



# **Direct/indirect band gap and exciton dispersion: Monolayer and bulk hexagonal boron nitride**

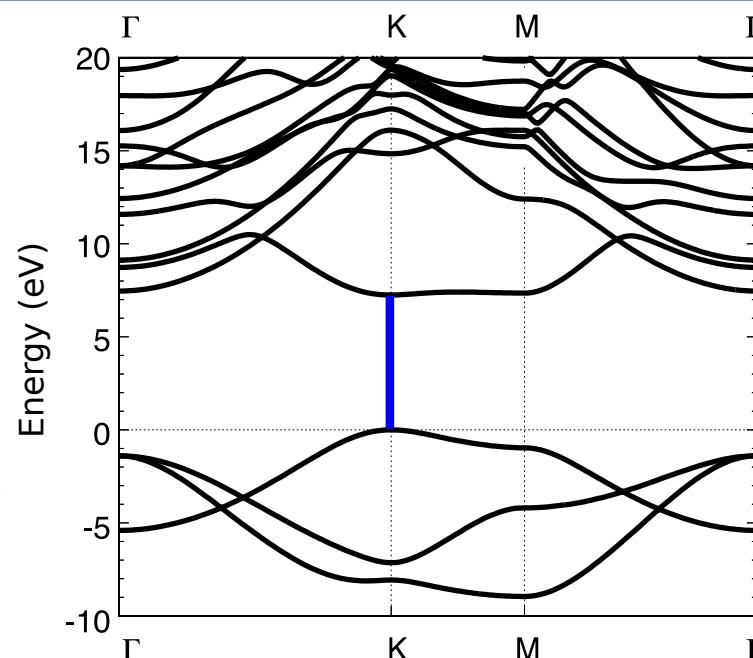
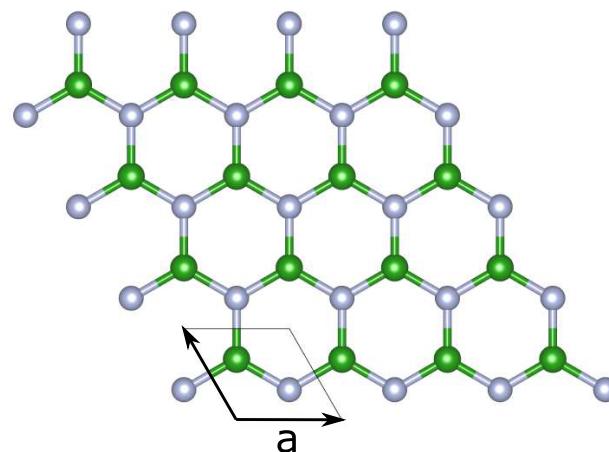
L. Sponza, L. Schué, H. Amara, C. Attaccalite, F. Ducastelle, A. Loiseau, J. Barjon

# Outlook

- **The curious spectra of hexagonal boron nitride (hBN)**  
contradiction between electronic and optical properties  
modeling free carriers and excitons
- **Tight-binding model of the exciton**  
validation of the model in the monolayer  
insight from the monolayer to the bulk
- **Ab initio exciton dispersion in bulk hBN**  
conciliation of the contradictions (theory)  
experimental evidences
- **Predictions about the Bernal stacking**

# Hexagonal boron nitride: electronic structure

## Monolayer



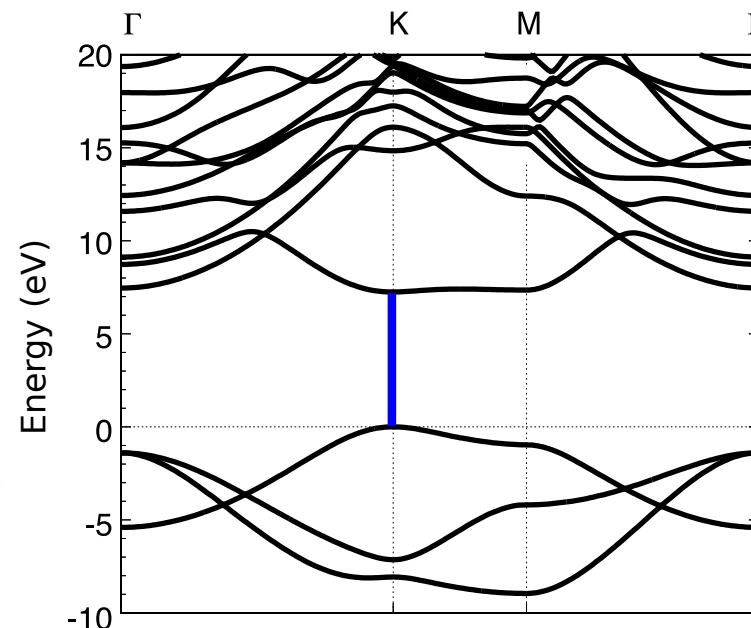
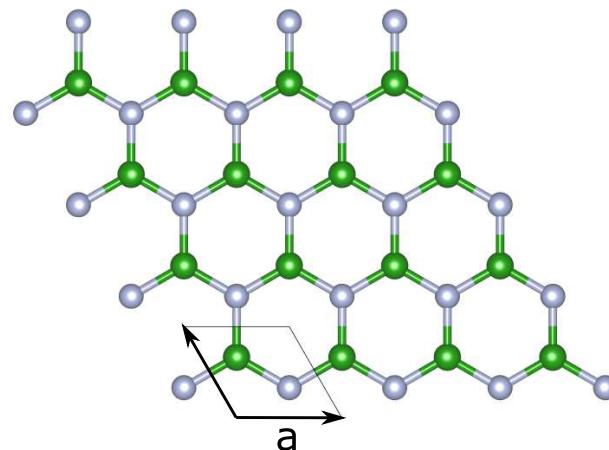
**quasiparticle gap**  
GGA + 2.75 eV

direct K 7.25 eV

indirect KM 7.35 eV

# Hexagonal boron nitride: electronic structure

## Monolayer

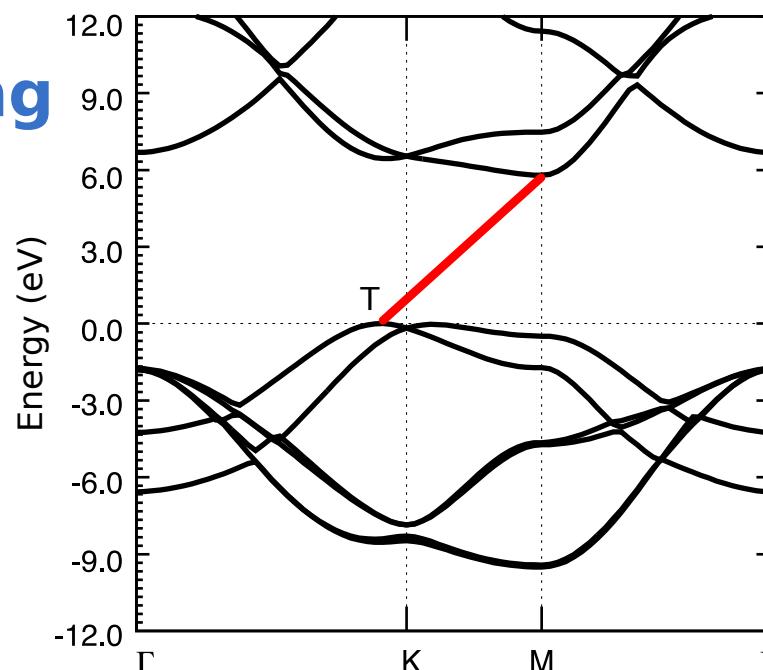
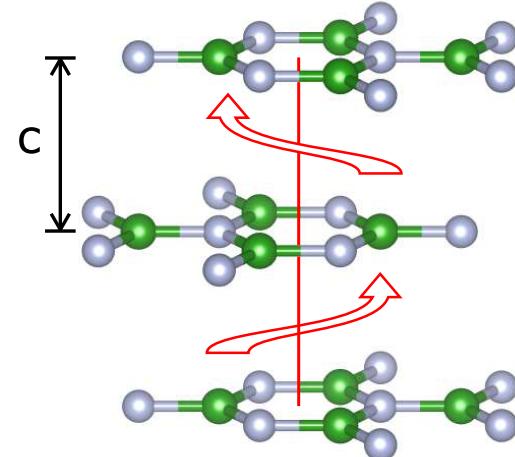


**quasiparticle gap**  
GGA + 2.75 eV

direct K 7.25 eV

indirect KM 7.35 eV

## Bulk: AA' stacking



**quasiparticle gap**  
LDA +  $G_0W_0$

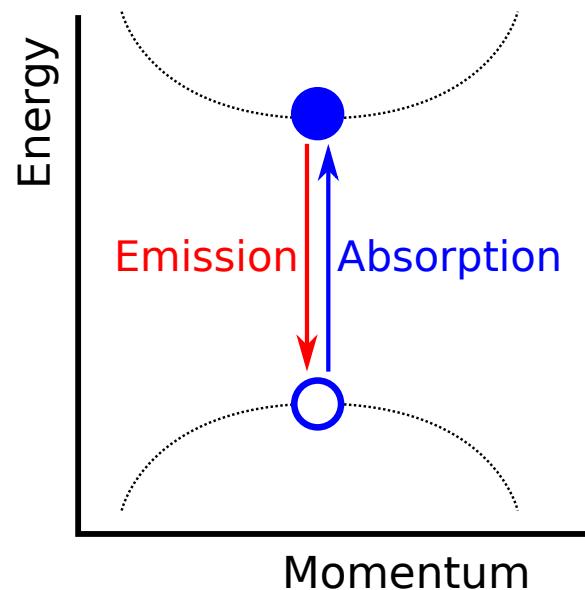
direct T 6.45 eV

direct M 6.28 eV

indirect TM 5.80 eV

# Expectations from direct and indirect gaps

## Direct gap



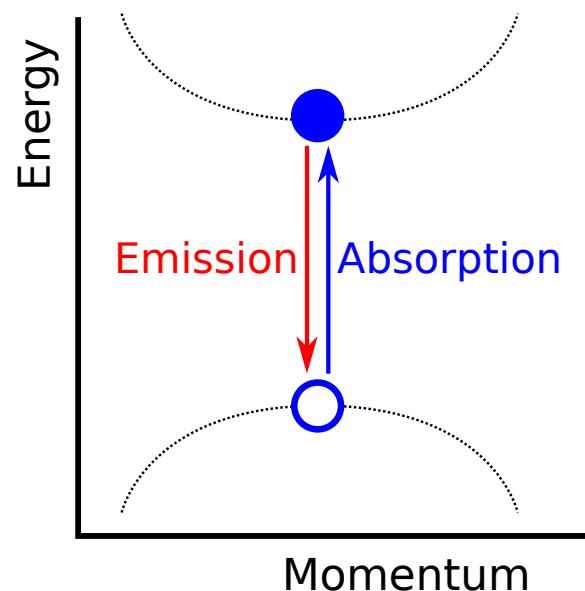
coupling only  
to **photons ( $q=0$ )**



