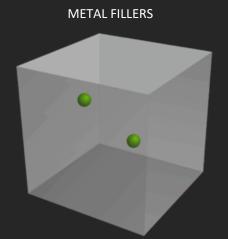
of OCSiAl S.à r.l.

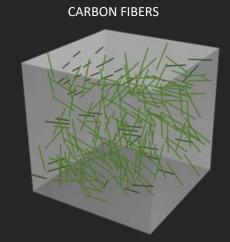
1 Rue de la Poudrerie, L-3364
Leudelange, Grand-Duché de
Luxembourg

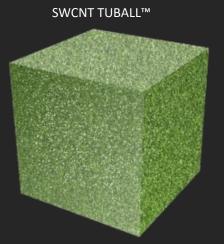


SWCNT DISPERSION IN MATRIX

The same concentration of particles (~0.1%) in the same volume



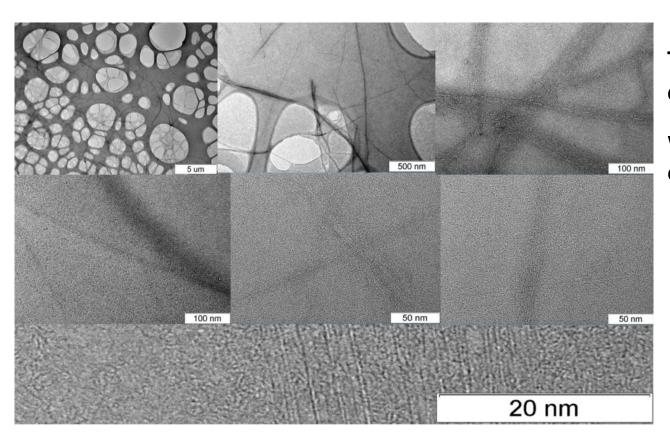




3D NETWORK AT ULTRA-LOW CONCENTRATIONS

The performance space begins to expand Use less, do more, perform better

TUBALL™ EXCEPTIONAL PROPERTIES

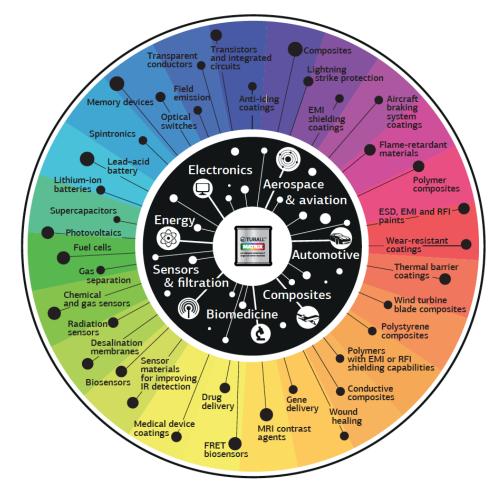


TEM Images of dispersed TUBALL™:

Water based suspension of Tuball

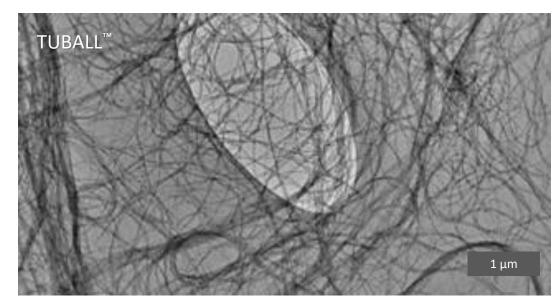
TUBALL™ APPLICIATIONS:

ONE ADDITIVE FOR THOUSANDS OF MATERIALS



TUBALLTM POWDER - SWCNT

WHAT ARE THE PARAMETERS FOR ASSESSING THE RIGIDITY?



