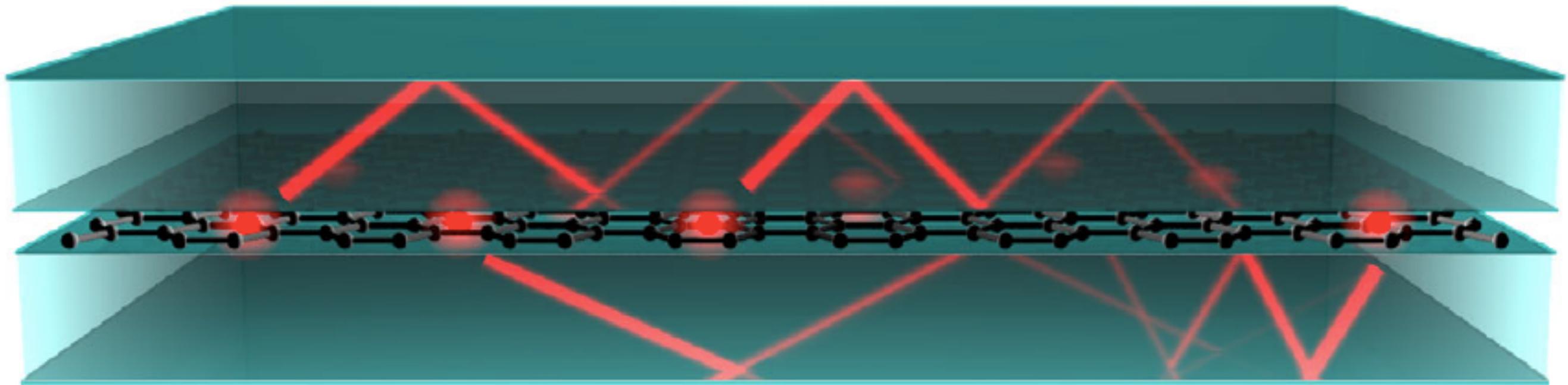


# Out-of-plane heat transfer in Van der Waals stacks



**ICFO**<sup>R</sup>

The Institute of Photonic  
Sciences

**Klaas-Jan Tielrooij**

March 29<sup>th</sup> 2017

Barcelona, ES



# Acknowledgements



**Nano-optoelectronics Group**  
[www.koppensgroup.icfo.eu](http://www.koppensgroup.icfo.eu)



ICFO, Spain

Frank Koppens

Niels Hesp

Mark Lundeborg

Mathieu Massicotte

Peter Schmidt

Diana Davydovskaya

IIT, Italy

Marco Polini

Radboud Univ. Netherlands

Alessandro Principi

Politecnico Milano, Italy

Giulio Cerullo

Eva Pogna

RWTH Aachen, Germany

Christoph Stampfer

Luca Banszerus

MPIP Mainz, Germany

Mischa Bonn

Dmitry Turchinovich

Zoltan Mics

NIMS, Japan

Takashi Taniguchi

Kenji Watanabe



Radboud Universiteit Nijmegen



POLITECNICO  
MILANO 1863

DIPARTIMENTO DI FISICA

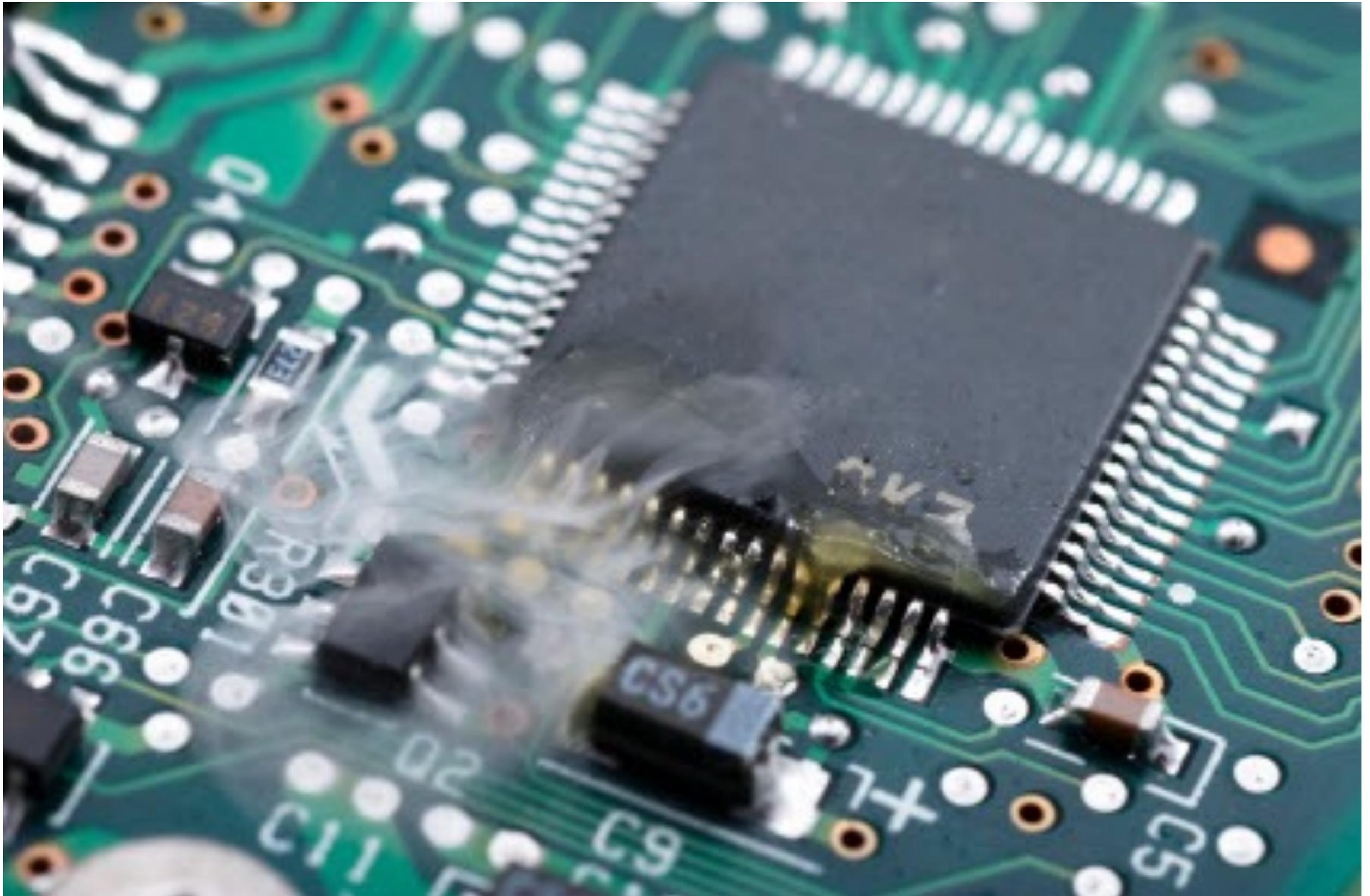
RWTHAACHEN  
UNIVERSITY



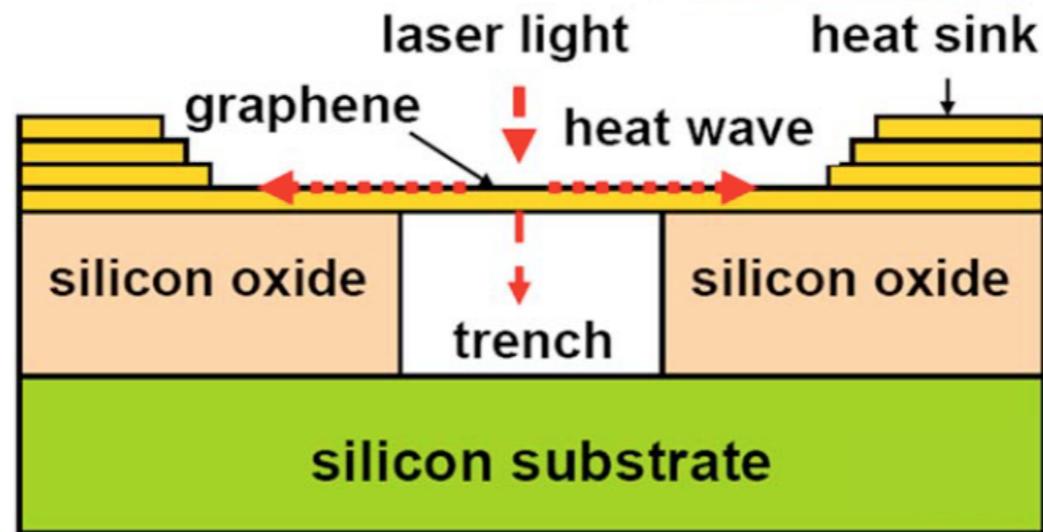
MAX-PLANCK-GESELLSCHAFT



# Thermal management



# Graphene & thermal management

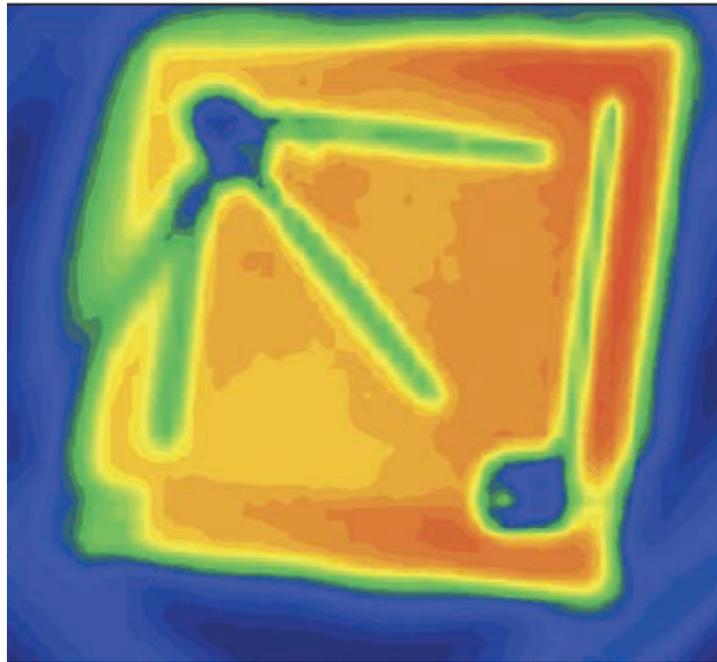


Ghosh et al, *APL* 92, 151911 (2008)

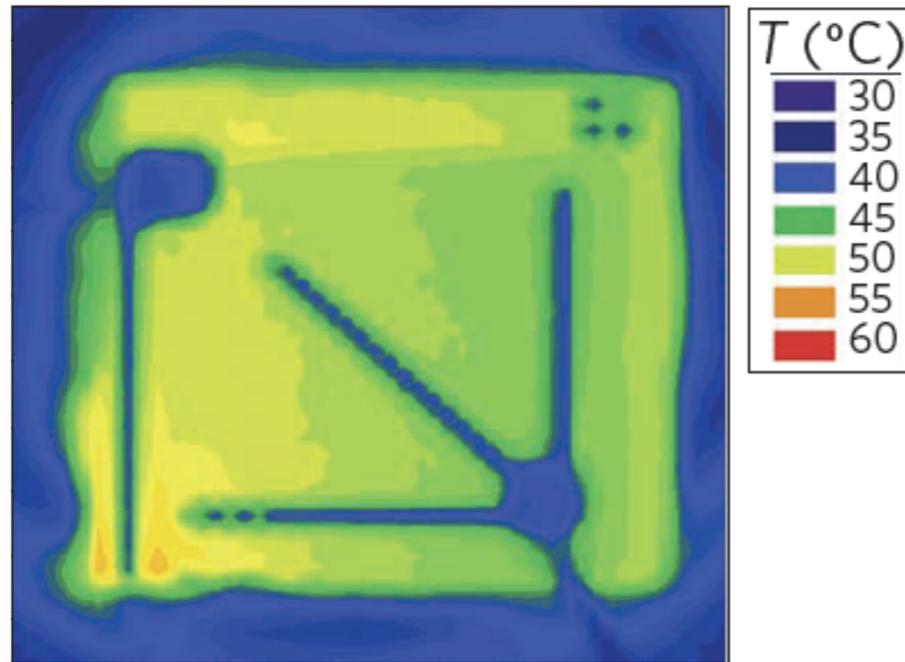
- ➔ **Suspended graphene** has a very high in-plane thermal conductivity:  
>1000 W/mK
- ➔ **Supported graphene: ideal substrate = heat sink!**