- High probability
- High efficiency

# Expectations from direct and indirect gaps

## Direct gap

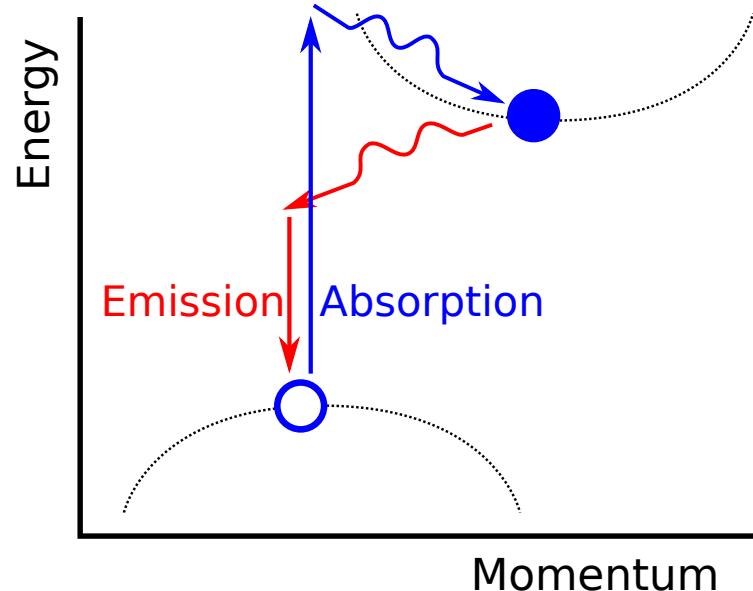


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## Indirect gap

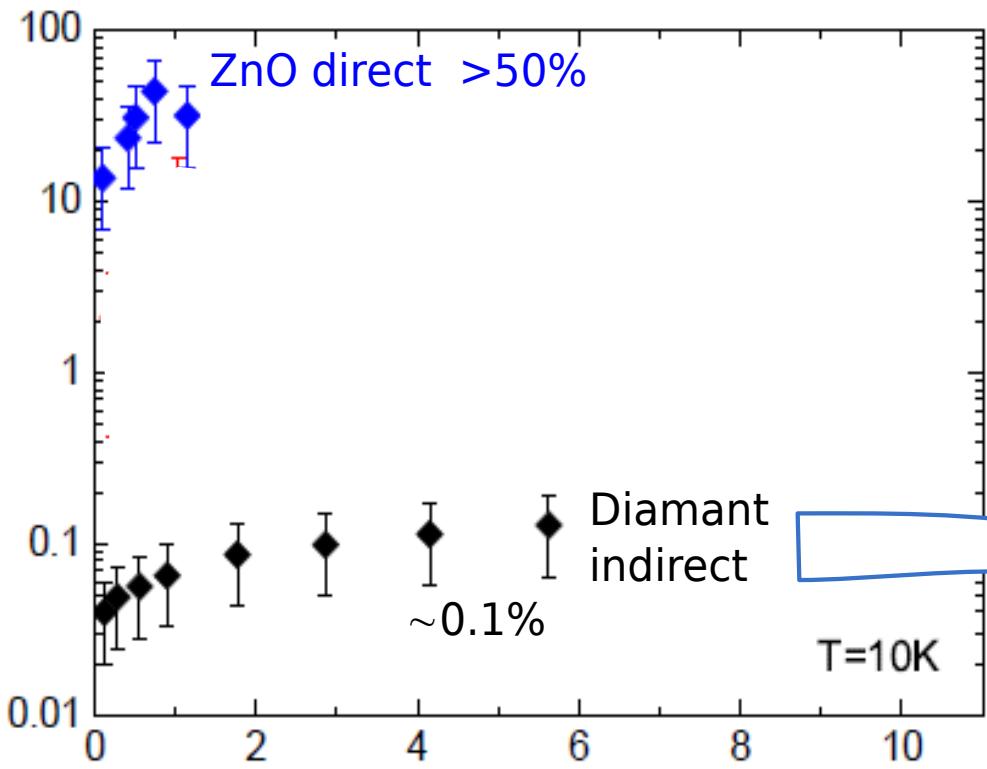


coupling  
to **photons ( $q=0$ )**  
and **phonons ( $q \neq 0$ )**

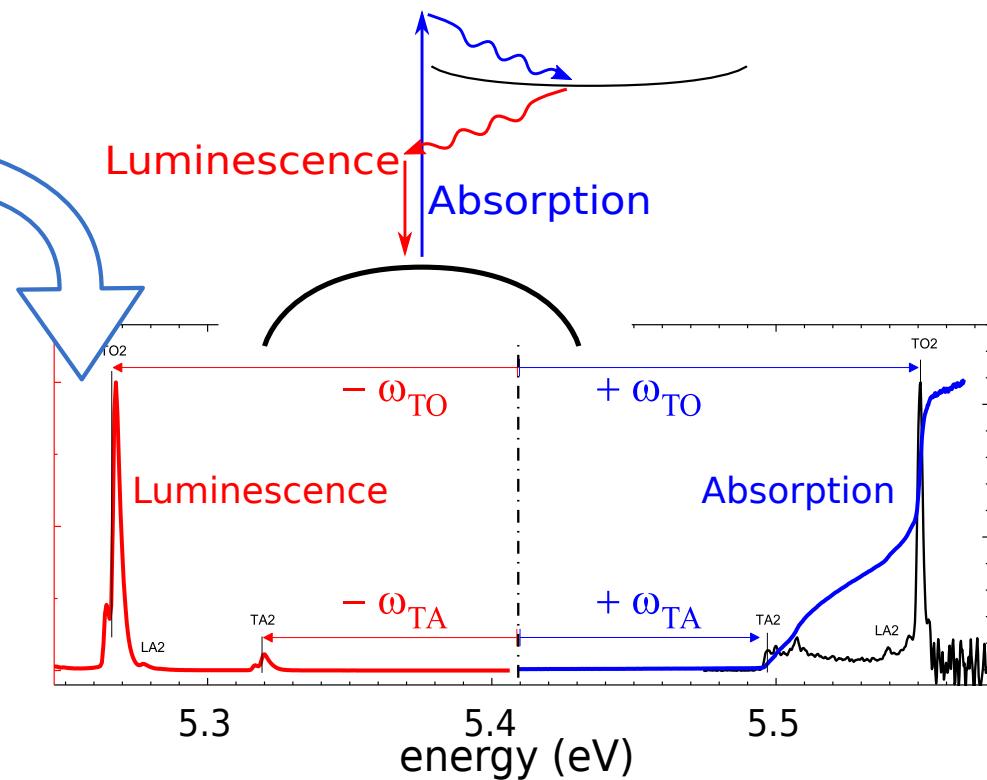


- Low probability
- Low efficiency

# Experimental luminescence of bulk hBN

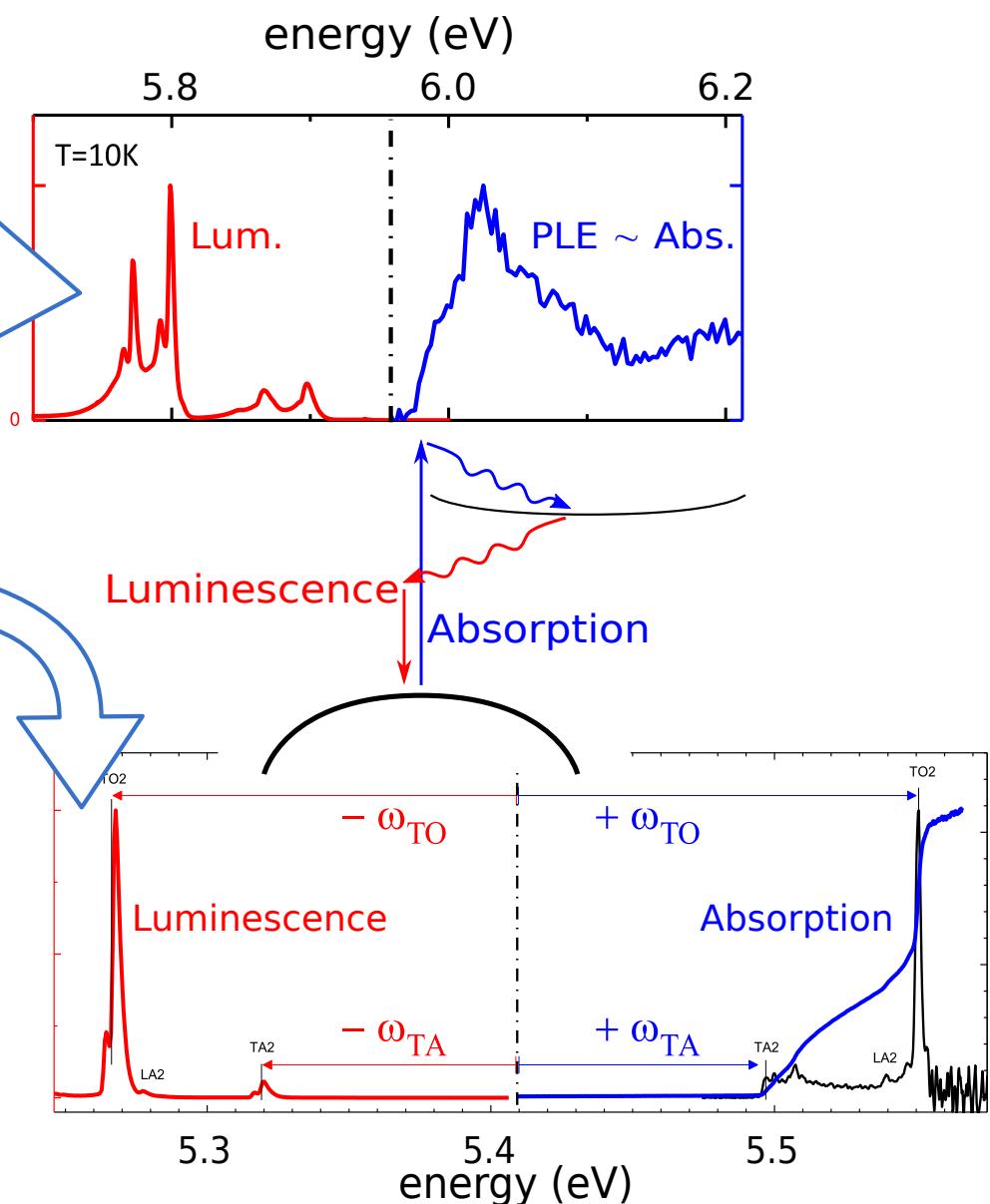
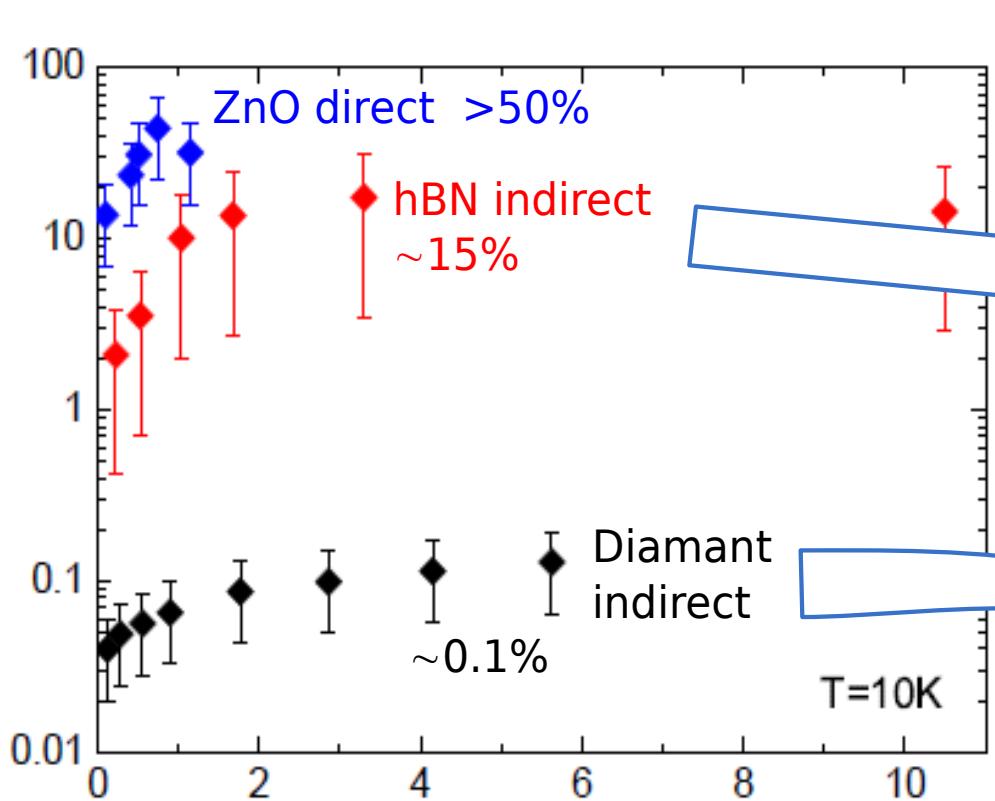


Paradigmatic indirect gap material (diamond) has **low luminescence efficiency** and **abs/lum mirror symmetry** holds for spectra.