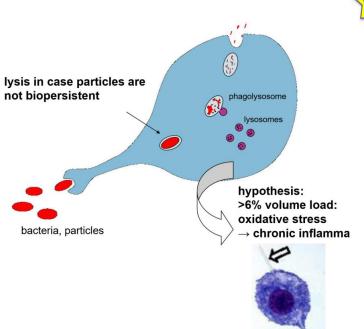
TEM picture of TUBALL™

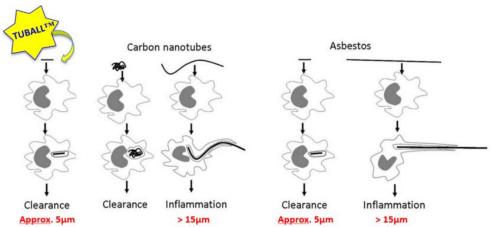
→ NOT RIGID

MORPHOLOGY AND FLEXIBILITY OF TUBALL™ (SWCNT) 1/2

A LONG RIGID BIOPERSISTENT WHO* FIBER HAS TO BE CONSIDERED

CARCINOGENIC



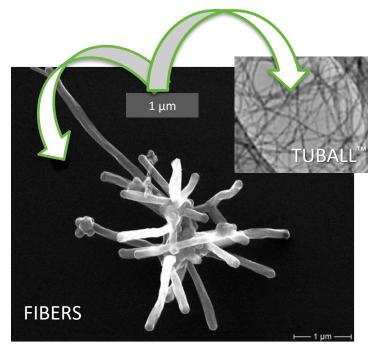


The frustrated phagocytosis paradigm as it relates to long and short fibres of asbestos (right) and various forms of carbon nanotubes (left). When confronted by short asbestos fibres, short carbon nanotube (as Tuball) or tangled, compact carbon nanotube ,particles' the macrophage can enclose them and clear them. However the macrophage cannot extend itself sufficiently to enclose long asbestos or long nanotubes, resulting in incomplete or frustrated phagocytosis, which leads to inflammation.

(Modified from Donaldson et al. Particle and Fibre Toxicology 2010, 7:5.)

^(*) <u>WHO</u> dimension, length >5 μm, diameter < 3 μm, a L:D ratio larger than 3:1 <u>Bio-persistence</u>: the ability of a substance to remain in the lung \rightarrow bio-persistent

MORPHOLOGY AND FLEXIBILITY OF TUBALL™ (SWCNT) 2/2



Rigid: acts like asbestos high carcinogenic potency

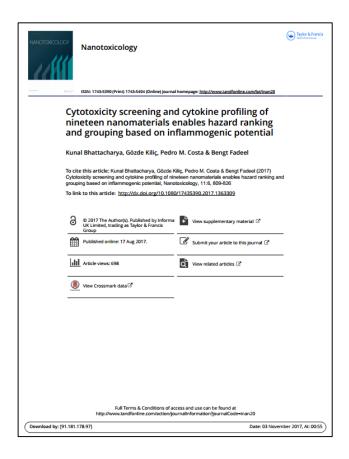
TUBALL™ are **NOT** rigid fibers

as to its **CARCINOGENICITY TO HUMANS**

TUBALL™ DO NOT BEHAVE LIKE ASBESTOS FIBERS DUE TO NON-RIGIDITY

NO INDICATION FOR ANY CARCINOGENIC RISK ASSOCIATED WITH OUR MATERIAL TODAY

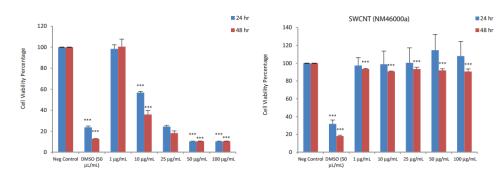
HS&E ASPECTS OF TUBALL™ (SWCNT)



CYTOTOXICITY SCREENING OF 19

NM's - Publication Aug. 2017

- SWCNT is non-cytotoxic!
- All CNTs do not induce potent inflammatory responses!
- SWCNT show a lower toxicity in certain in vitro experiments (Cytotoxicity in THP cells)



REGISTRATIONS AND COMPLIANCE

REACH (EU) AND EPA (USA)