# Graphene & thermal management

## Light-emitting diodes



*Conventional GaN LED*

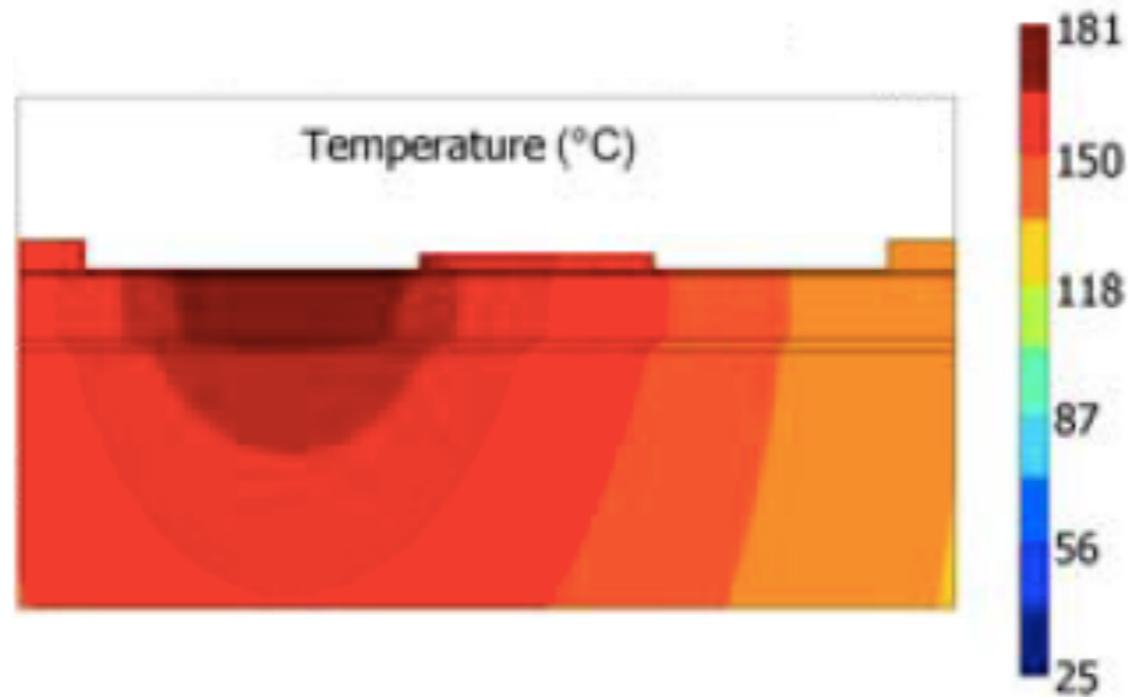


*Embedded graphene layer GaN LED*

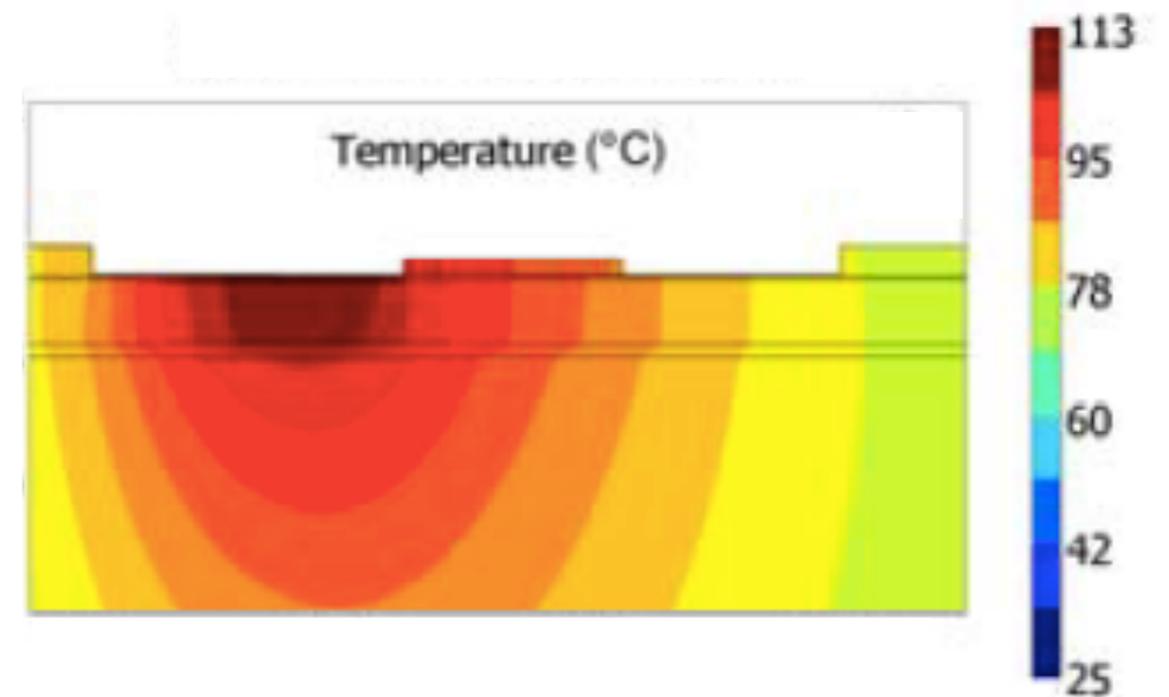
Han et al, *Nature Comm.* 4, 1452 (2013)

# Graphene & thermal management

## Field-effect transistors



*AlGaIn/GaN FET*



*AlGaIn/GaN FET with graphene/graphite*

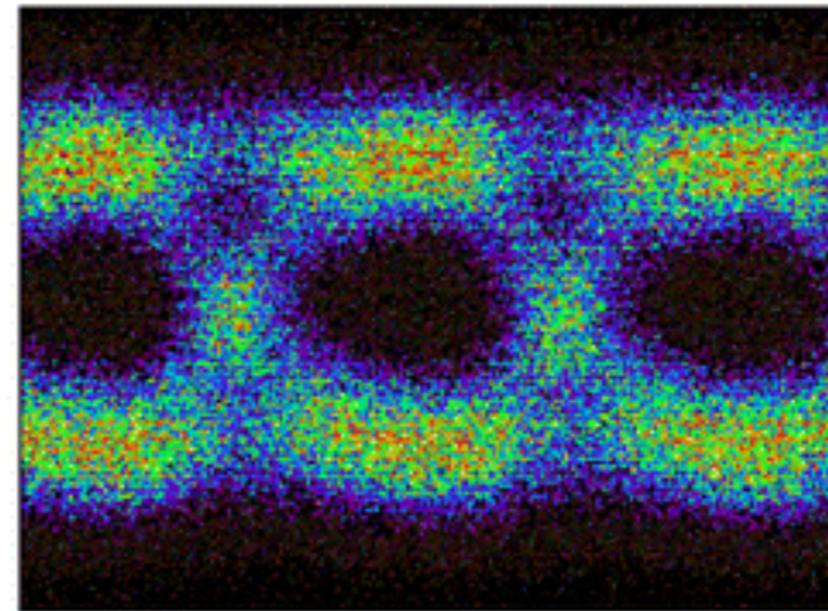
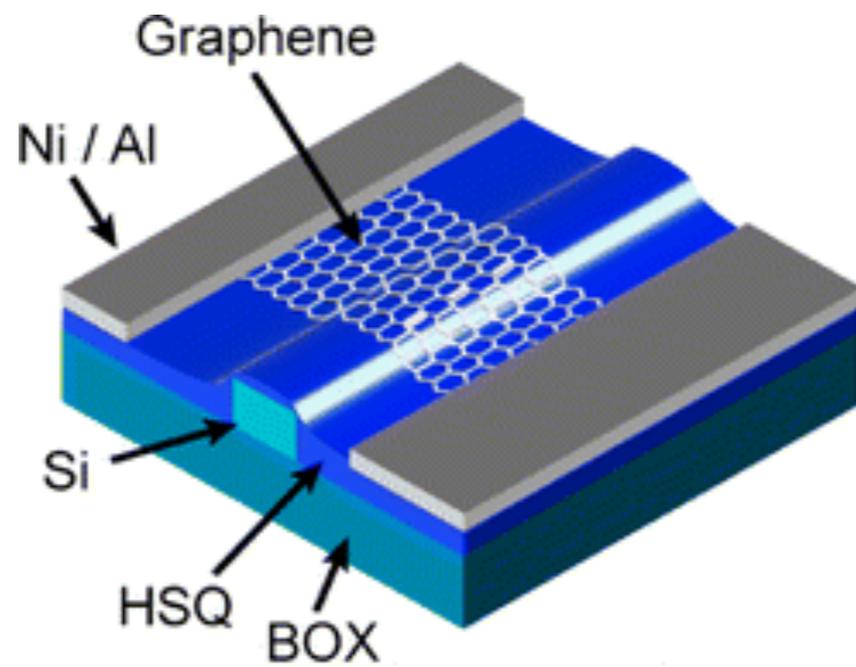
Yan et al, *Nature Comm.* 3, 827 (2012)

# Graphene & thermal management

Fast photodetectors

# Fast photodetectors

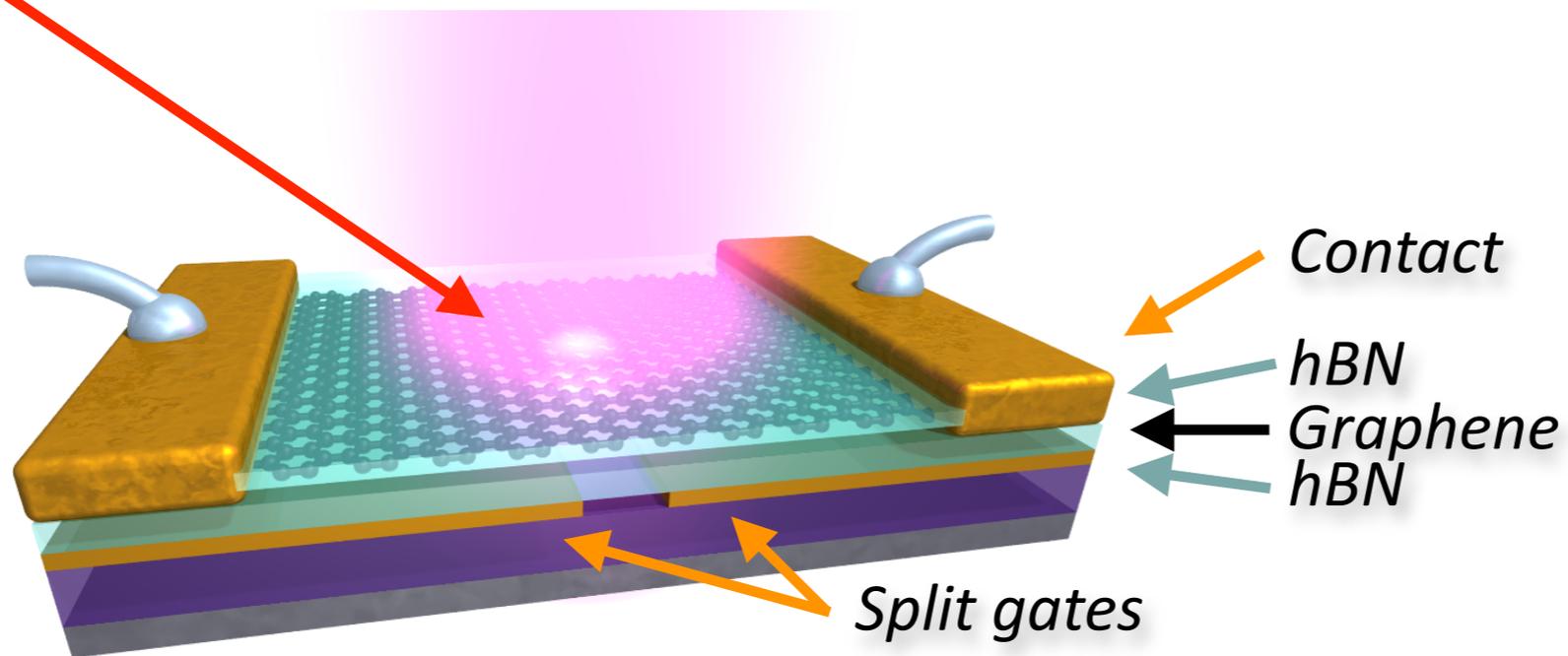
>50 Gbit/s



Schall et al, *ACS Photonics* (2014)

# Fast photodetectors

Hot graphene electrons



*Photo-thermoelectric (PTE) detector*

Song et al. *PRL* (2011)  
Gabor et al. *Science* (2011)  
Koppens et al. *Nature Nano* (2014)