# Experimental luminescence of bulk hBN

High luminescence efficiency No abs/lum mirror symmetry



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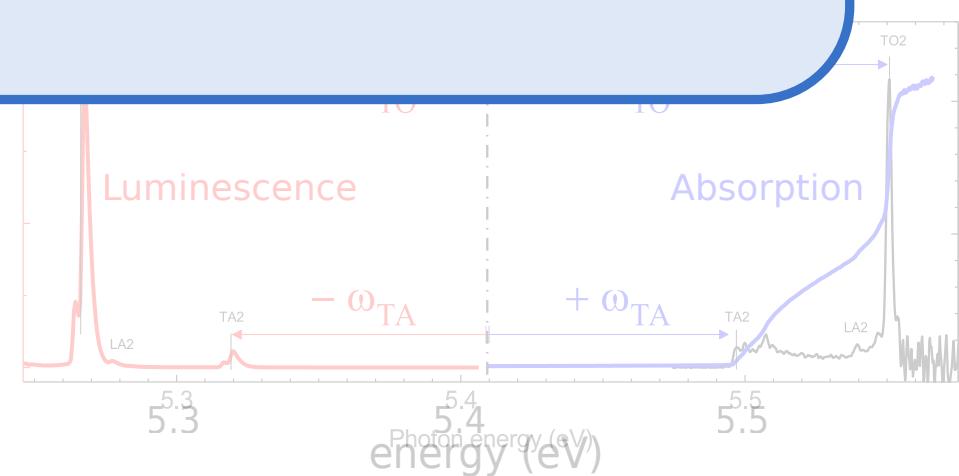
# Experimental luminescence of bulk hBN

High luminescence efficiency No abs/lum mirror symmetry



**Despite the indirect gap of bulk hBN,  
luminescence efficiency is very high  
and abs/lum specularity does not hold**

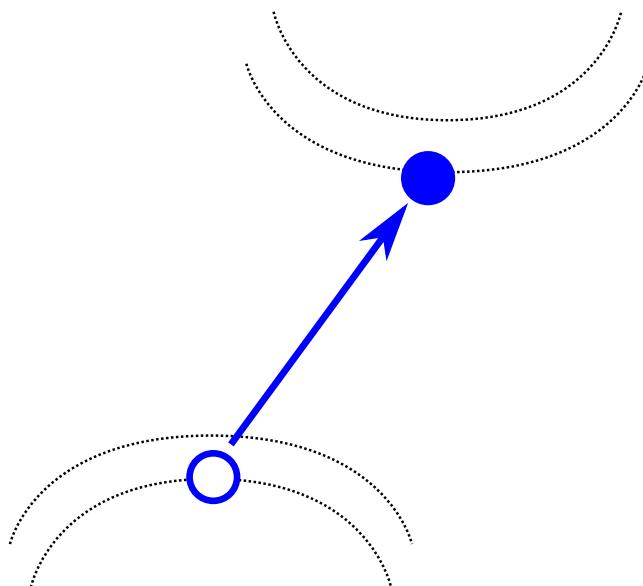
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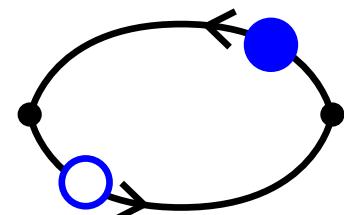
# 1-particle and 2-particle excitations

## Free carriers: electron + hole

Quasiparticle: scissor, GW...  
two 1-particle propagators

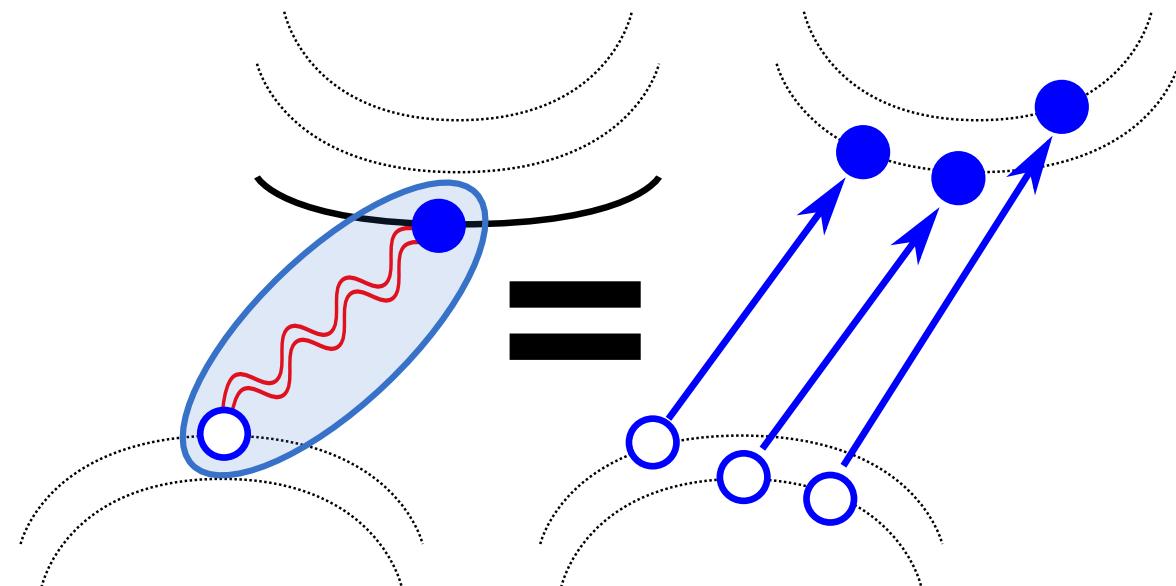


Dipole-allowed transitions  
between points of the  
**band structure.**

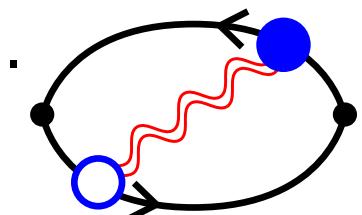


## Exciton: electron-hole pair

Bethe-Salpeter equation  
single 2-particle propagator

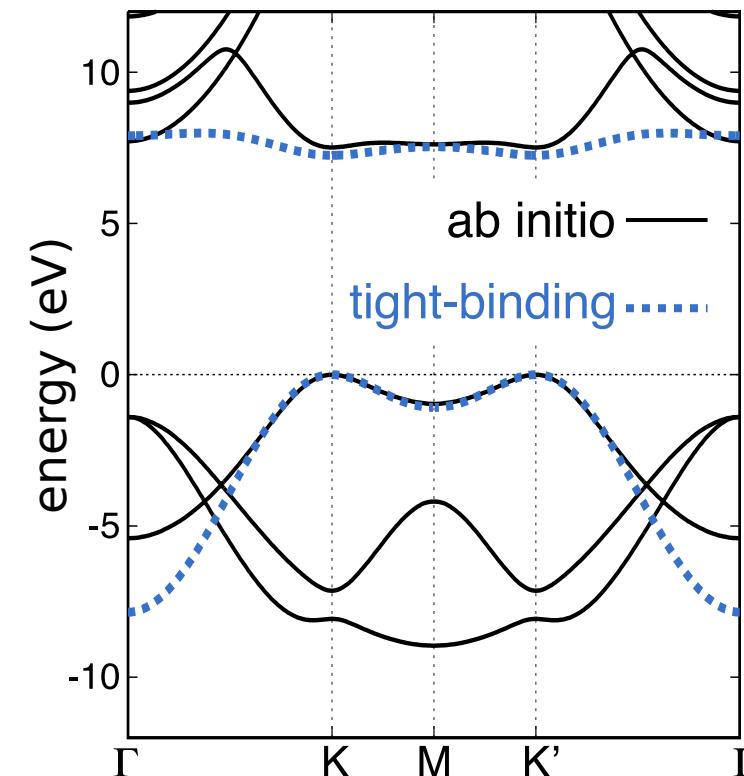
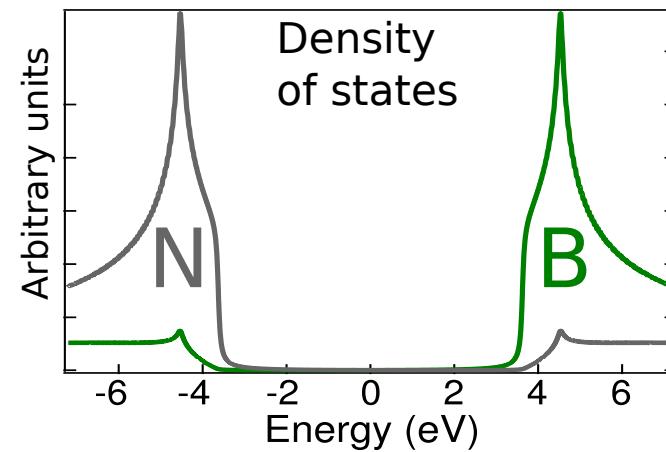


Excitonic Hamiltonian  
on a basis of free carriers.  
**Excitonic levels.**



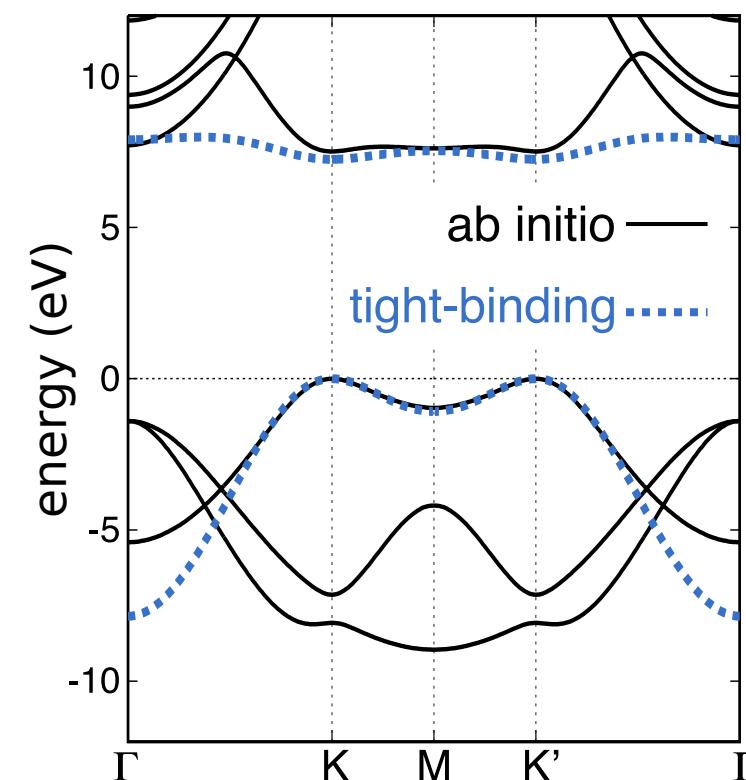
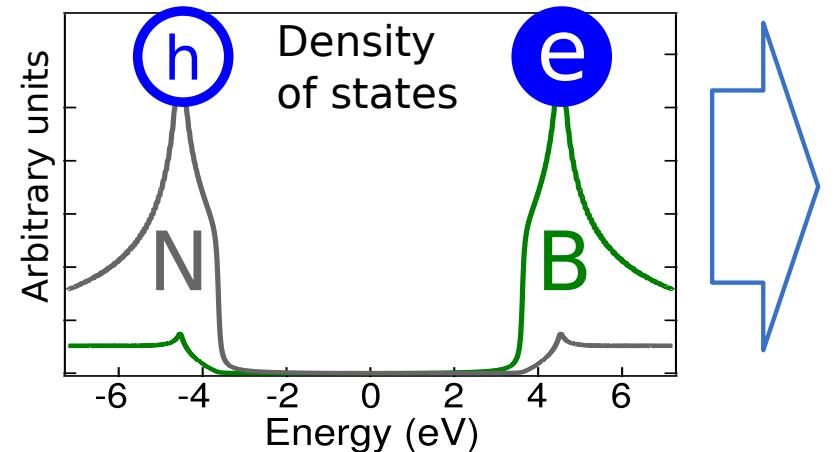
# 1-particle and 2-particle excitations

## 1-particle model



# 1-particle and 2-particle excitations

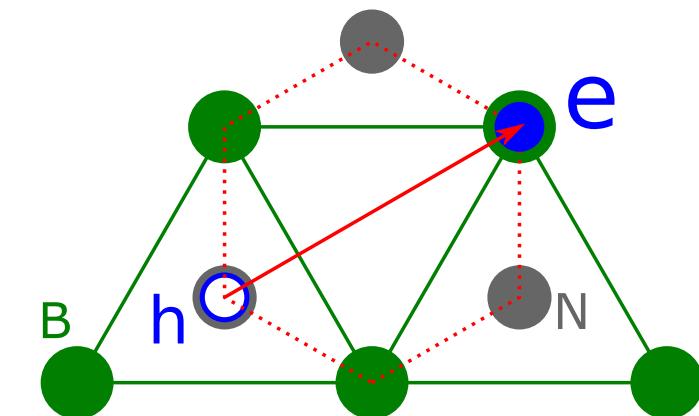
## 1-particle model



## Excitonic model

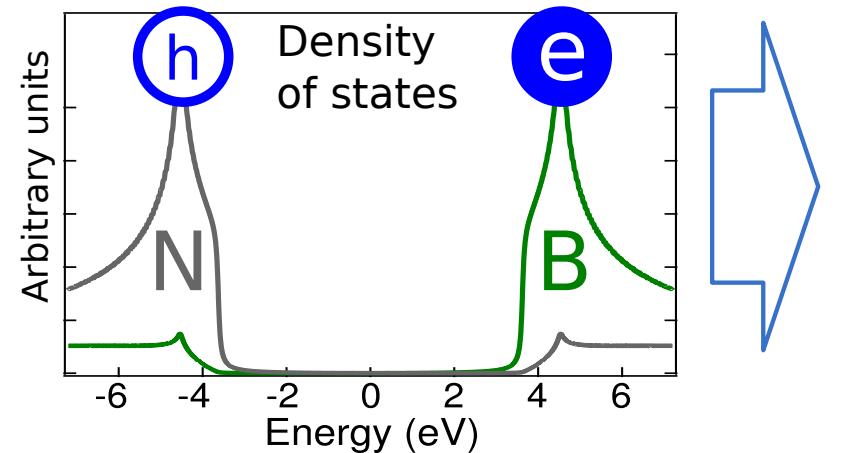
T. Galvani, PRB **94** (2016)