- TUBALL™ is compliant in the EU for commercial sales with a tonnage band up to 10 tons/a!
- REACH registrated since 29 of September 2016!
 This is a milestone for the OCSiAl Group and Nanotechnology sector, in general the first for SWCNTs for up to 10 tons pa!
- NEW goal is to be upgraded for a tonnage band up to 1000 tons pa in Q4 2018
- US_EPA has consented TUBALL of OCSiAl, will placed on the confidential TSCA inventory after receiving the SNUR in December 2018;
- The PMN includes rigorous testing -> amongst others is the mandatory 90-days toxicity by inhalation study!
- OCSiAl is committed to comply with the Canada-CEPA regulations NSN requirements - schedule IV & V;
- TUBALL™ passed in many different nanomaterial registers (Like Belgium, France, Swiss,);
- And any other country regulation where we plan to do business.

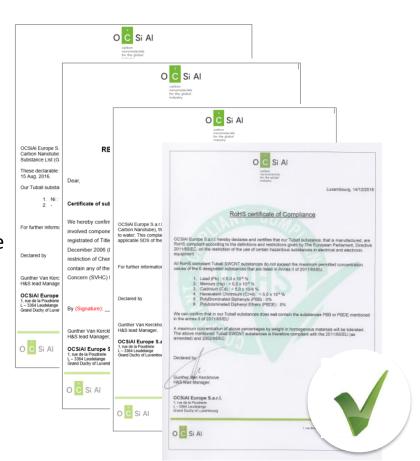






OTHER CERTIFICATE OF COMPLIANCE

- RoHS compliance
- GADSL compliance
- SVHC compliance
- REACH / TSCA / ... compliance
- Regulatory registration status of some TUBALL™ products compliance
- WGK compliance



OCSIAI CARES ABOUT THE HEALTH, SAFETY, AND THE ENVIRONMENTAL ASPECTS

OCSIAI CONDUCTED TESTS IN 2017

TEST OVERVIEW OF 2017				
TEST SUBSTANCE/ PRODUCT	ASSISTANCE OF	TEST	TEST CONCLUSION	
POLYMER MATRICES CONTANED TUBALL™	VITO	PRODUCT TEST — MECHANICAL ABRASION ON EP/HDPE/RUBBER (TYRE)	NO EFFECT	
	VITO	PRODUCT TEST — DRILL ON EP/HDPE	NO EFFECT	
	VITO	PRODUCT TEST — SPILL OF WATER-BASED SUSPENSION	NO EFFECT	
TUBALL™	OCSiAl - STATPEEL	TUBALL™ HANDLING & PROCESS SIMULATIONS – 1 ST SERIES	ON GOING	

NANOSAFETY PRODUCT TESTING





Figure 1: Taber abraser (schematic)

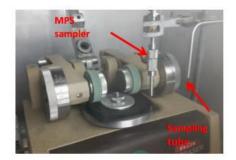
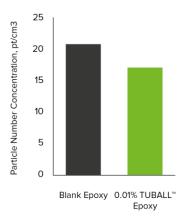
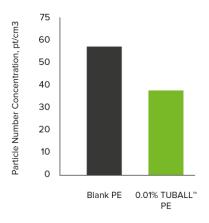
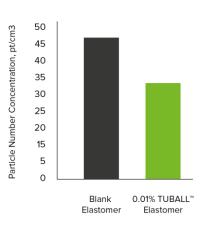


Figure 2: Test set-up with Aerosol sampling tube

For example: abrasion test







- Simulation of typical handling (sliding, walking, polishing, rolling)
- Released nanoparticles detected and quantified
- Test method from DIN68861-2:1981, ISO 5470-1:1999, ASTM D4060-95:2007
- Taber abraser type Teledyne Taber model 5130

Other nanosafety product testing were also conducted like drilling of nanocomposites

NANOSAFETY PRODUCT TESTING



Conclusion

Hardly any micron sized particles were released during the abrading of the blank and Tuball™ containing epoxy, polyethylene and elastomer.

As shown by the results of the real time measurements limited nano and micron sized particles were released during taber abrading resulting in a low deposition efficiency on the grids.

TEM ANALYSIS SHOWED SOME MICRON SIZED PARTICLES, BUT NO PROTRUDING OR FREE STANDING SWCNT'S WERE FOUND!