# Fast photodetectors

Hot graphene electrons

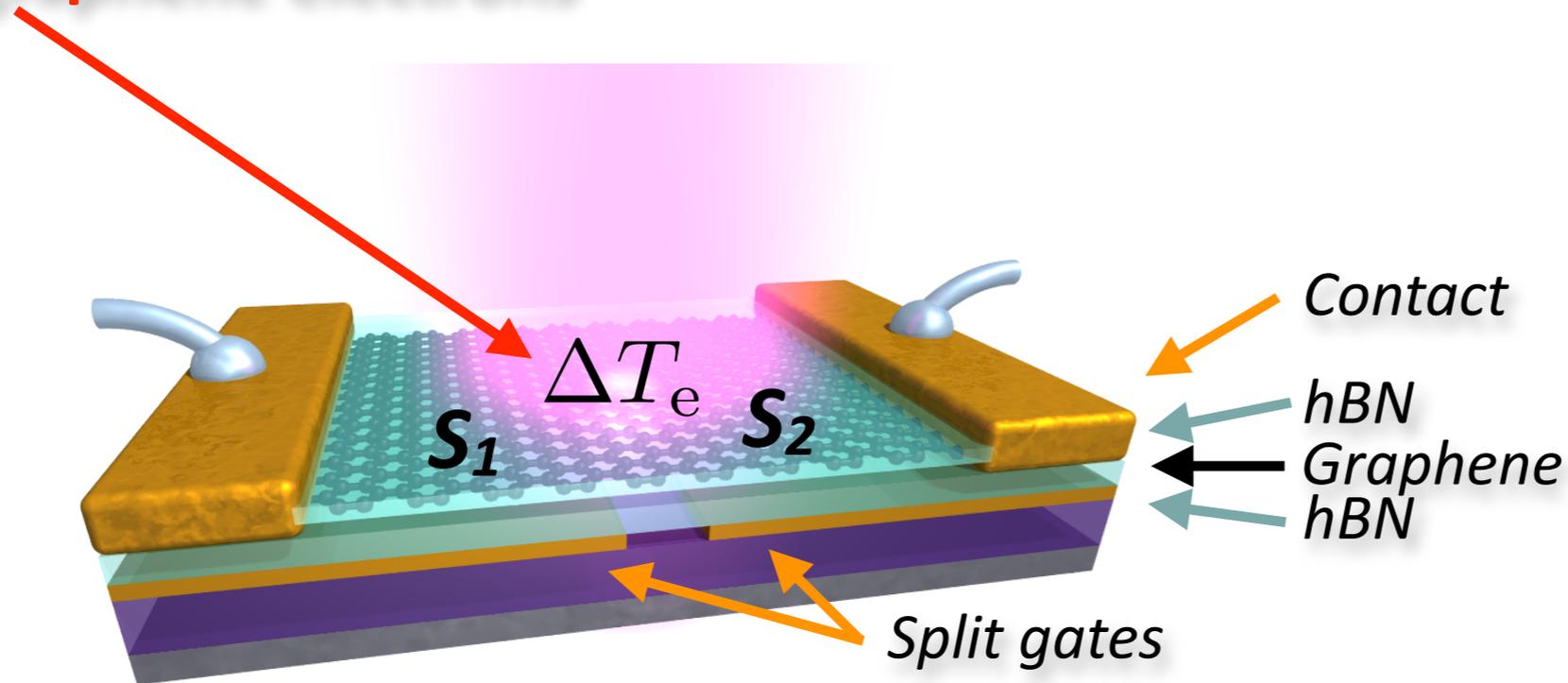
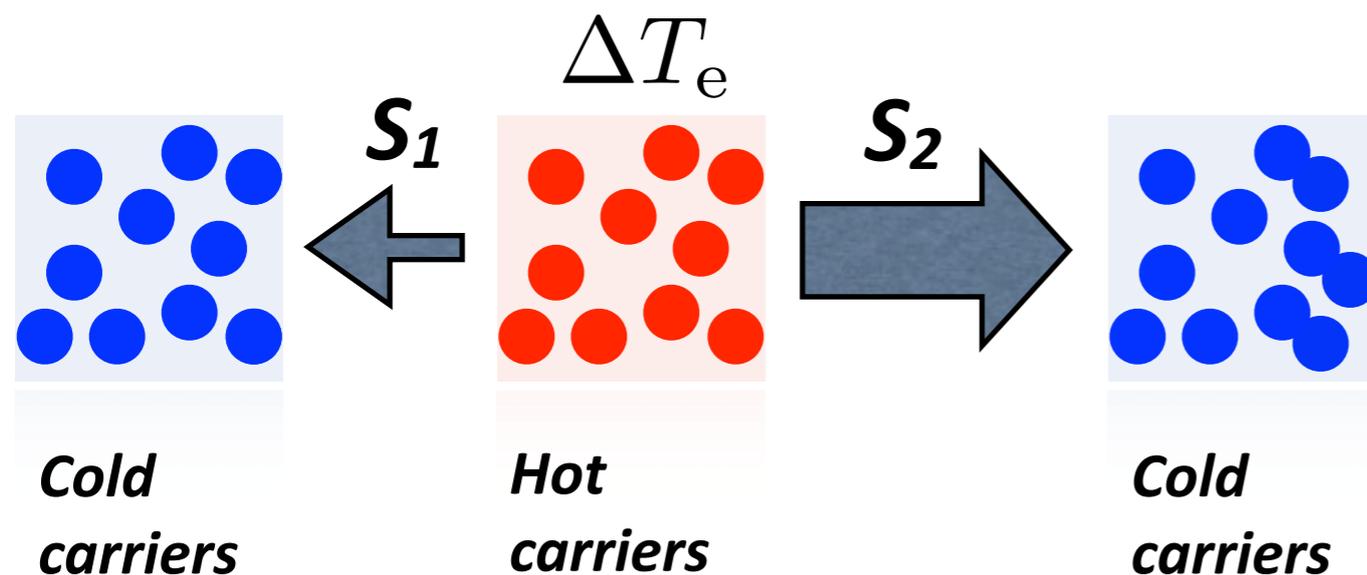


Photo-thermoelectric (PTE) detector

Use the heat!



Electron heat  $\neq$  phonon heat

Song et al. *PRL* (2011)  
Gabor et al. *Science* (2011)  
Koppens et al. *Nature Nano* (2014)

# Fast photodetectors

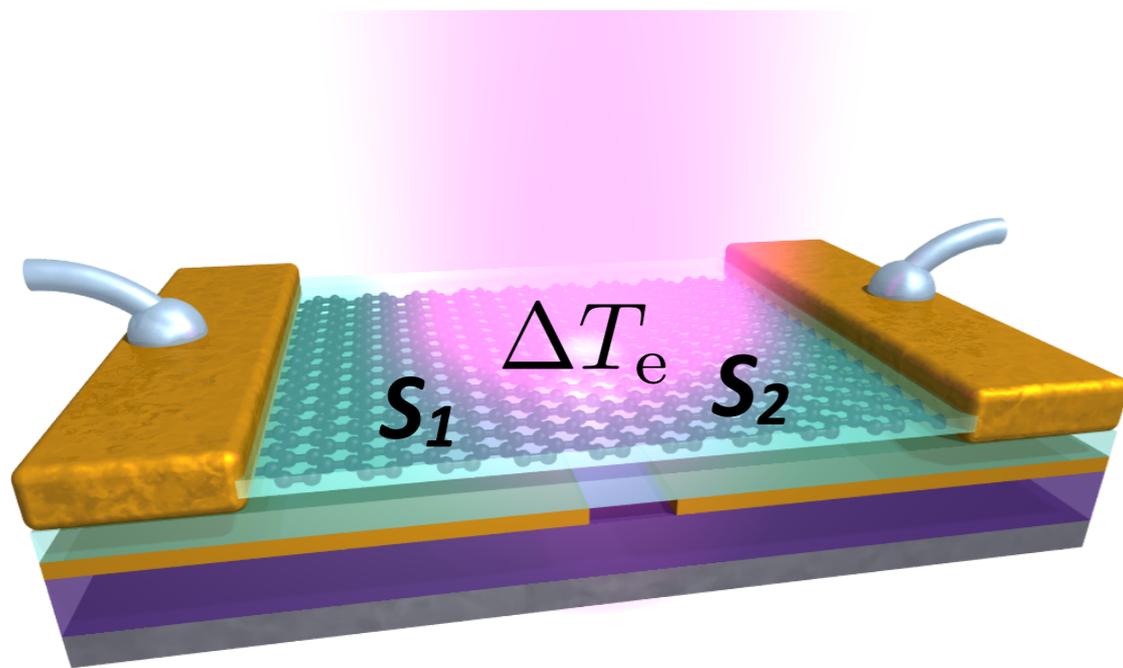


Photo-thermoelectric (PTE) detector

$$V_{\text{PTE}} = (S_2 - S_1) \Delta T_e$$

$$\Delta T_e \propto \frac{P_{\text{abs}}}{\Gamma_{\text{cool}}} = \frac{P_{\text{abs}} \tau_{\text{cool}}}{C_n}$$

**Electron heat**      **phonon heat**

Song et al. *PRL* (2011)

Gabor et al. *Science* (2011)

Koppens et al. *Nature Nano* (2014)

# Fast photodetectors

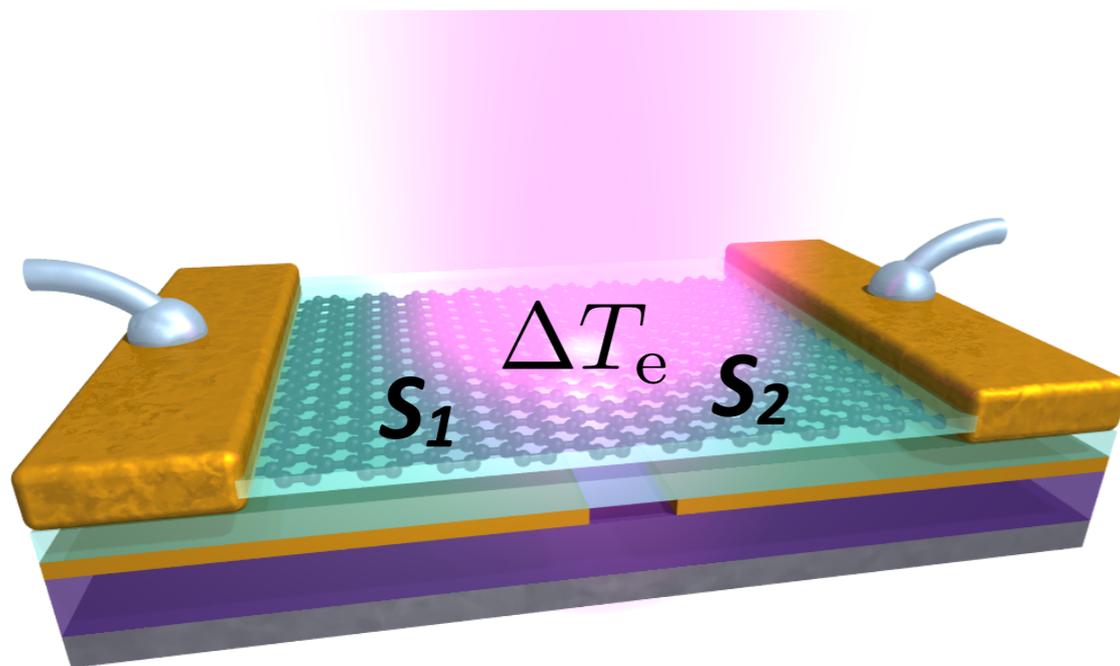


Photo-thermoelectric (PTE) detector

$$V_{\text{PTE}} = (S_2 - S_1) \Delta T_e$$

$$\Delta T_e \propto \frac{P_{\text{abs}}}{\Gamma_{\text{cool}}} = \frac{P_{\text{abs}} \tau_{\text{cool}}}{C_n}$$

Electron heat      phonon heat

➔ Slow electron cooling is beneficial!