1-particle  
triangular lattice  
attractive source



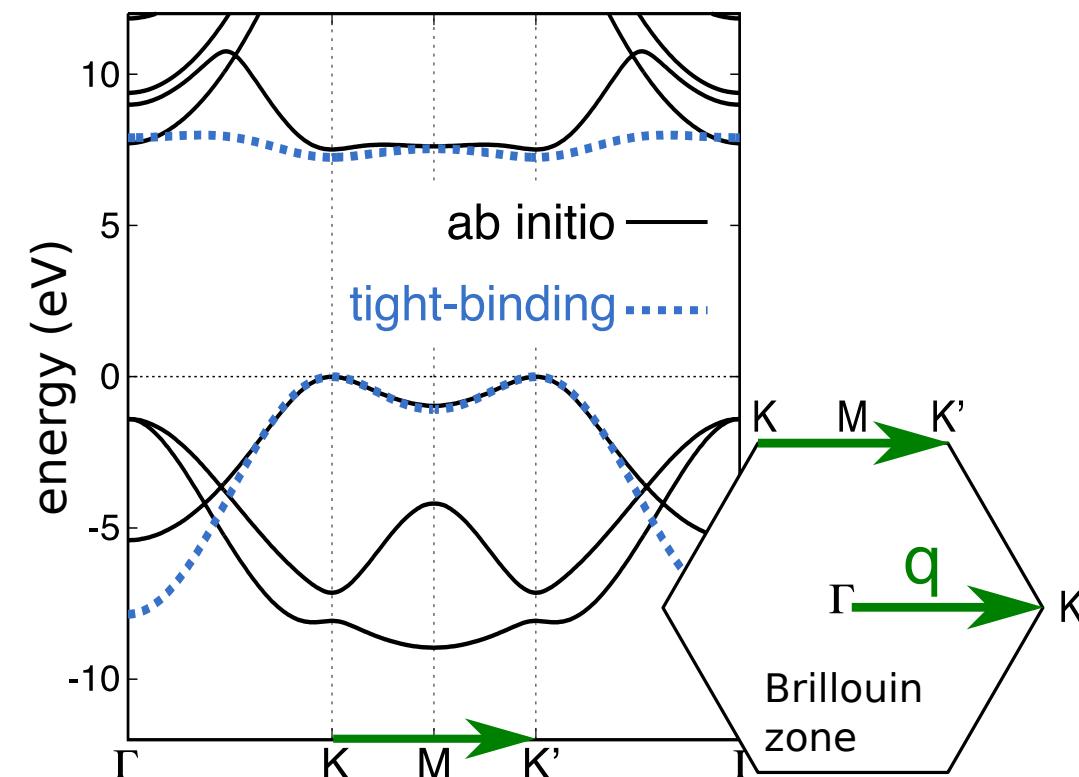
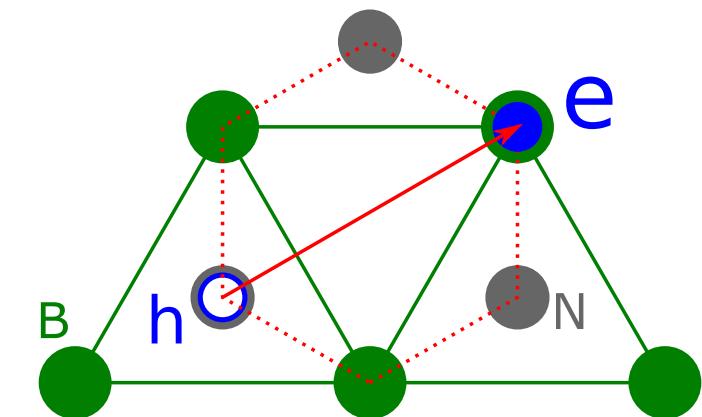
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## 1-particle model



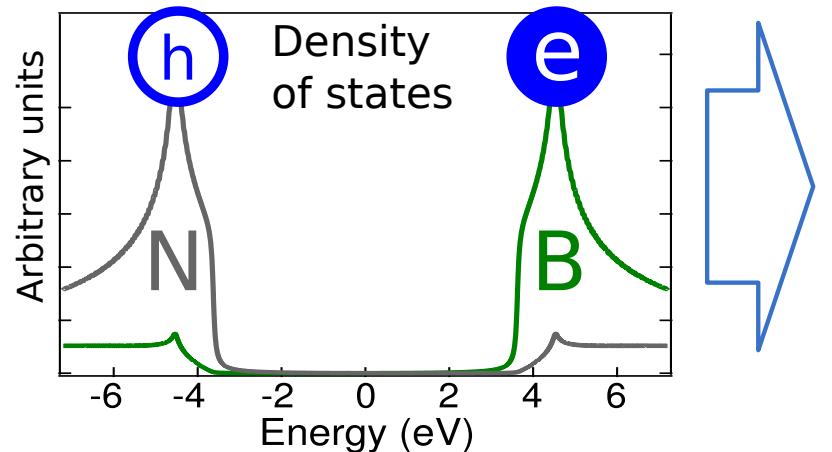
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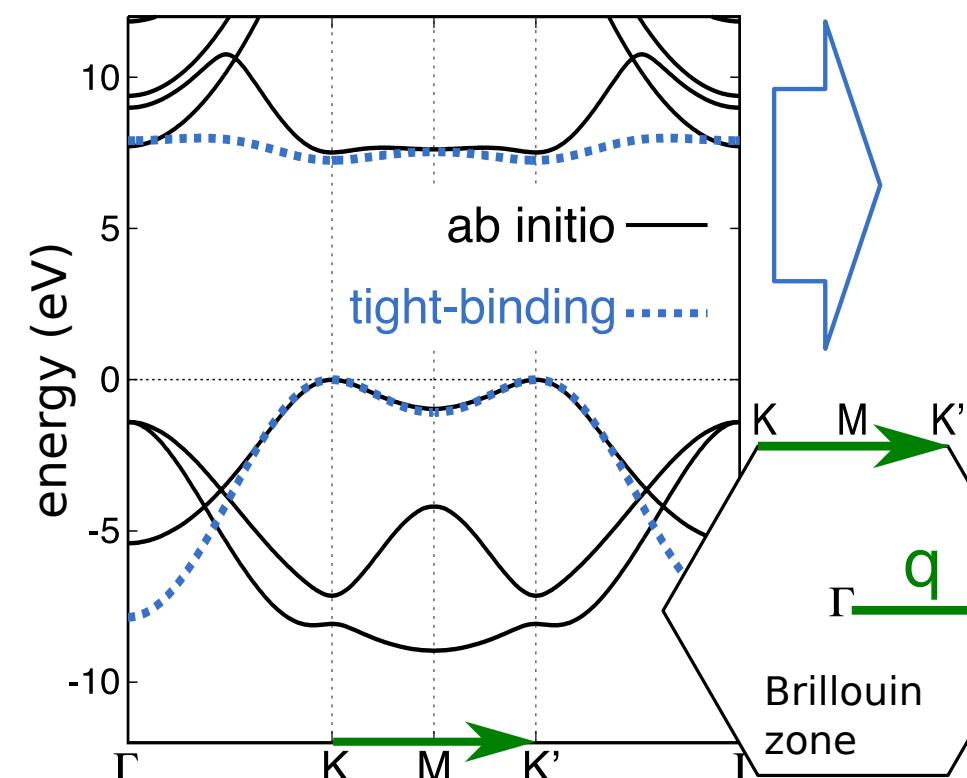
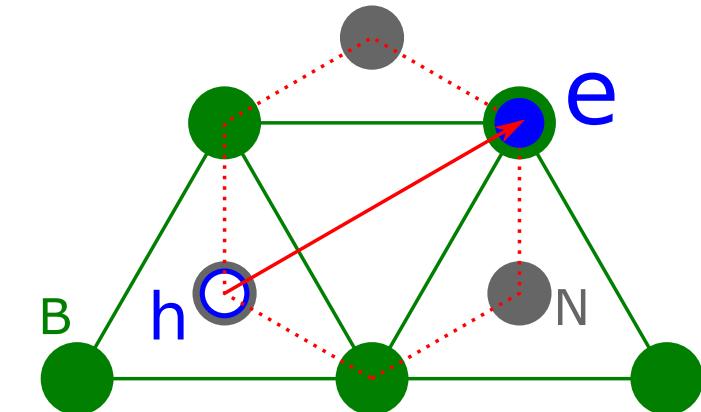
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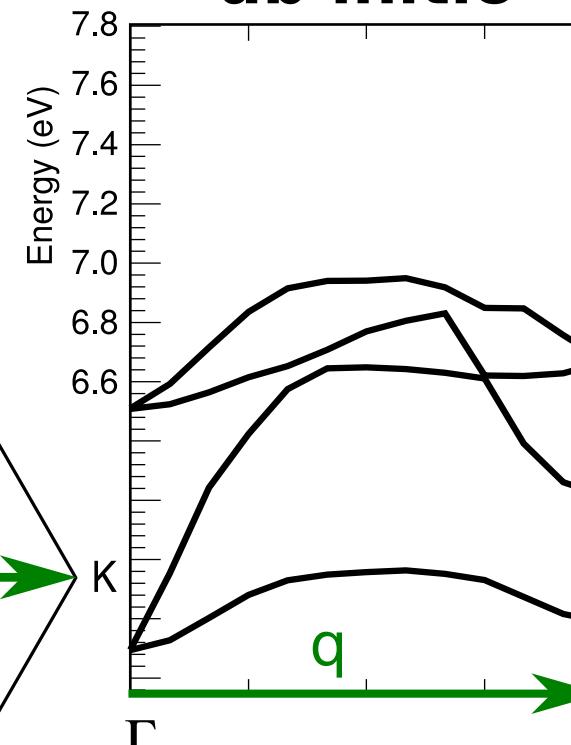


## Excitonic model

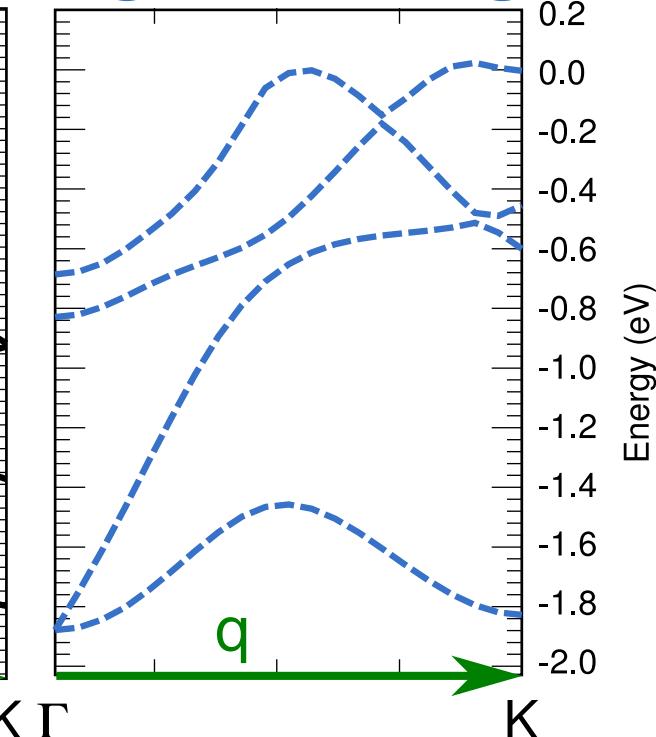
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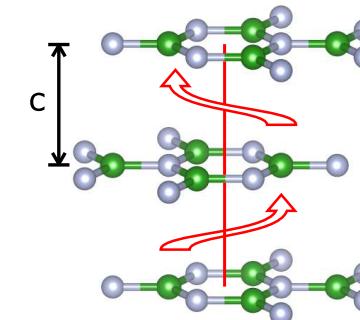
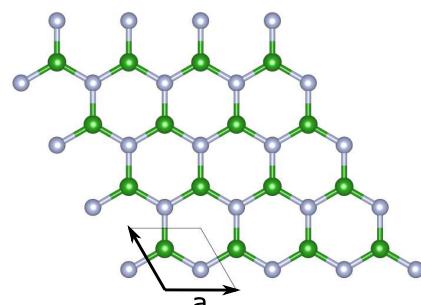
## ab initio