OCSIAI TESTPLANS FOR 2018

TEST OVERVIEW 2018				
TEST SUBSTANCE/ PRODUCT	ASSISTANCE OF	TEST	TEST CONCLUSION	
TUBALL™	BAuA	TOXICITY STUDY BY INHALATION	TO BE STARTED Q1/2018	
	BAuA	DUSTINESS TESTING TUBALL™ SUBSTANCE	TO BE STARTED 2018	
POLYMER MATRICES CONTAINED TUBALL™	OCSiAl - STATPEEL	TUBALL™ HANDLING & PROCESS SIMULATIONS - 2 nd SERIES	ON GOING	
	VITO	DETERMINE MECHANICAL STRENGHT OF DIFFERENT POLYMERS CONTAINING TUBALL™	TO BE STARTED 2018	
TUBALL™ - PAPER	INERIS	PRODUCT TEST — SLITTING PROCESS DURING PRODUCTION OF TUBALL™ PAPER	TO BE STARTED Q2/2018	
TUBALL™	NEED TO BE SLECTED	OECD 413 90DAYS INHALATION TOXICITY IN VIVO STUDY	ON GOING	
TUBALL™	HSL UK / TNO	DUSTINESS TESTING TUBALL™ SUBSTANCE (requested by EPA)	TO BE STARTED 2018	
POLYMER MATRICES CONTAINED TUBALL™	VITO	LIFE CYCLE ASSESSMENT	TO BE STARTED 2018	
	INERIS	WEATHERING TESTING	TO BE STARTED 2018	
	INERIS	ECO-TOXICOLOGIC STUDY — ALGAE & DAPHNIA ON NEW/AGED EP/HDPE/RUBBER	TO BE STARTED 2018-2019	
	INERIS	INCENERATION ON EP/HDPE/RUBBER (TYRE)	TO BE STARTED 2018	
	INERIS	COMBUSTION ON EP/HDPE/RUBBER (TYRE)	TO BE STARTED 2018	

OCSIAI CARES FOR PEOPLE & ENVIRONMENT

- OCSiAl has started-up a corporation with INERIS, well known French Research center, with the necessary expertise to find answers on a few extra Health & Safety issues that we like to clear out;
- Associated partner of the EC funded project "EC4SafeNano" ~ since Nov. 2016;
- One of the industry representatives in many conferences, workshops, NM-meetings, ...
- First discussion were made with NIOSH: National institute of Occupational S&H,
 HSL: HIS HSE's UK Health and Safety & STATPEEL Swiss, show a lot of interests.









TAKE HOME MESSAGE

- OCSiAl cares for people and environment, shows a pro-active attitude towards HS&E questions;
- No cytotoxicity No inflammation of (SW)CNT according recent study ~ published Aug. '17;
- IARC → SWCNT are **not classifiable** as to its carcinogenicity to humans ~ *published Sept. '16*;
- WHO criteria apply to Tuball ?
 BUT WHO fibers show big differences → NON RIGID;
- REACH registration as the first for SWCNT ~ published Sept. '16
- Tuball soon listed on the confidential TSCA inventory ~ planned end '17;
- Professional users/consumers are not exposed of protruding nor free standing SWCNTs were found ~ published March 17;

TAKE HOME MESSAGE

- A lot of mandatory studies are planned involving tonnage band upgrade and to be compliant with new substance notification;
- A lot of additional studies/testings are planned to ease the concerns of our customers;
- OCSiAl is involved in many projects and different workgroups;
- The board of appeal (ECHA) mention <u>no consistent causal</u> link yet been established between size and hazardous properties! → NOT BECAUSE IT'S A NANOMATERIAL THAT IT IS HAZARDOUS!! ~ published June 17.

CONCLUSIONS only valid for Tuball TM

THANK YOU!

H&S QUESTIONS OR PROBLEMS OR INTERESTS?

CONTACT ME:

Gunther Van Kerckhove HS&E Lead manager +352 661 183 429 Skype: gvksafety