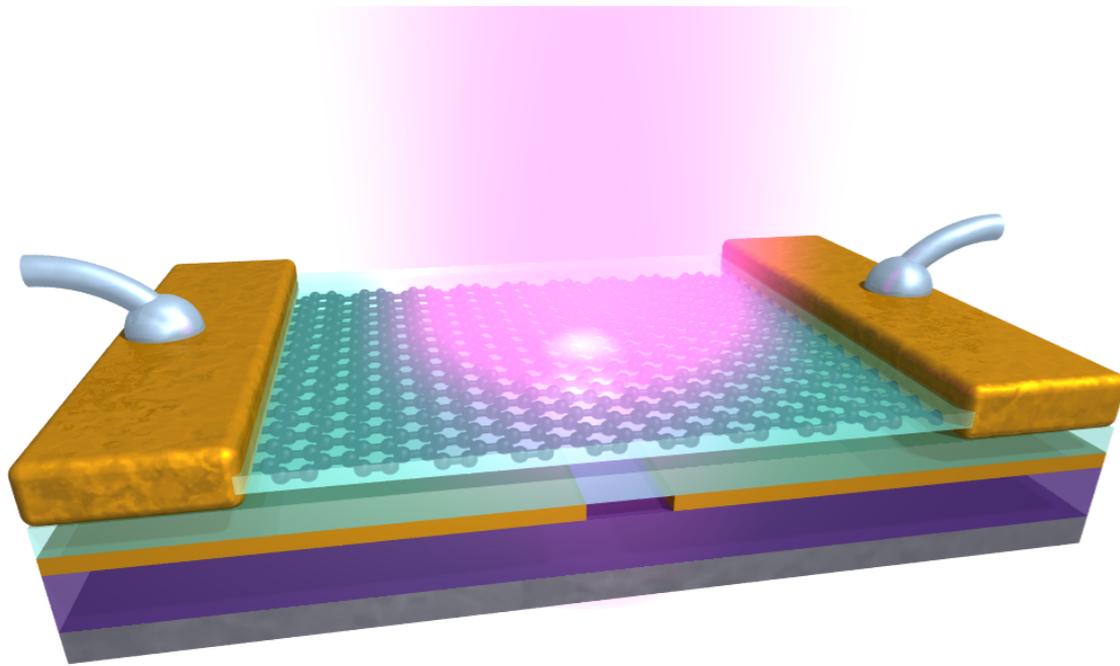
Song et al. *PRL* (2011)

Gabor et al. *Science* (2011)

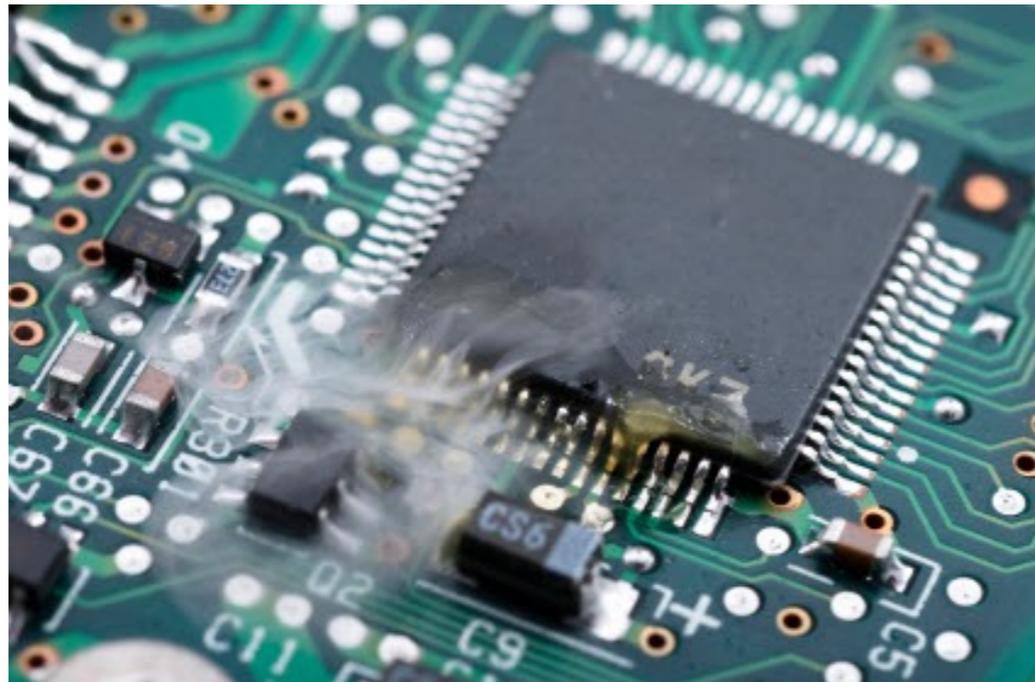
Koppens et al. *Nature Nano* (2014)

# Understand thermal transport

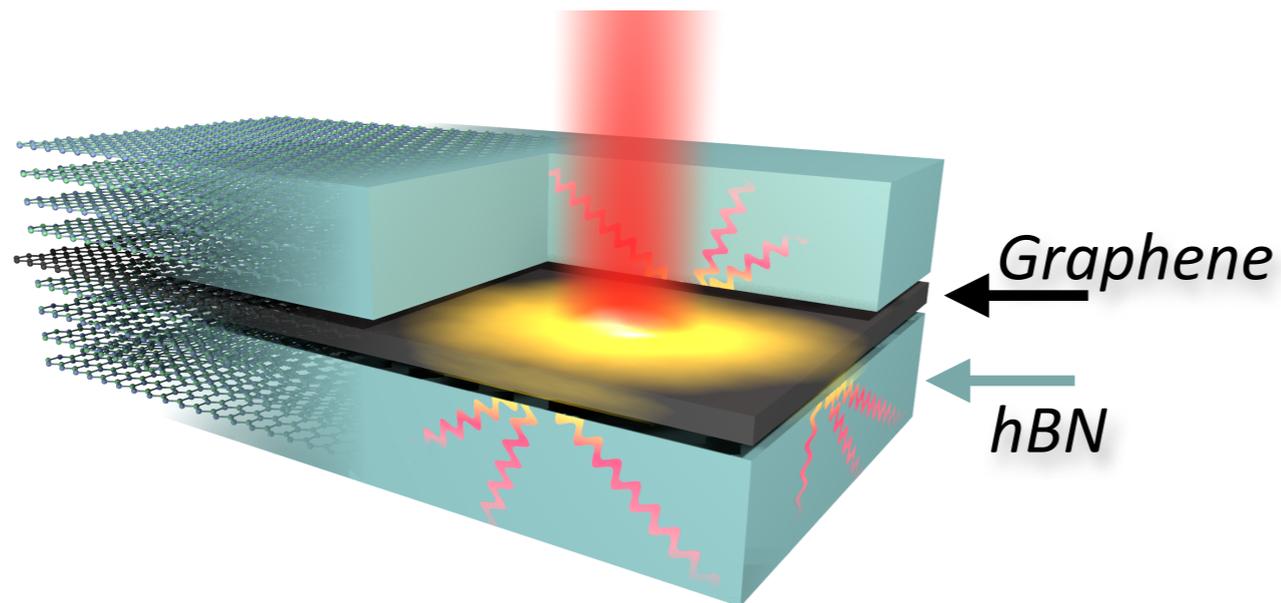
➔ Photodetectors: electron cooling?



➔ Thermal management: ideal substrate = heat sink!



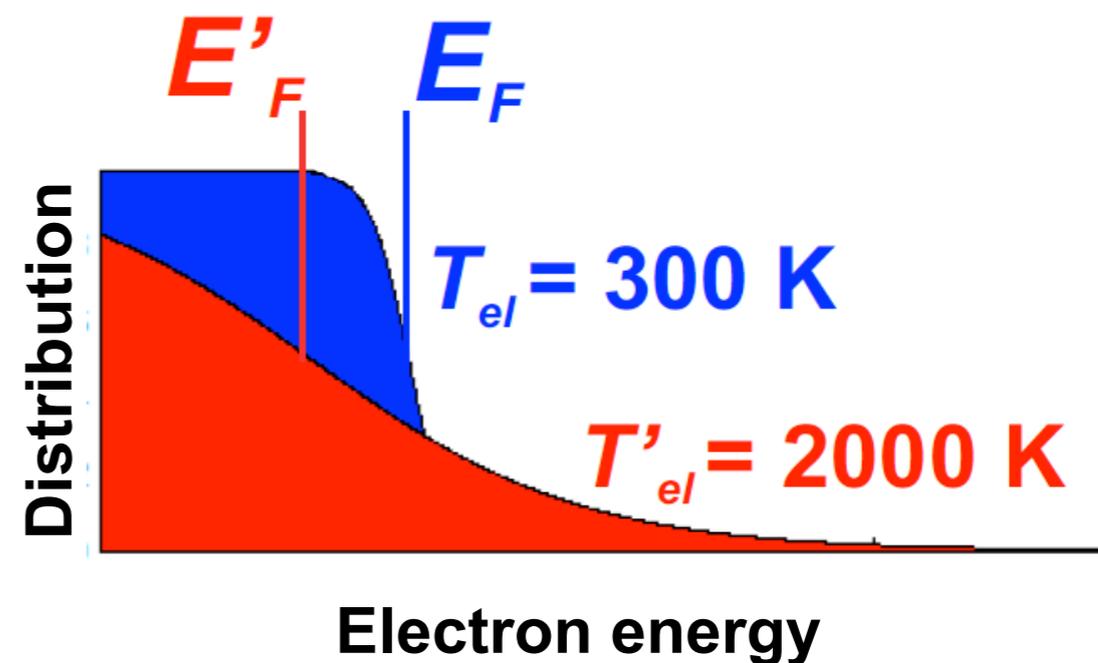
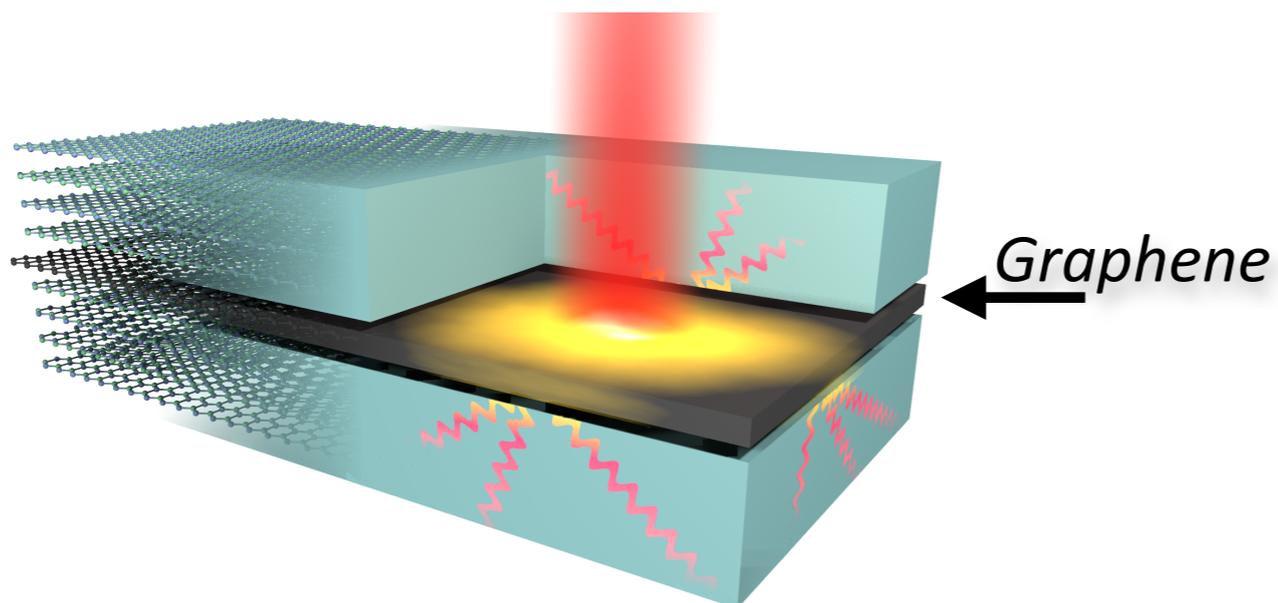
# Understand thermal transport



Efficient **out-of-plane** heat transfer:

**Hot graphene electrons** to **hBN hyperbolic phonon polaritons**

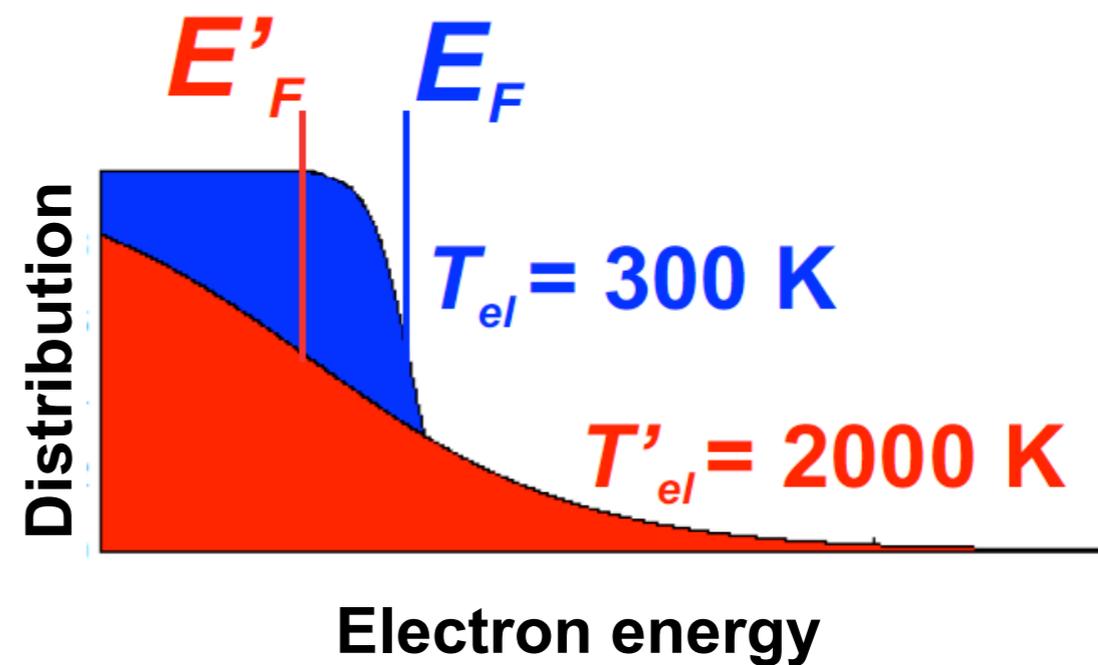
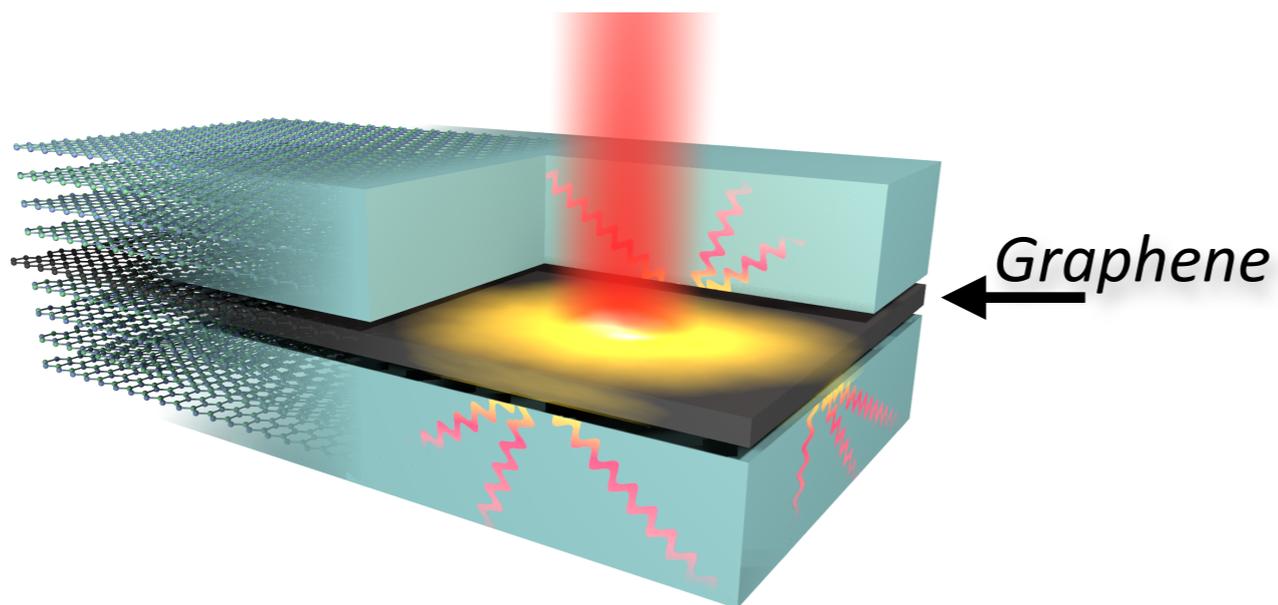
# Graphene: Hot electrons



Hot electrons:  
broadened Fermi-Dirac distribution

Electron heat  $\neq$  phonon heat

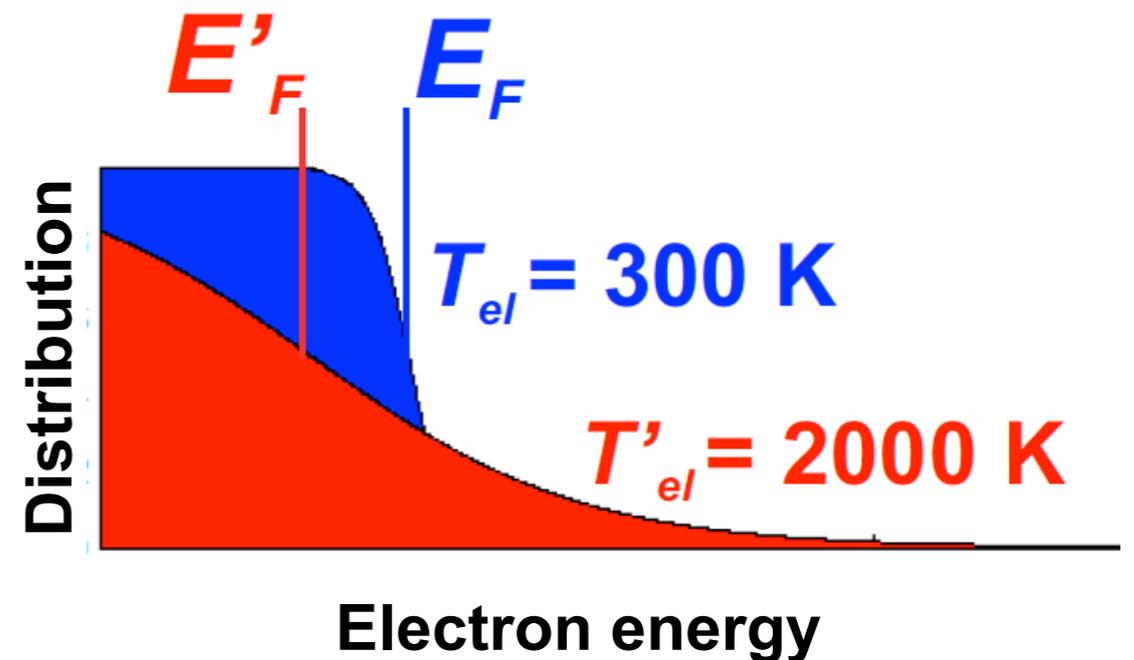
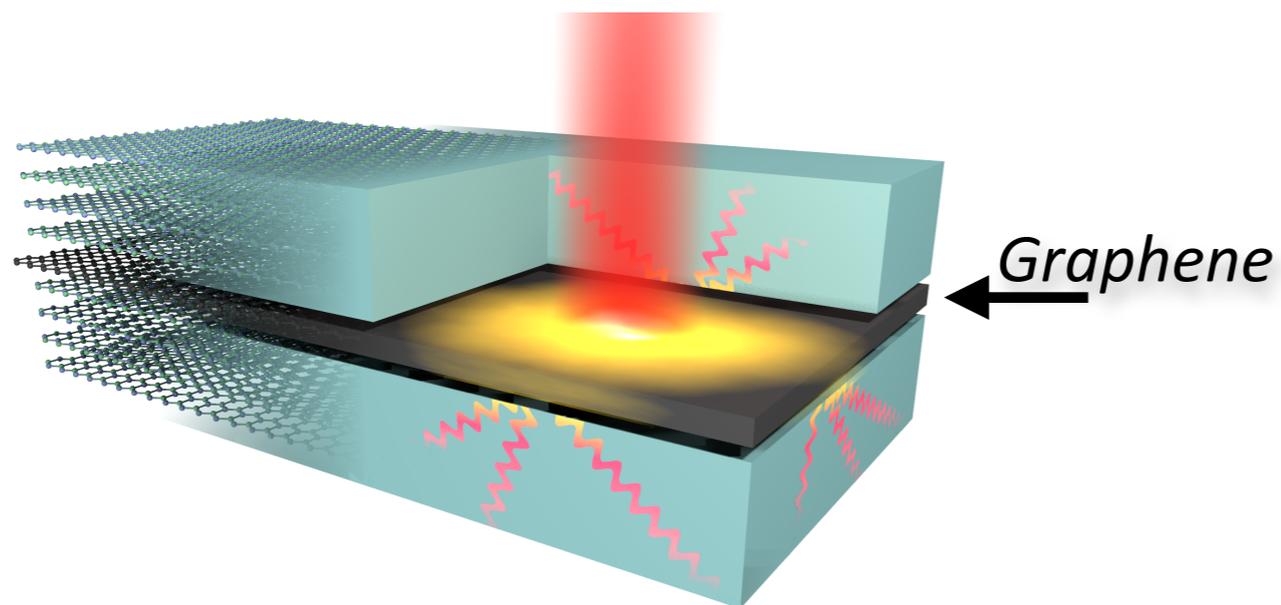
# Graphene: Hot electrons



Planck radiation: coupling to *far-field* light in vacuum

$$k < \omega/c$$

# Graphene: Hot electrons



Planck radiation: coupling to *far-field* light in vacuum

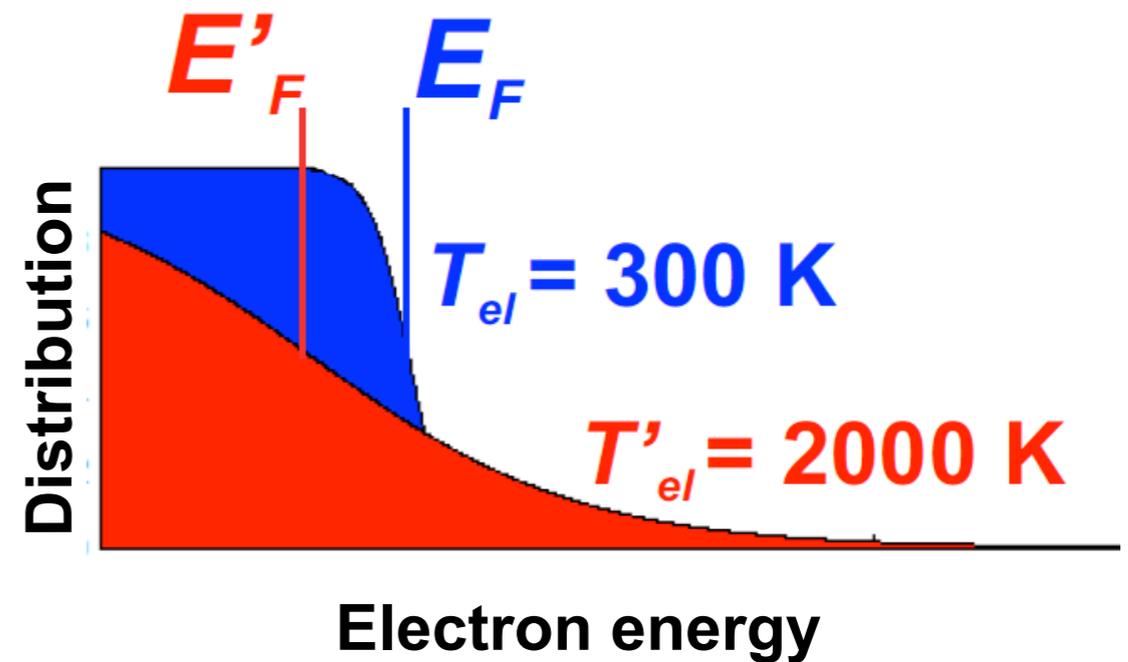
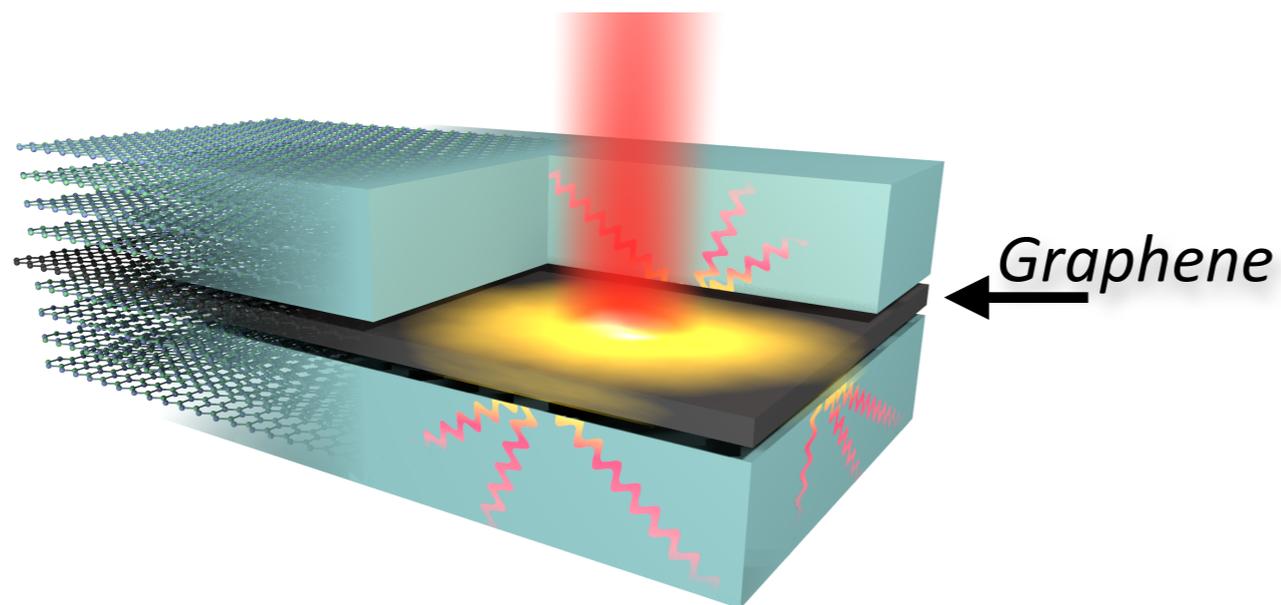
$$k < \omega/c$$