## tight-binding



# From monolayer to bulk AA'

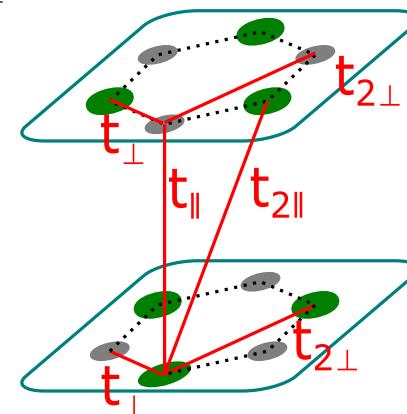
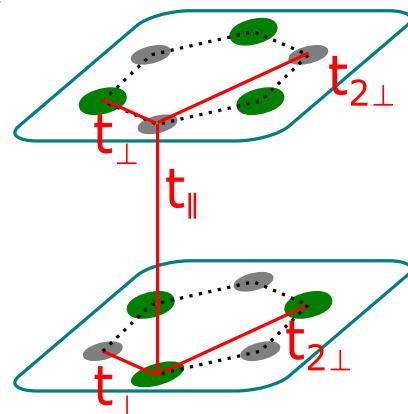
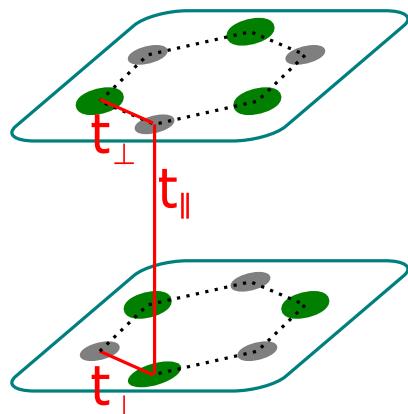
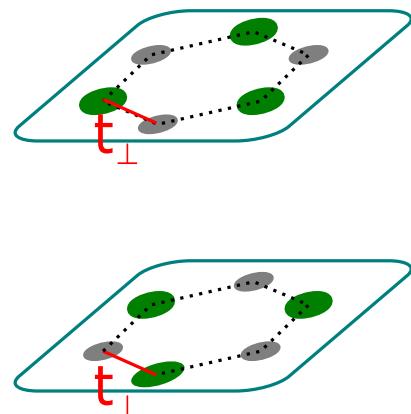
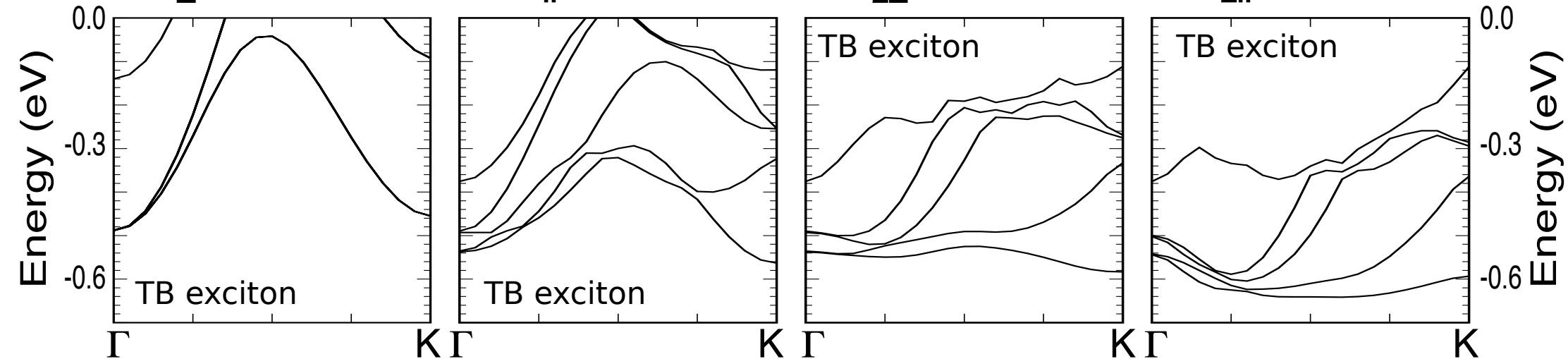


$$t_{\perp} = -2.33 \text{ eV}$$

$$t_{\parallel} = 0.5 \text{ eV}$$

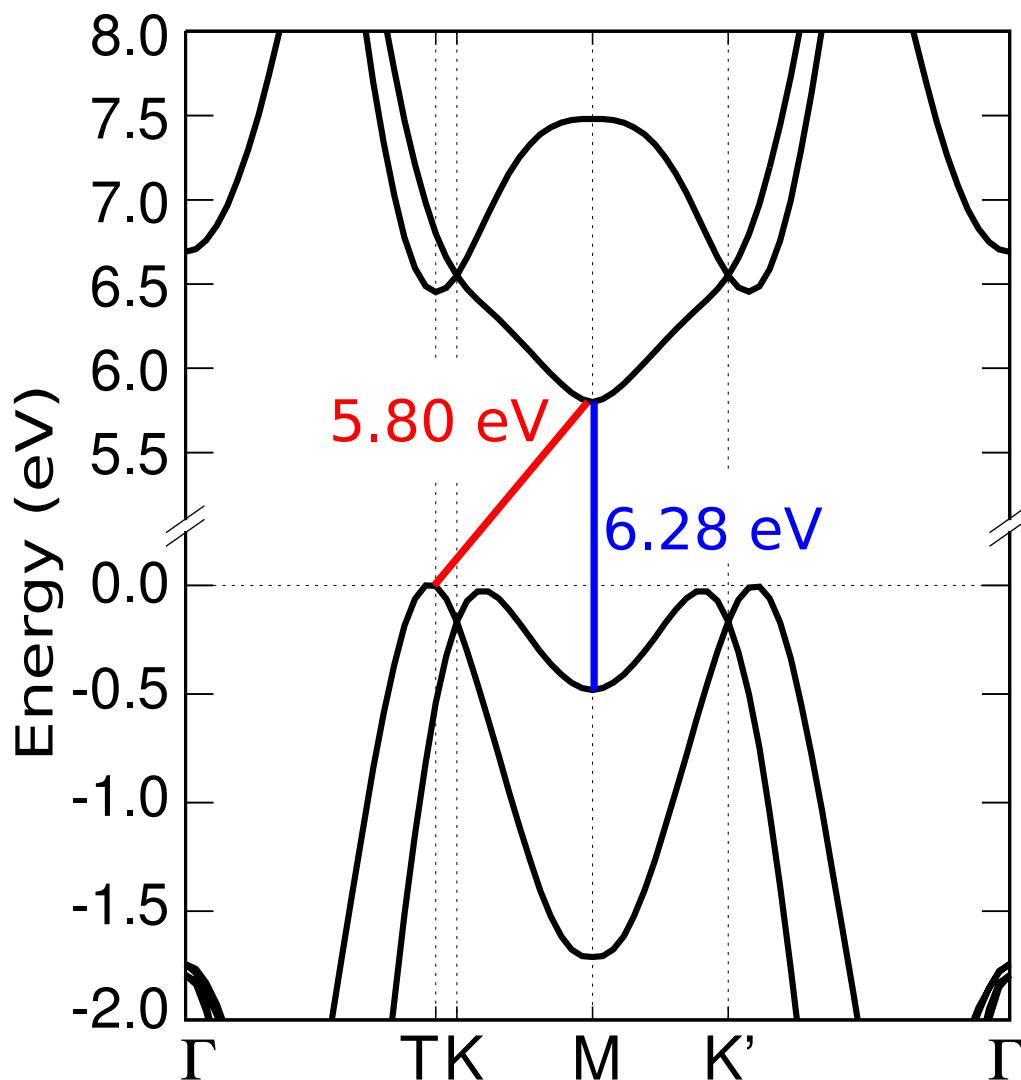
$$t_{2\perp} = -0.4 \text{ eV}$$

$$t_{2\parallel} = -0.1 \text{ eV}$$

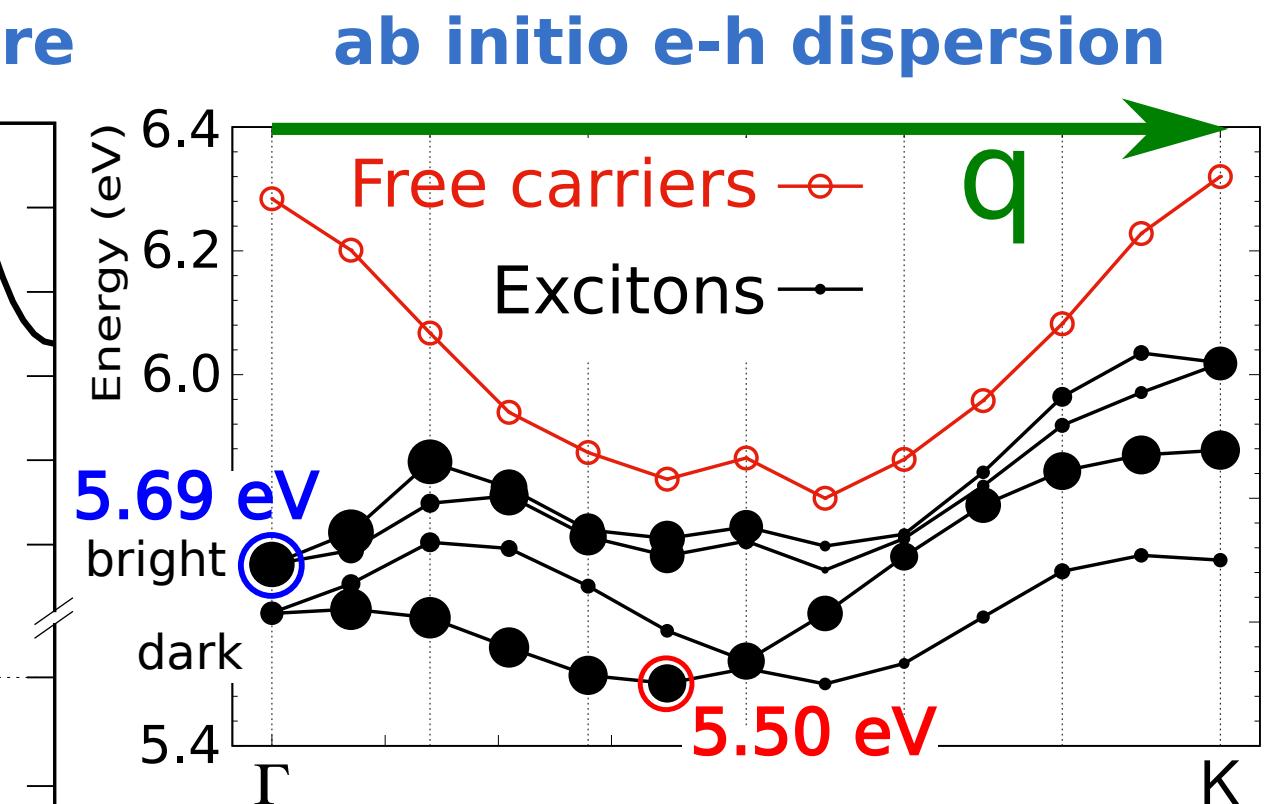
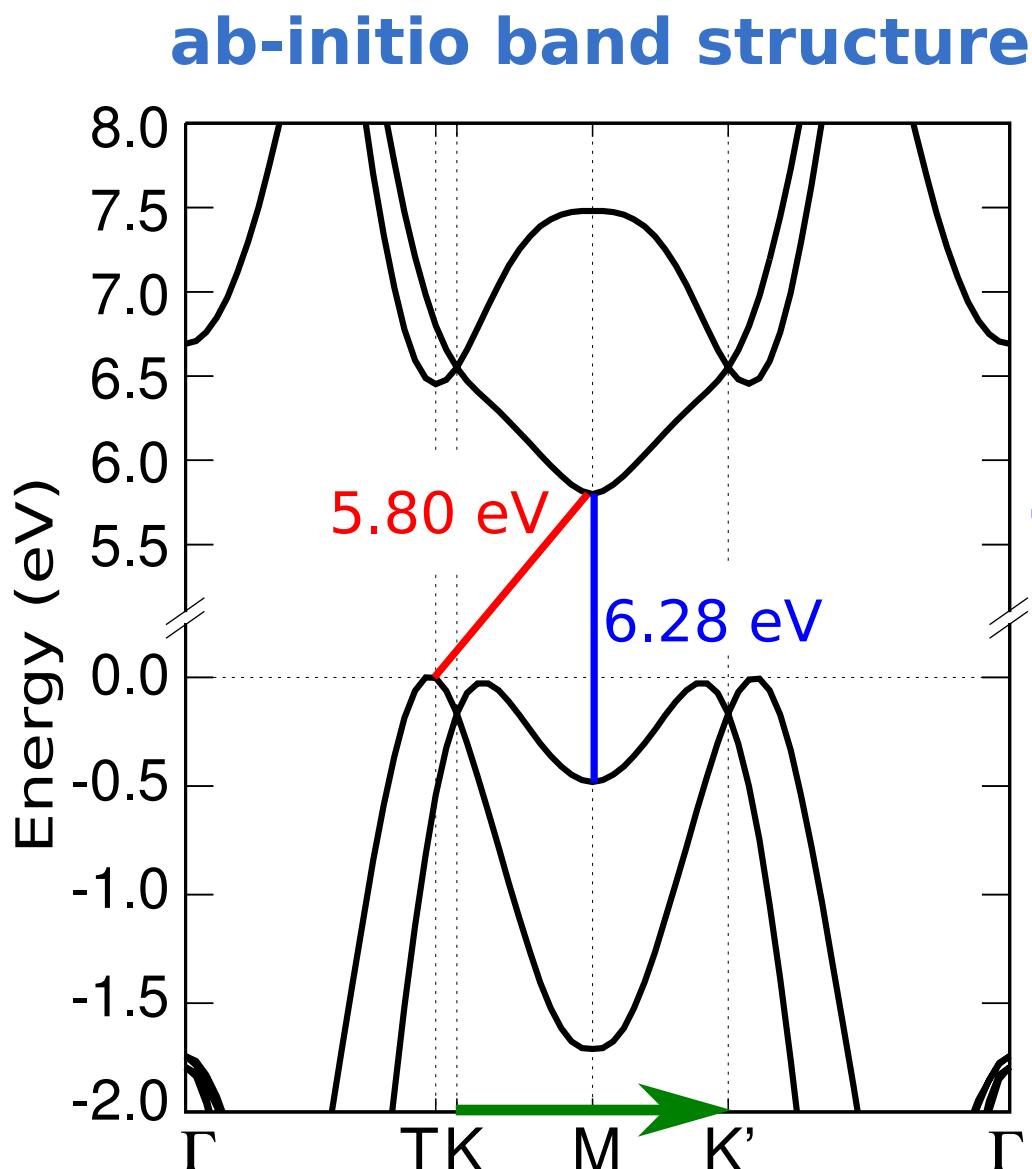


# Exciton dispersion in bulk AA'

## ab-initio band structure



# Exciton dispersion in bulk AA'



Variations of the binding energy lead to a flattening of the excitonic dispersion.

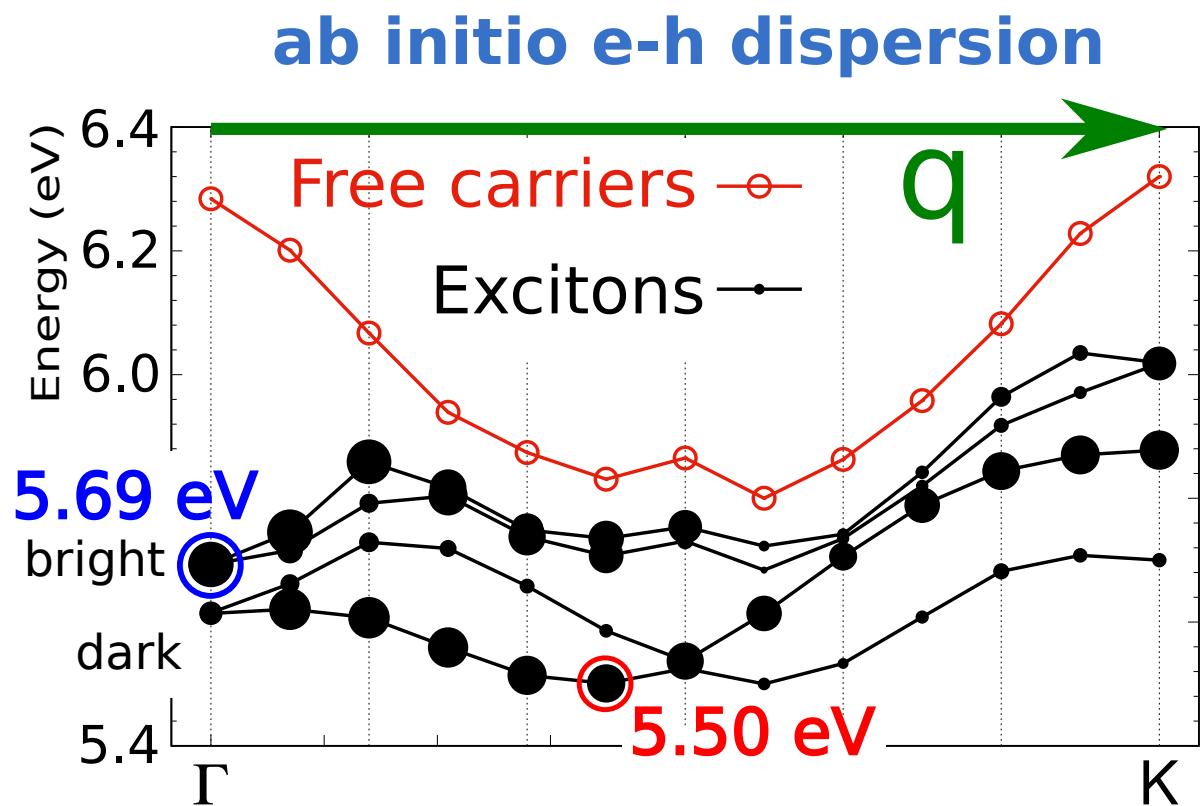
**Direct (bright) and indirect excitons lie within 0.2 eV.**

# Consistent explanation of the optical properties

Flat dispersion = localized e-h

a) **Electron and hole**  
**spatially close** (high overlap)

b) **Strong exciton-phonon**  
**coupling.**



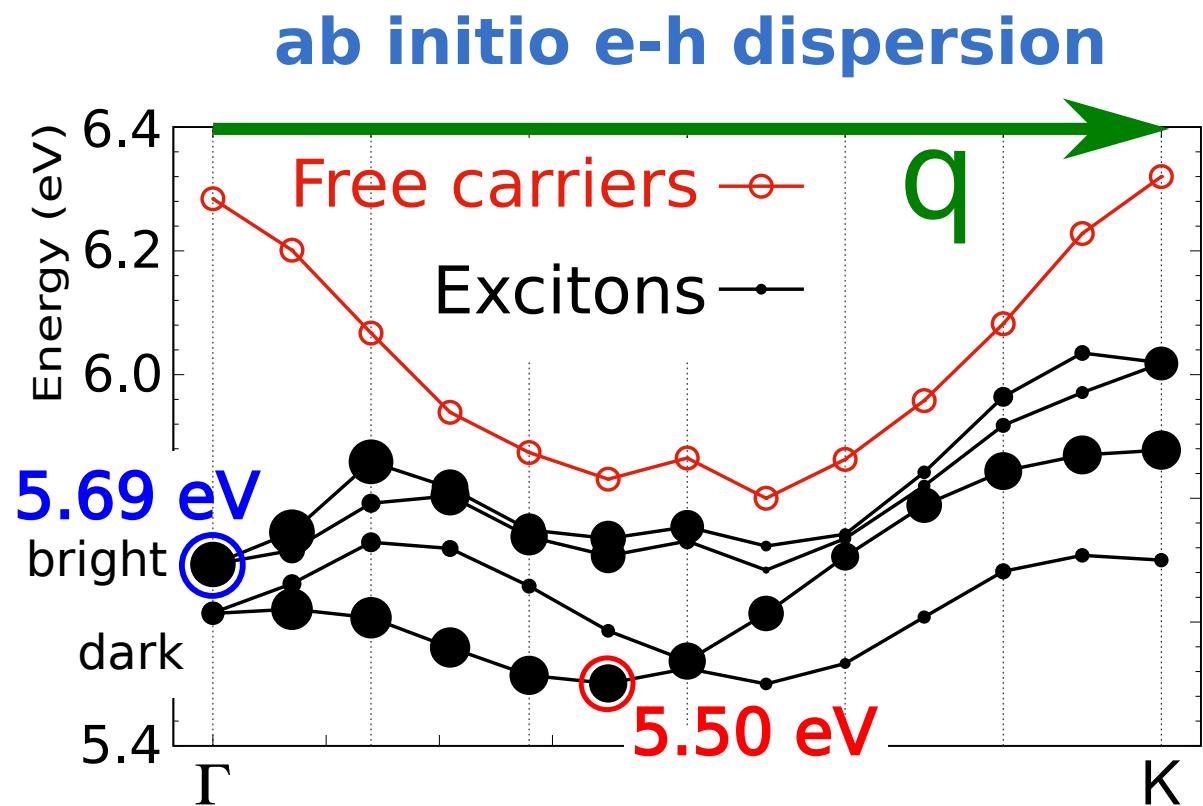
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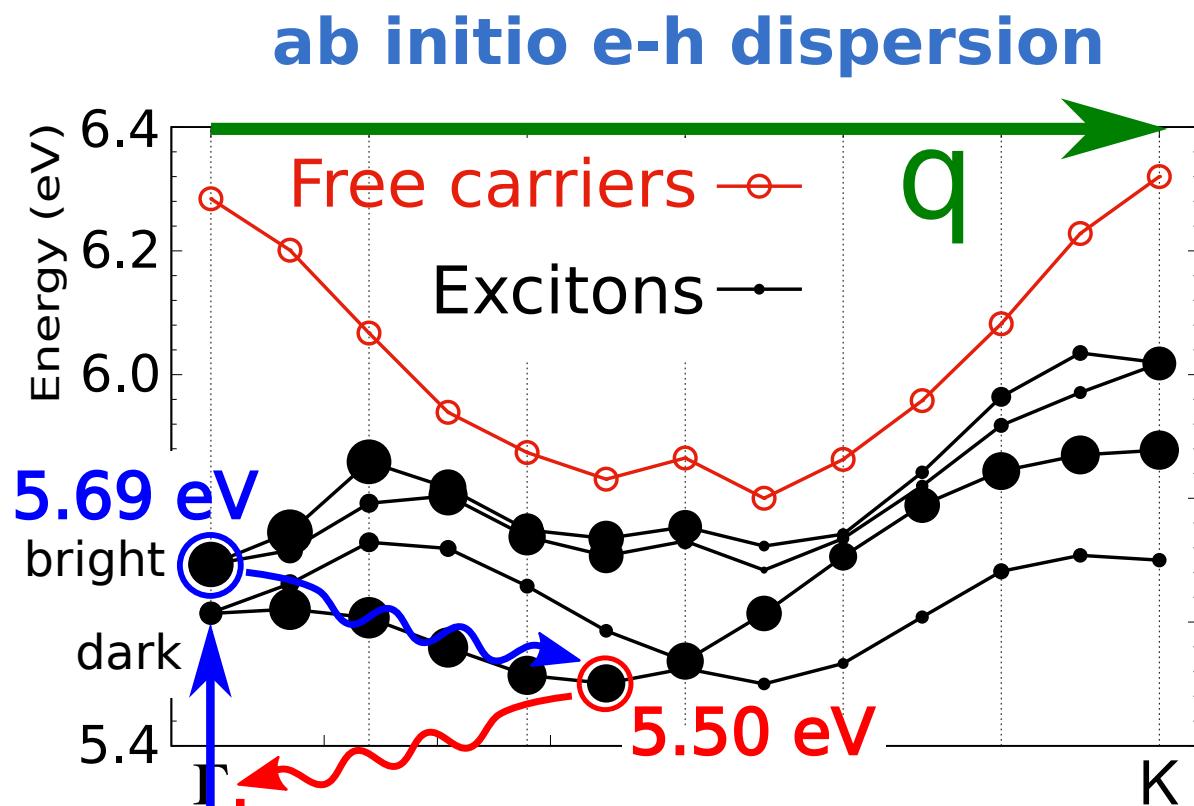
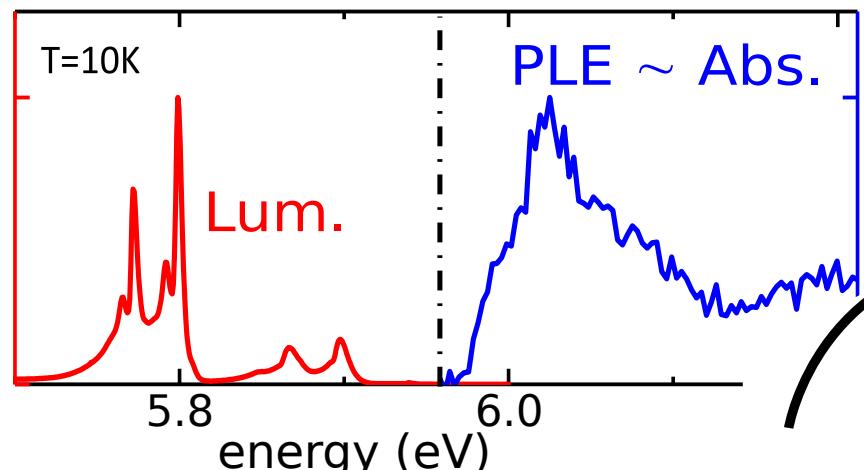
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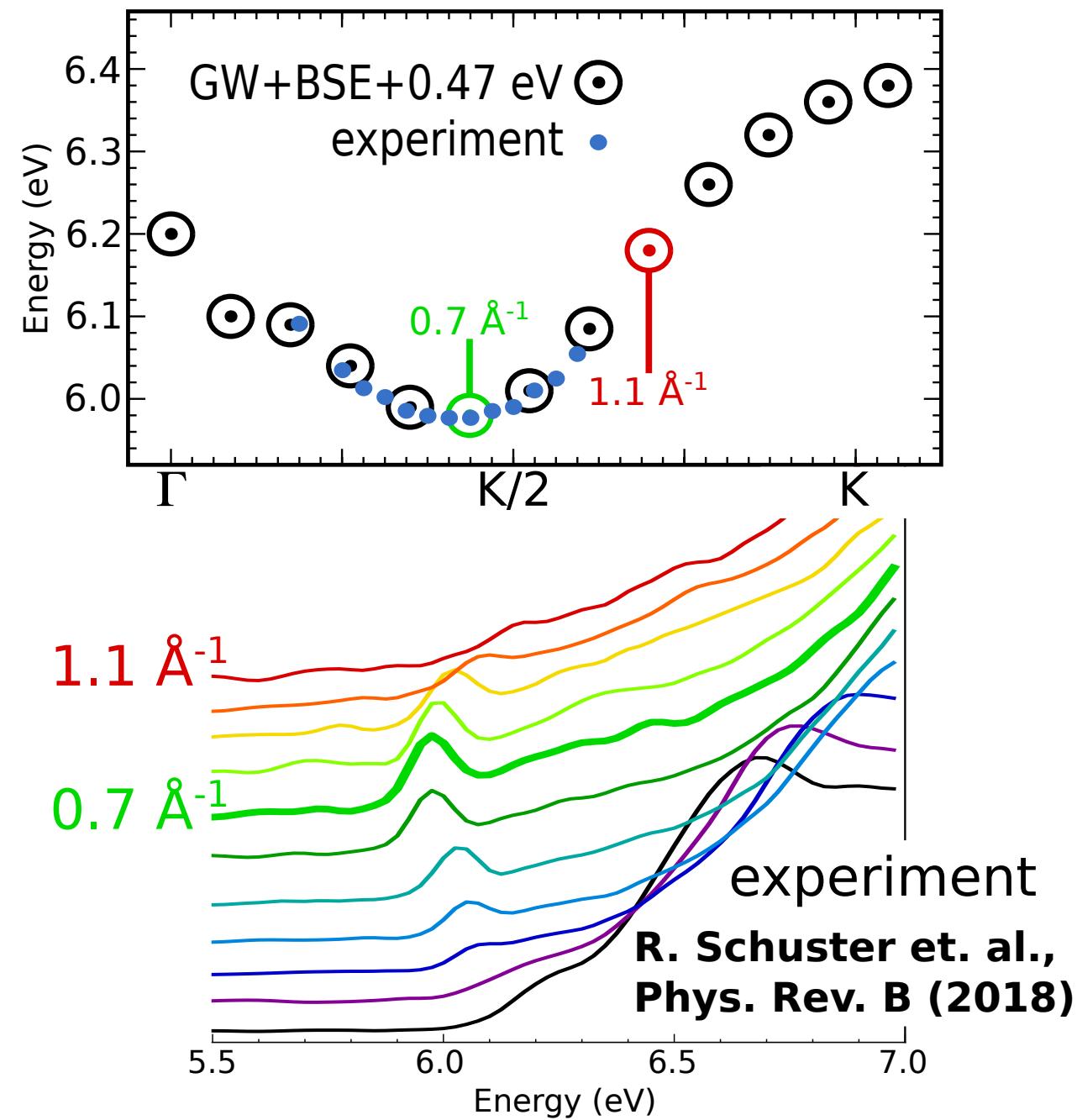
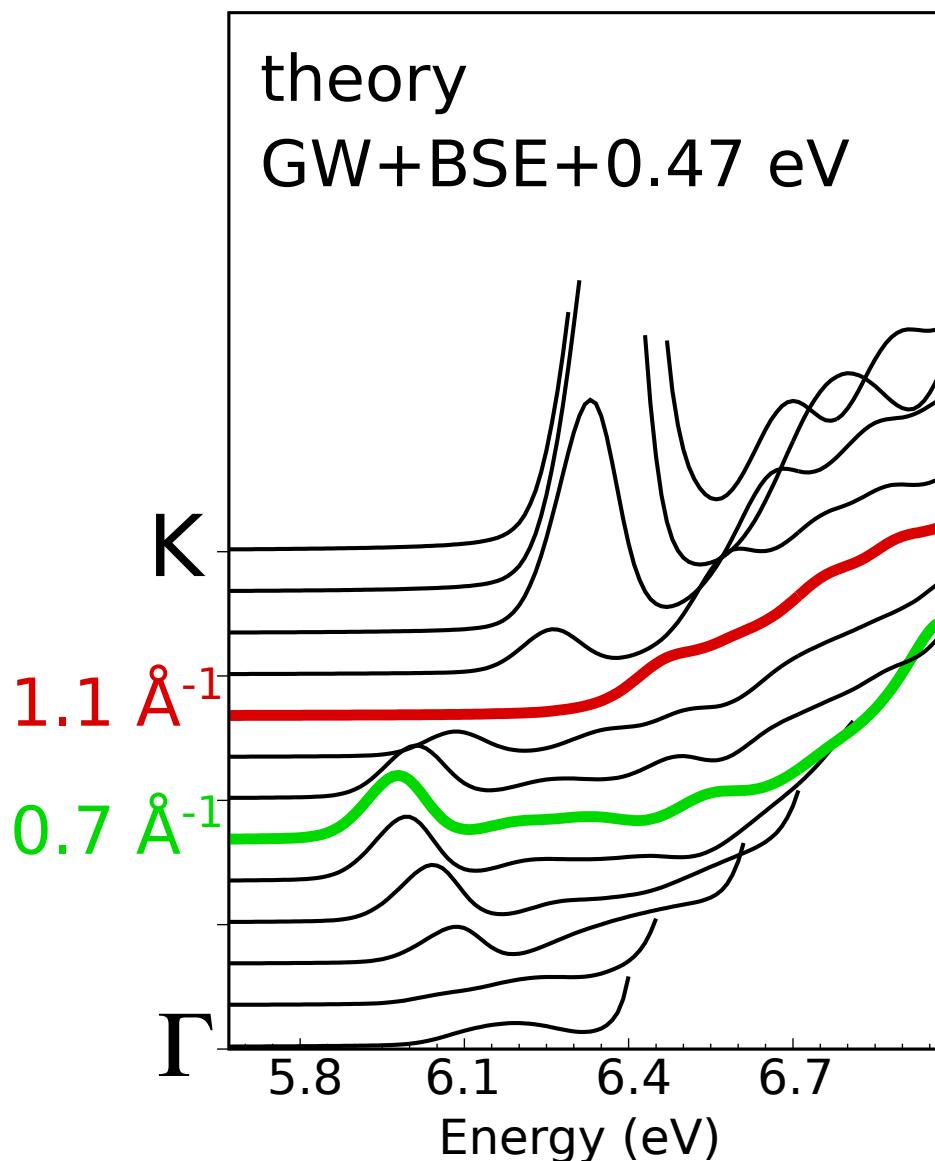
1) high probability for  
radiative recombination  
(phonon-mediated)