*Very inefficient!*

**=> Cooling to graphene phonons dominates**  
(Governed by deformation potential)

Bistritzer and MacDonald, *PRL* (2009)  
Song et al. *PRL* (2012)  
Graham et al. *Nature Phys.* (2013)  
Betz et al. *Nature Phys.* (2013)

# Graphene: Hot electrons



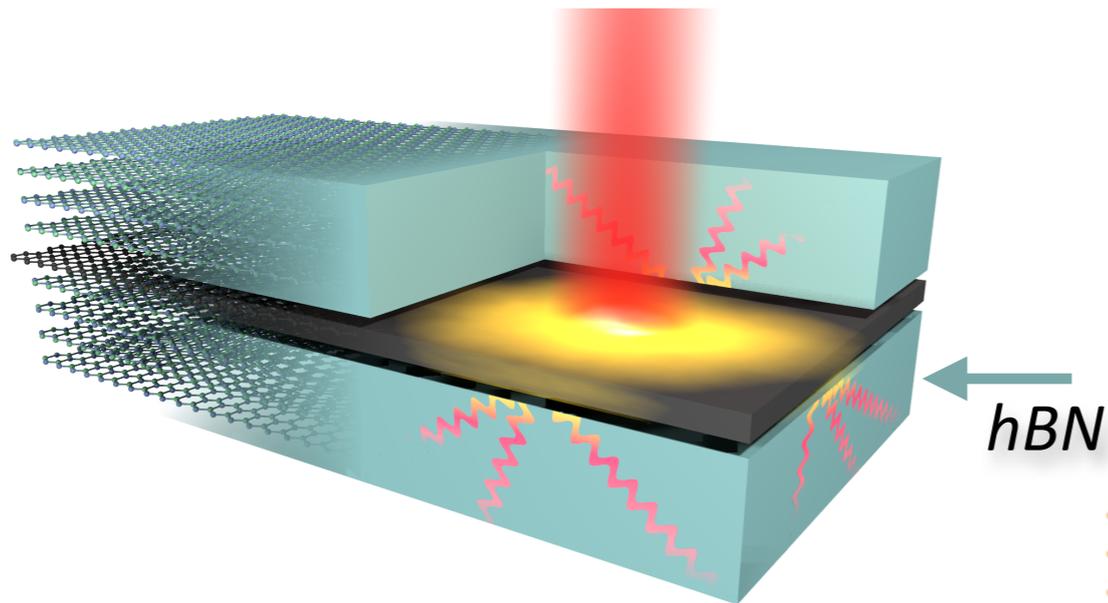
Planck radiation: coupling to *far-field* light in vacuum

$$k < \omega/c$$

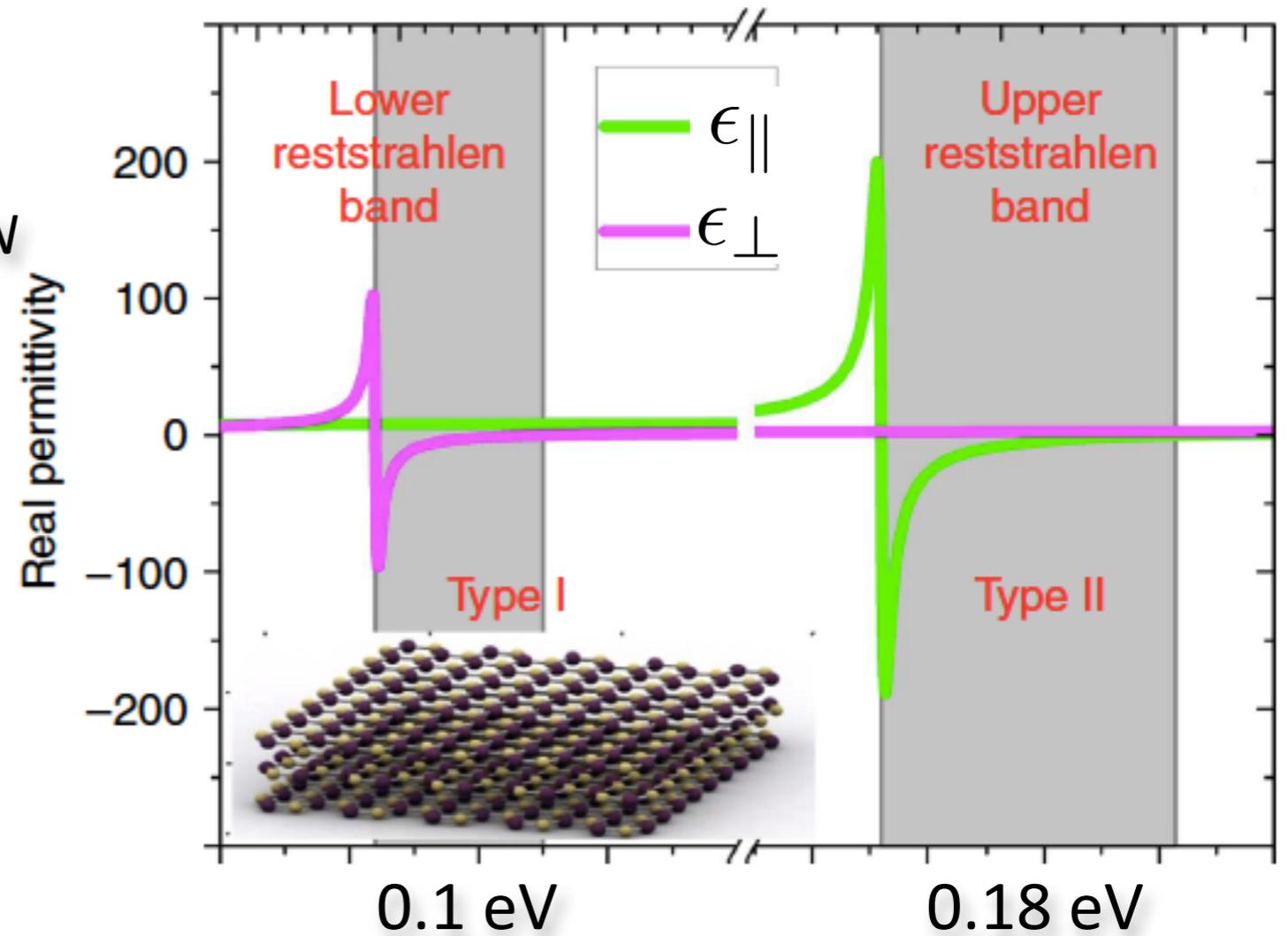
*Very inefficient!*

**=> What about *near-field* radiation to encapsulant?**

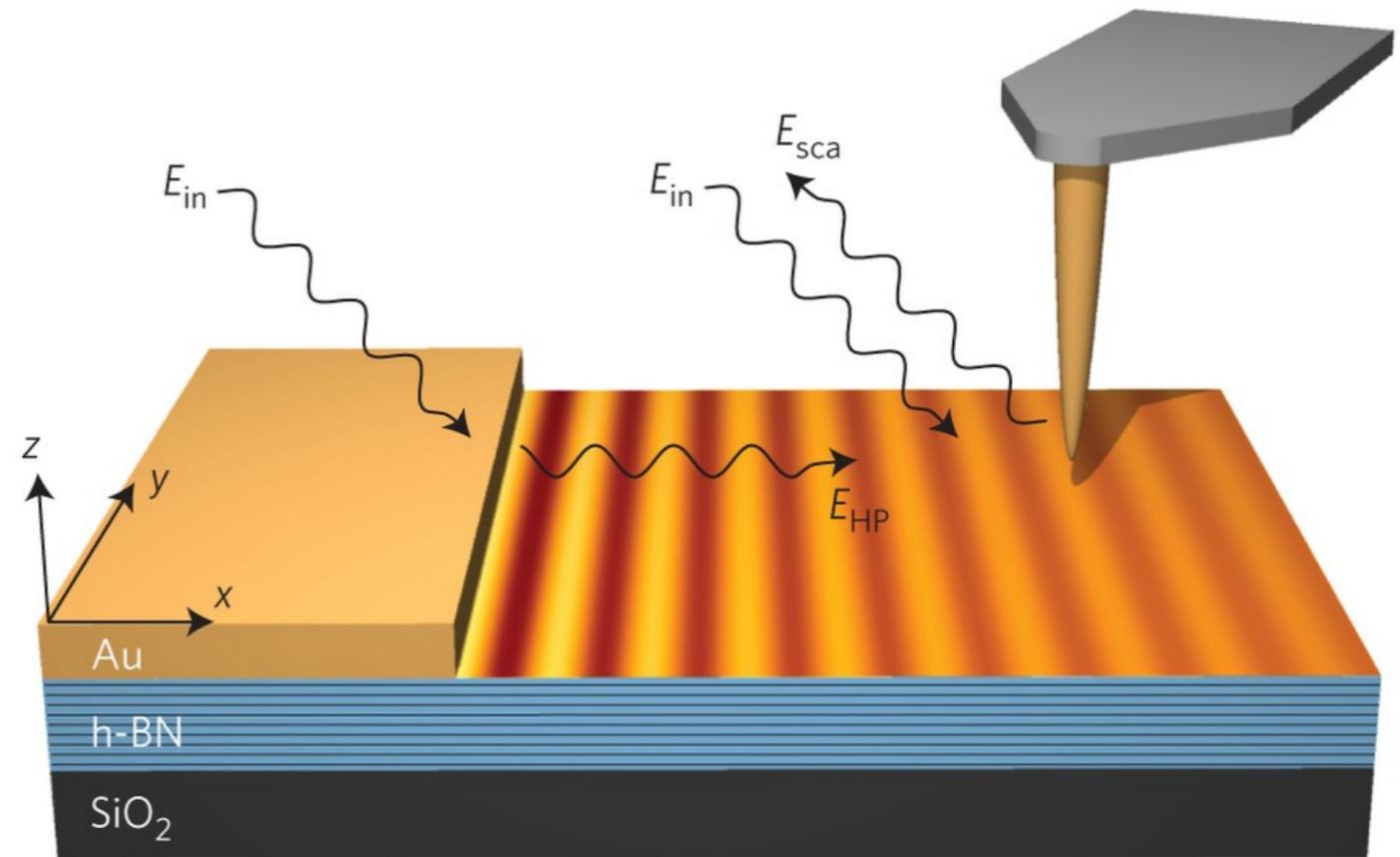
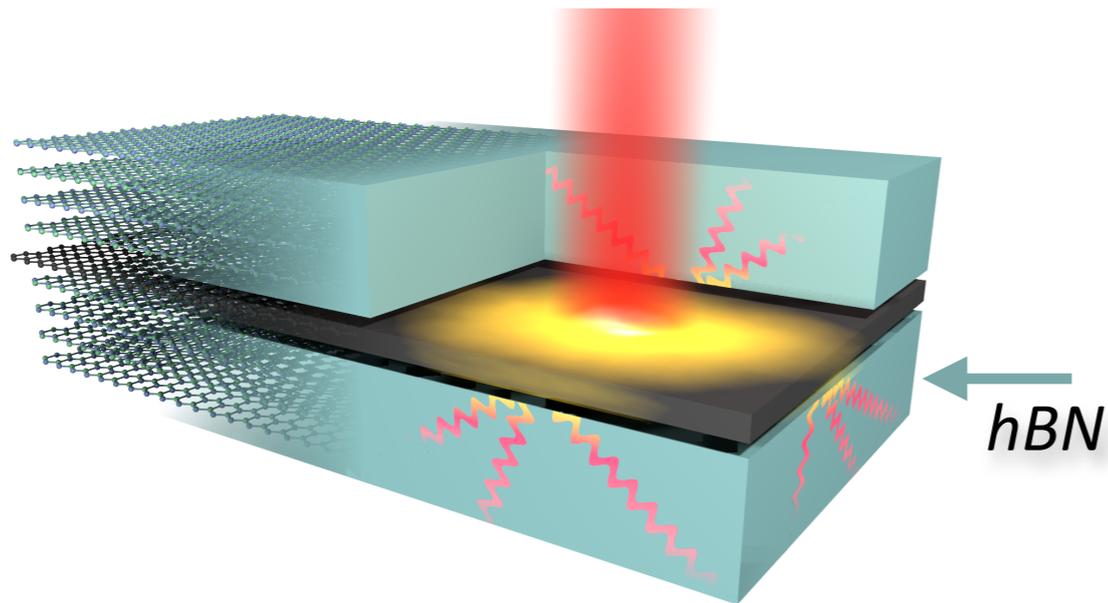
# hBN: Hyperbolic phonons