2) No abs/lum mirror  
because absorption  
passes through a  
resonant state,  
while luminescence  
does not.

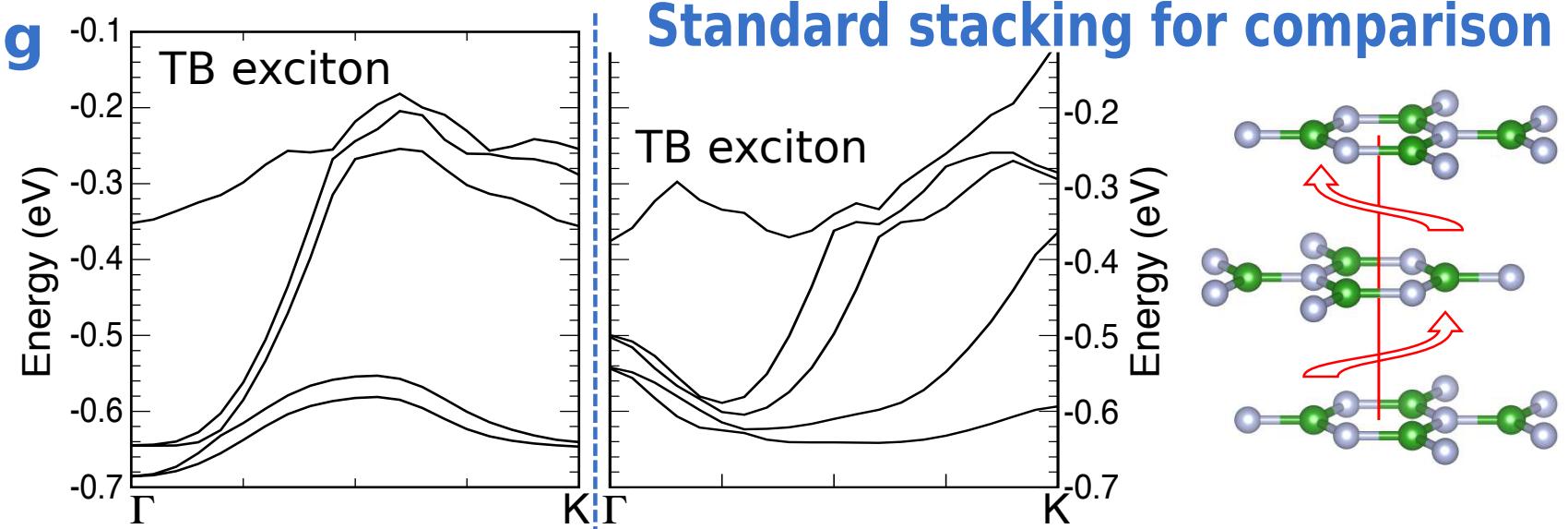
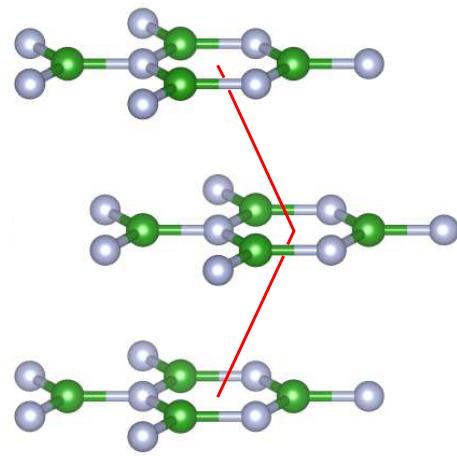
# Confirmation from experimental evidences

## Loss function at finite $\mathbf{q}$



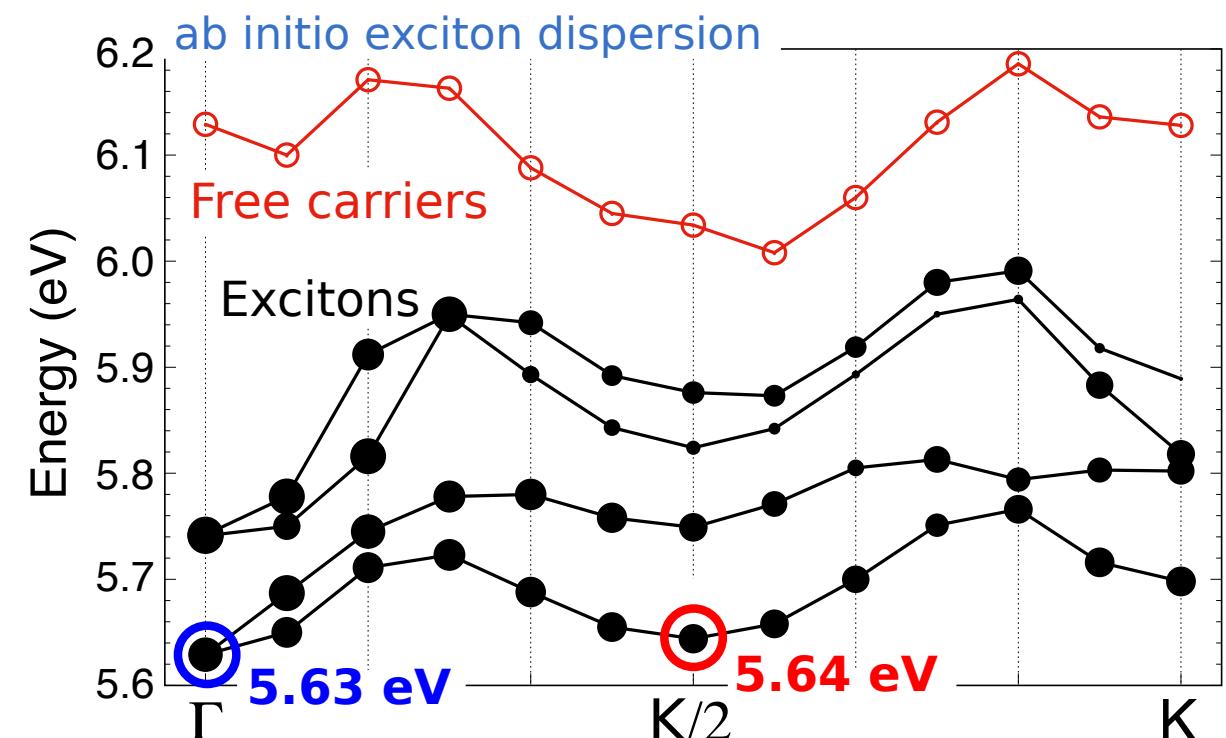
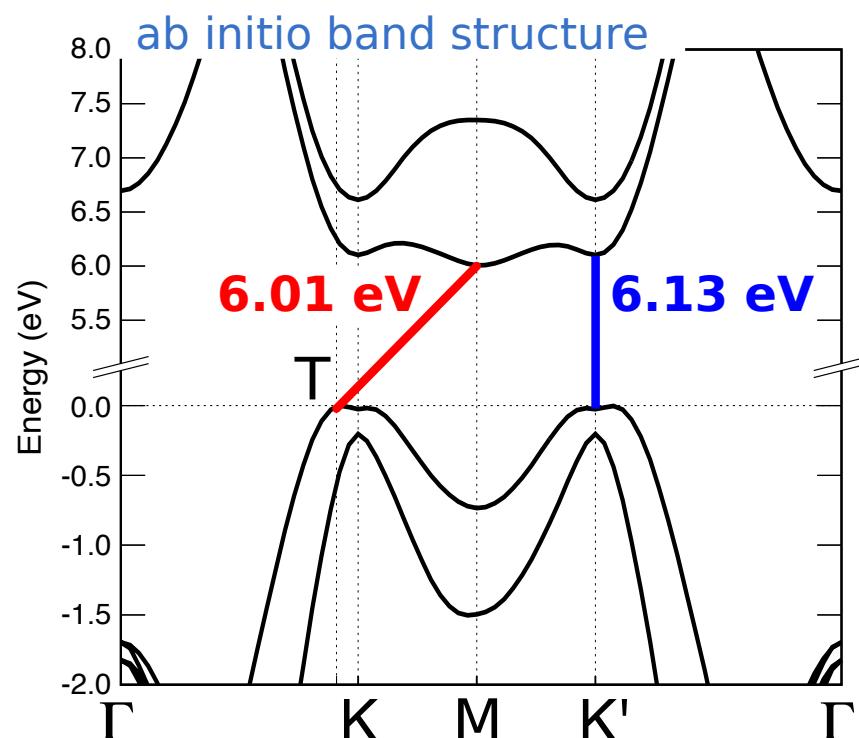
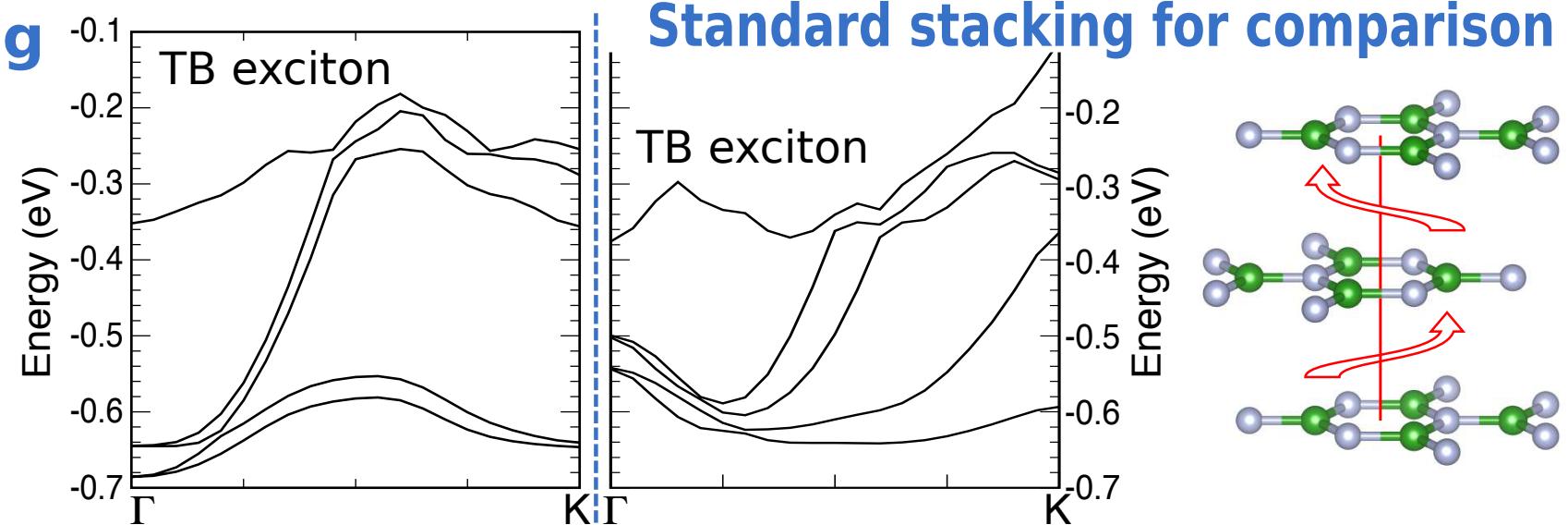
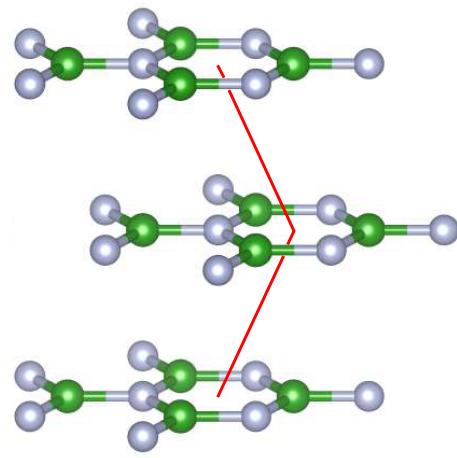
# Intriguing prediction about Bernal stacking

## Bernal stacking



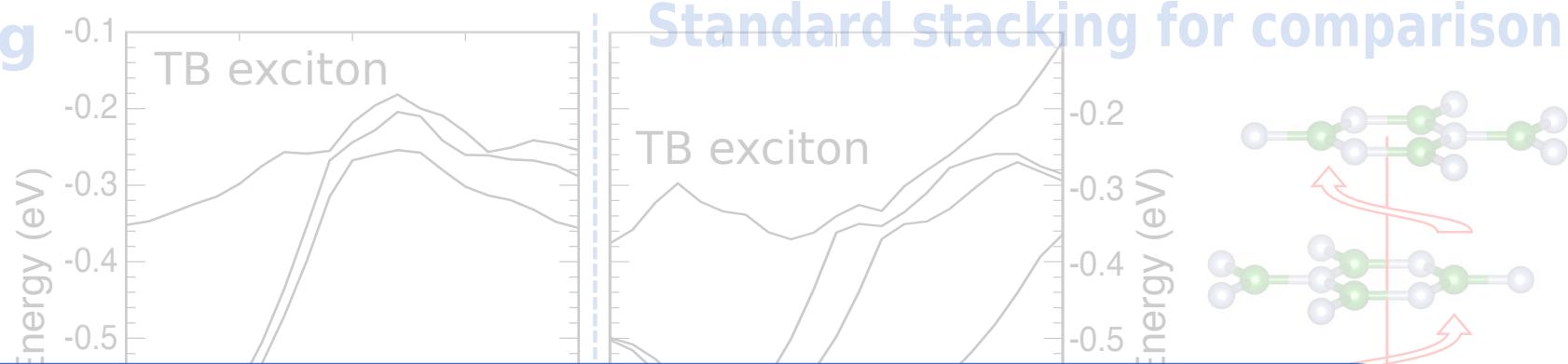
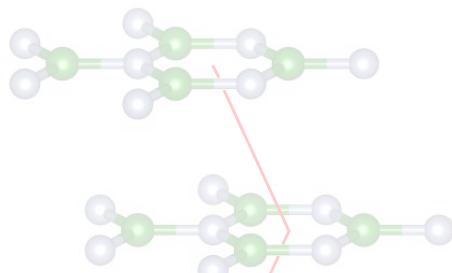
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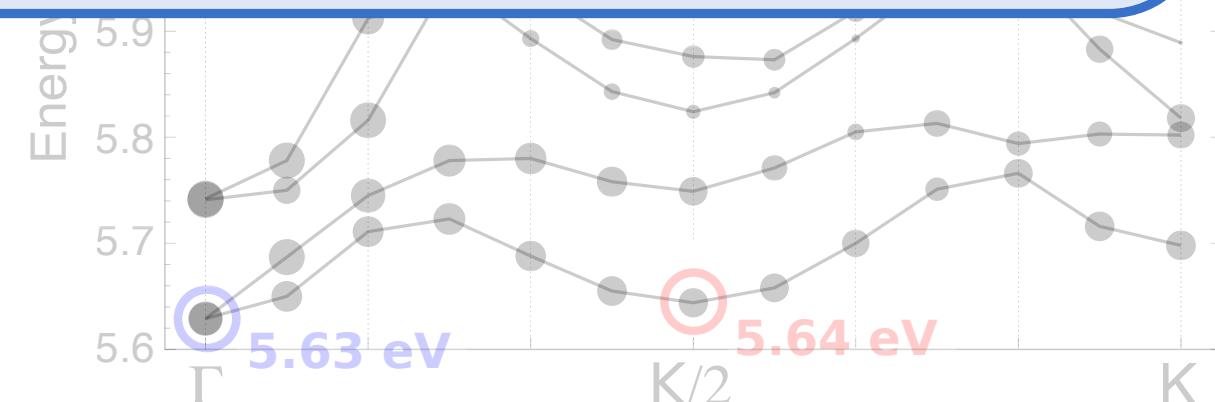
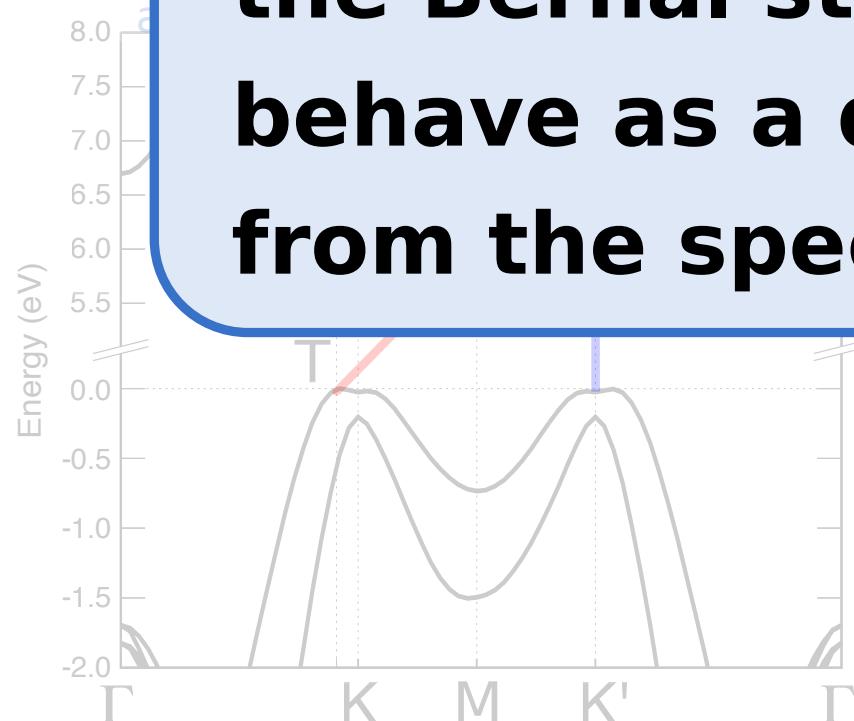


# Intriguing prediction about Bernal stacking

Bernal stacking



**Even though the gap is indirect,  
the Bernal stacking is predicted to  
behave as a direct-gap material  
from the spectroscopic point of view.**



# Conclusions

- 1) Tight-binding model for excitons in 2D and layered hBN.**  
Insight when applied to bulk and to different polymorphs.
- 2) Flattening of the exciton dispersion** in hBN leads to a strong electron-phonon coupling and high emission efficiency.  
Consistent explanation of conflicting data coming from experimental spectra and theoretical band structure.  
Experimental evidence of the theoretical explanation.
- 3) Prediction of even stronger effect in Bernal hBN.**

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## Reasoned bibliography:

- |   |  |
|---|--|
| - K. Watanabe <i>et al.</i> , Nat. Materials 3, 404 (2004)            | mostly experimental<br>on luminescence |
| - L. Schué <i>et al.</i> , Nanoscale 8, 6986 (2016)                   |  |
| - G. Cassabois <i>et al.</i> , Nat. Photonics 10, 262 (2016)          |  |
| - T. Q. P. Vuong <i>et al.</i> , Phys. Rev. B 95, 045207 (2017)       |  |
| - L. Schué, <b>L. Sponza</b> <i>et. al.</i> , arXiv:1803.03766 (2018) |  |
| - T. Galvani <i>et al.</i> , Phys. Rev. B 94, 125303 (2016)           | mostly theoretical<br>TB & ab initio   |
| - <b>L. Sponza</b> <i>et. al.</i> , Phys. Rev. B 97, 075121 (2018)    |  |
| - <b>L. Sponza</b> <i>et al.</i> , arXiv:1806.06201 (2018)            |  |
| - F. Paleari <i>et al.</i> , arXiv: 1803.00982 (2018)                 |  |

# Conclusions

1) Tight-binding model for excitons in 2D and layered hBN.

2)

**Thanks for your attention**

3) Prediction of even stronger effect in Bernal hBN.

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