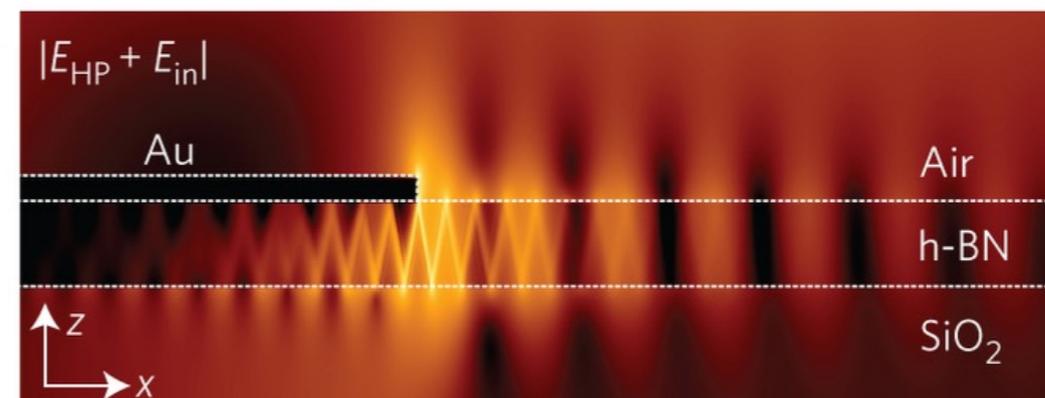
Hyperbolic modes:  
 $\text{sgn}(\epsilon_{\perp}) \neq \text{sgn}(\epsilon_{\parallel})$



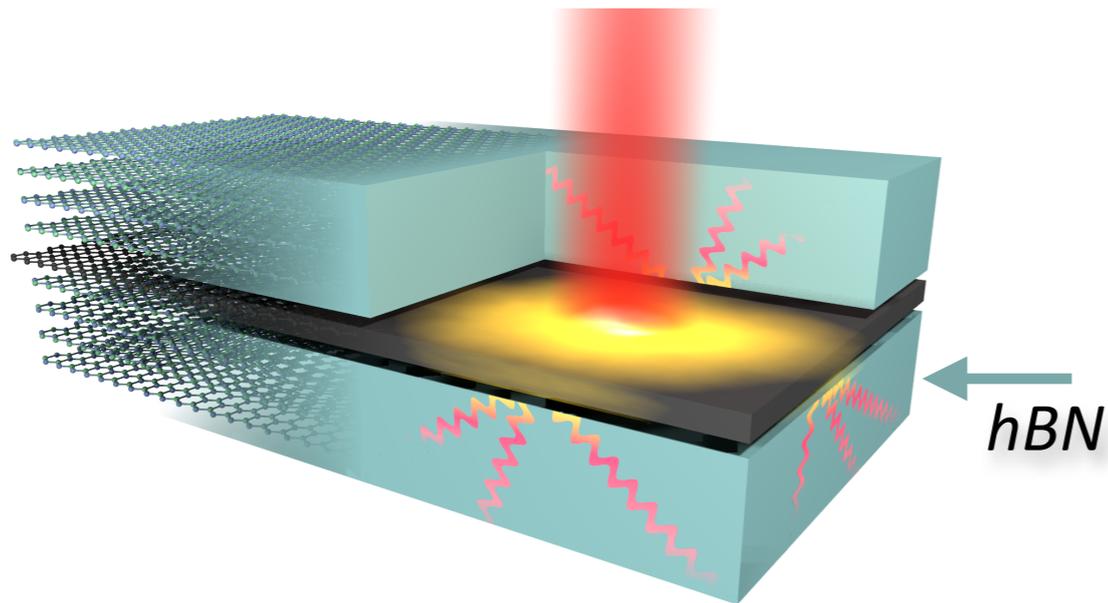
# hBN: Hyperbolic phonons



Hyperbolic modes:  
 $\text{sgn}(\epsilon_{\perp}) \neq \text{sgn}(\epsilon_{\parallel})$

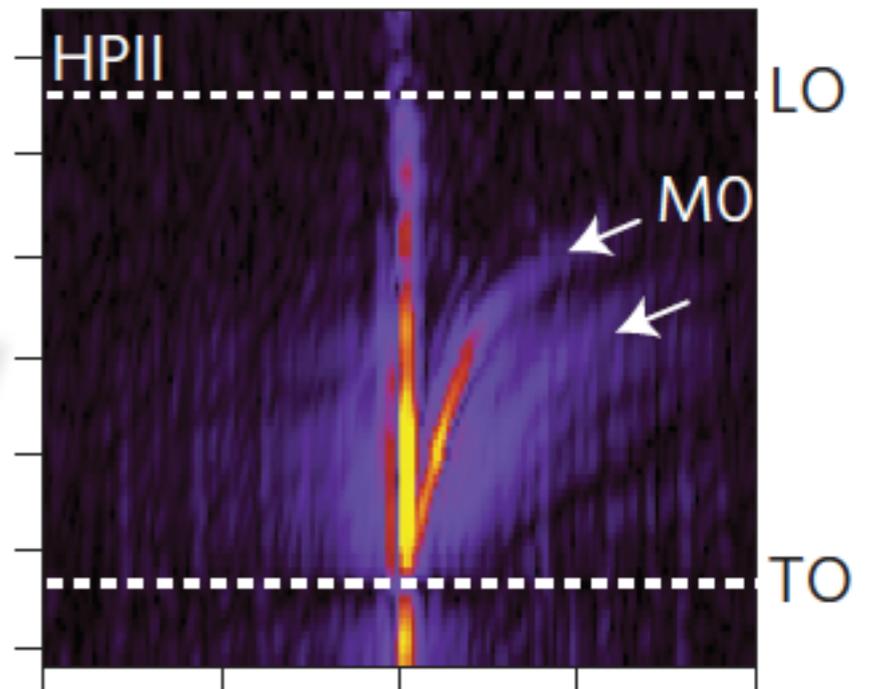


# hBN: Hyperbolic phonons

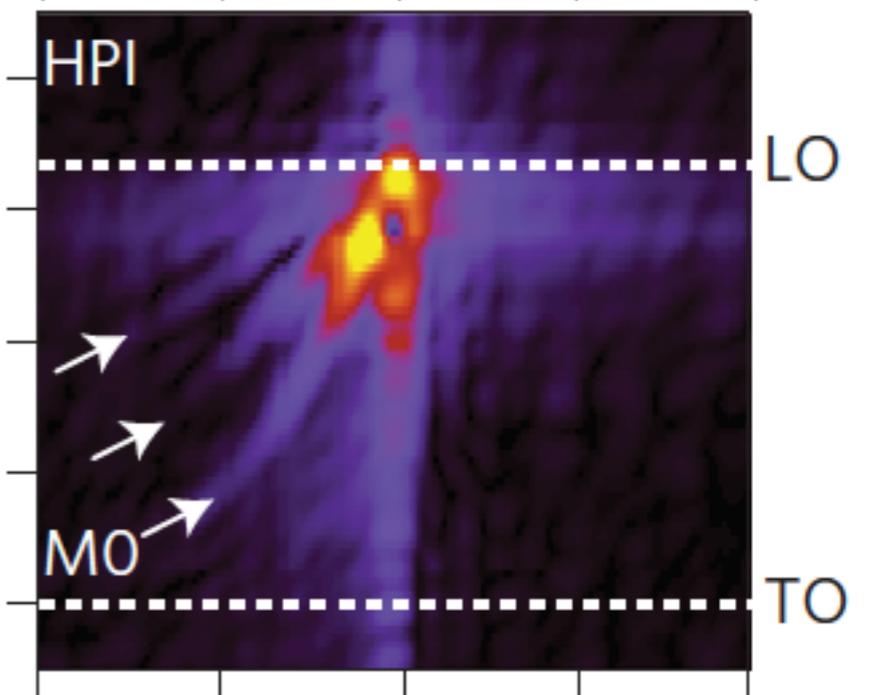


Hyperbolic modes:  
 $\text{sgn}(\epsilon_{\perp}) \neq \text{sgn}(\epsilon_{\parallel})$

$E = 0.18 \text{ eV}$

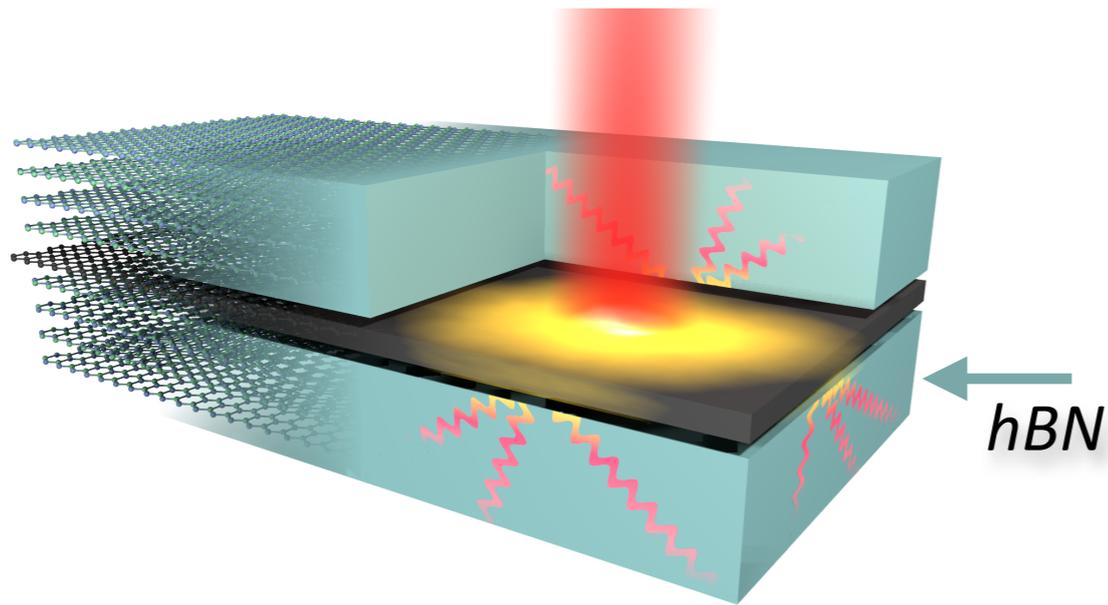


$E = 0.1 \text{ eV}$



$k = 0$

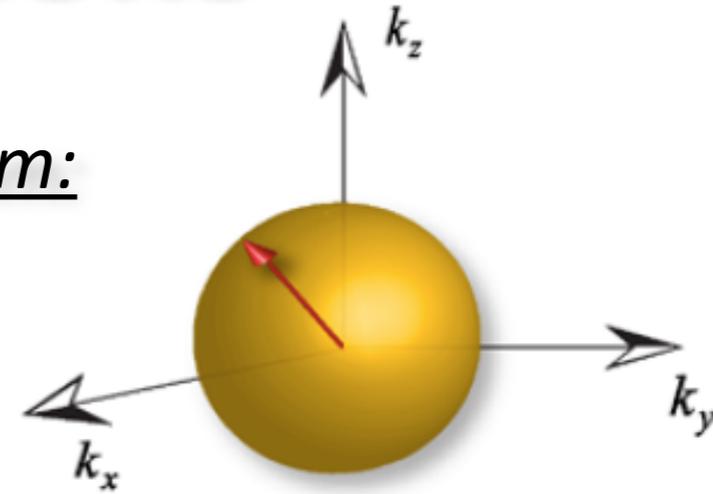
# hBN: Hyperbolic phonons



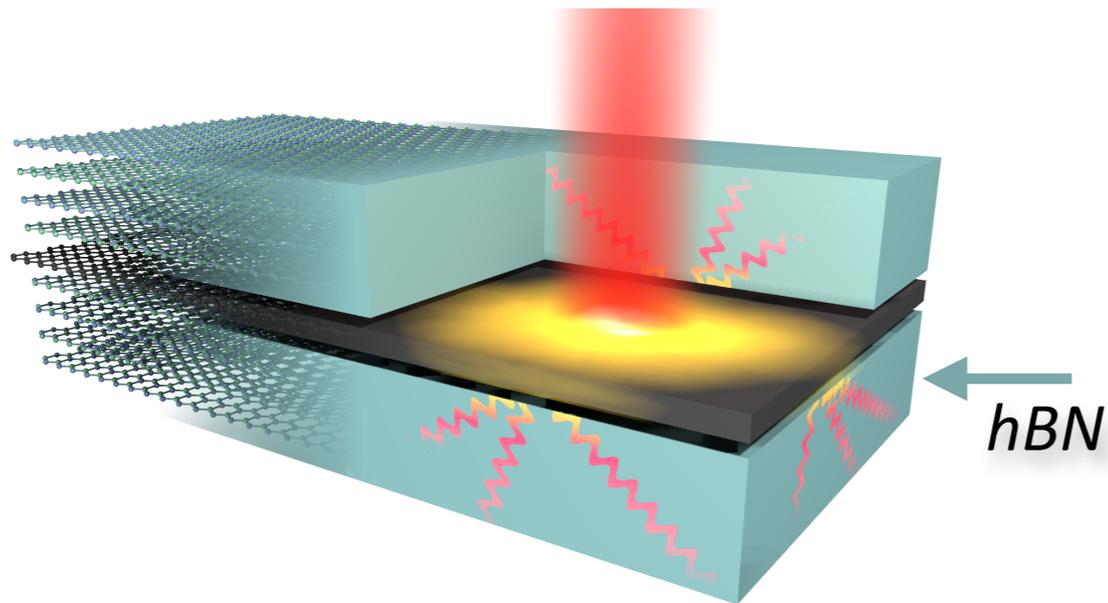
Isotropic medium:

$$\epsilon_{\perp} = \epsilon_{\parallel}$$

$$k = \omega/c$$



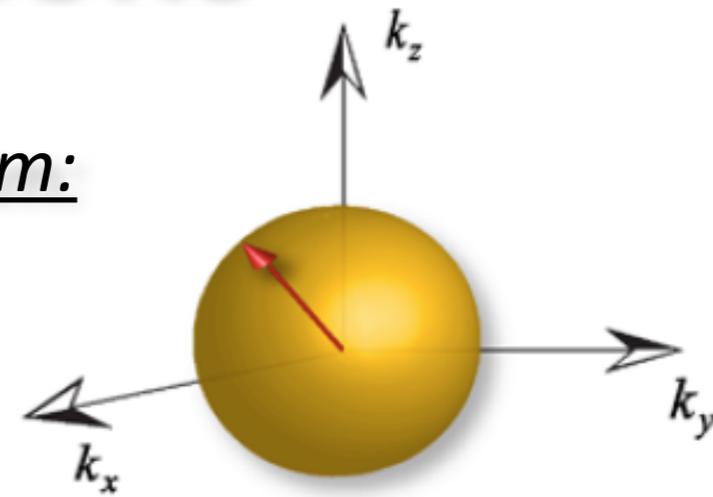
# hBN: Hyperbolic phonons



Isotropic medium:

$$\epsilon_{\perp} = \epsilon_{\parallel}$$

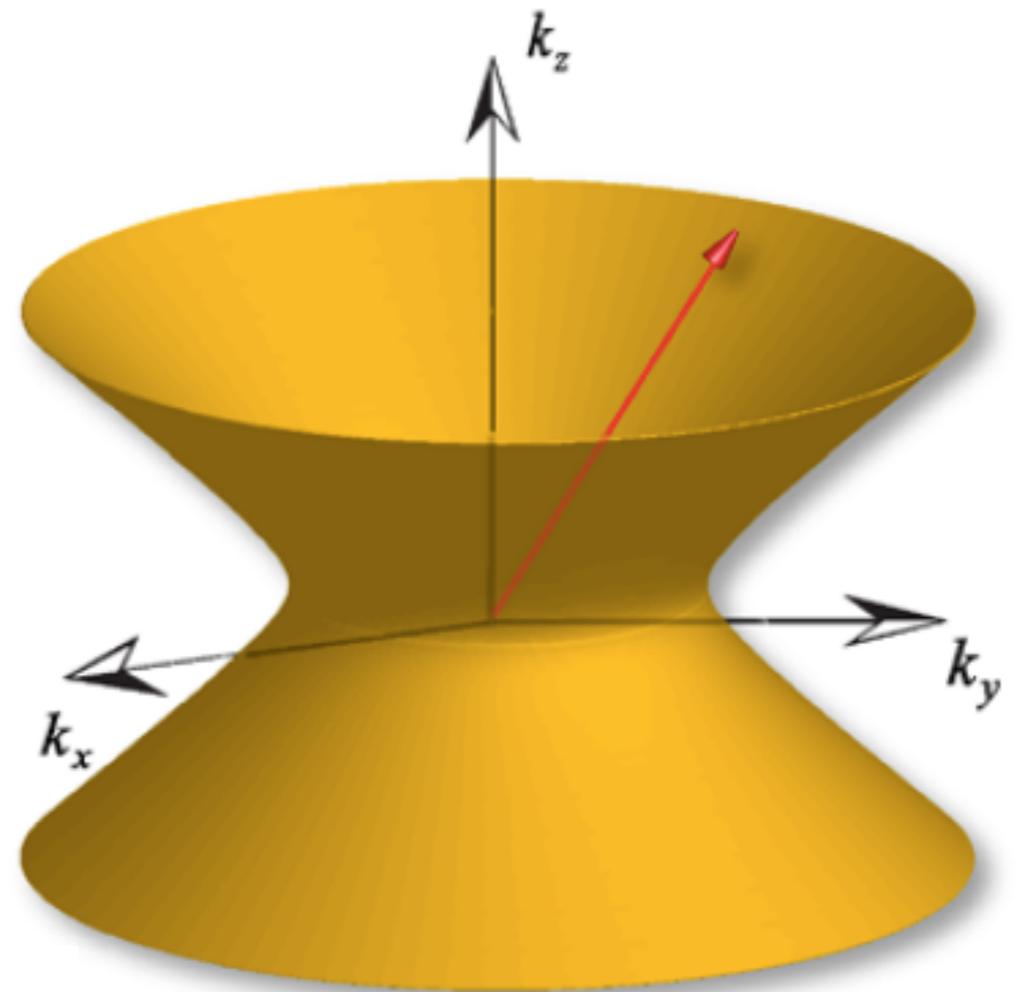
$$k = \omega/c$$



Hyperbolic mode (Type II):

$$\text{sgn}(\epsilon_{\perp}) \neq \text{sgn}(\epsilon_{\parallel})$$

$$k \gg \omega/c$$

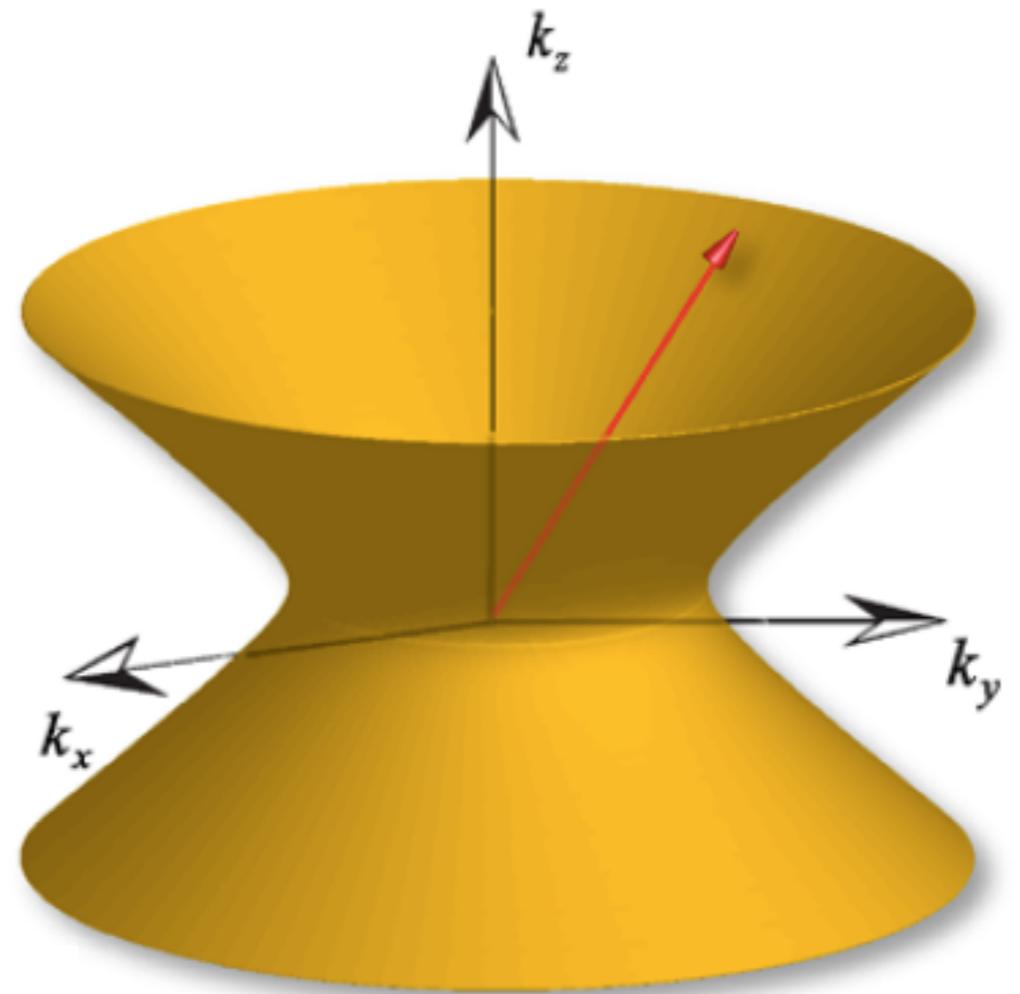
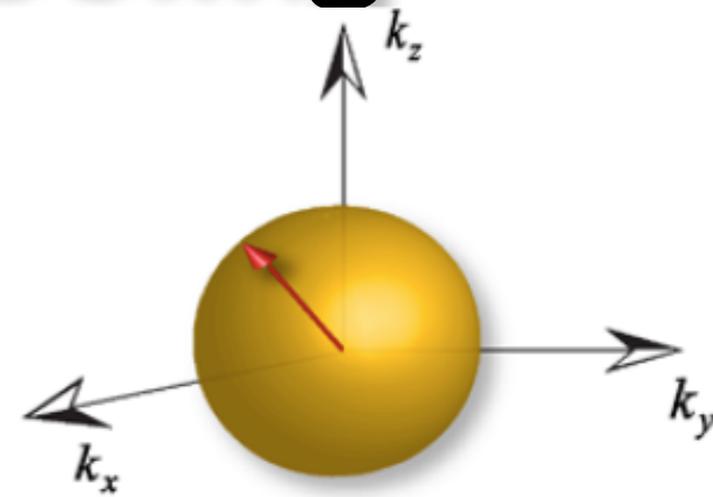


# Near-field, hyperbolic cooling

## Graphene in vacuum

- Light cone with restricted  $k$ -vectors
- *Low thermal energy density*

➔ **Blackbody Planck radiation (inefficient)**

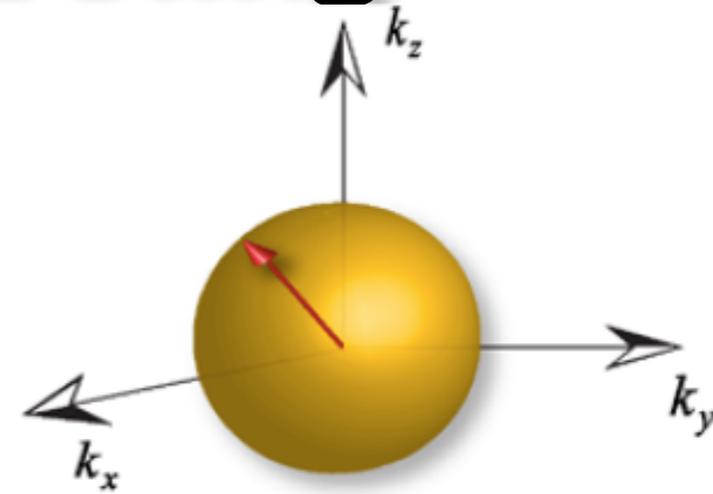


# Near-field, hyperbolic cooling

## Graphene in vacuum

- Light cone with restricted  $k$ -vectors
- *Low thermal energy density*

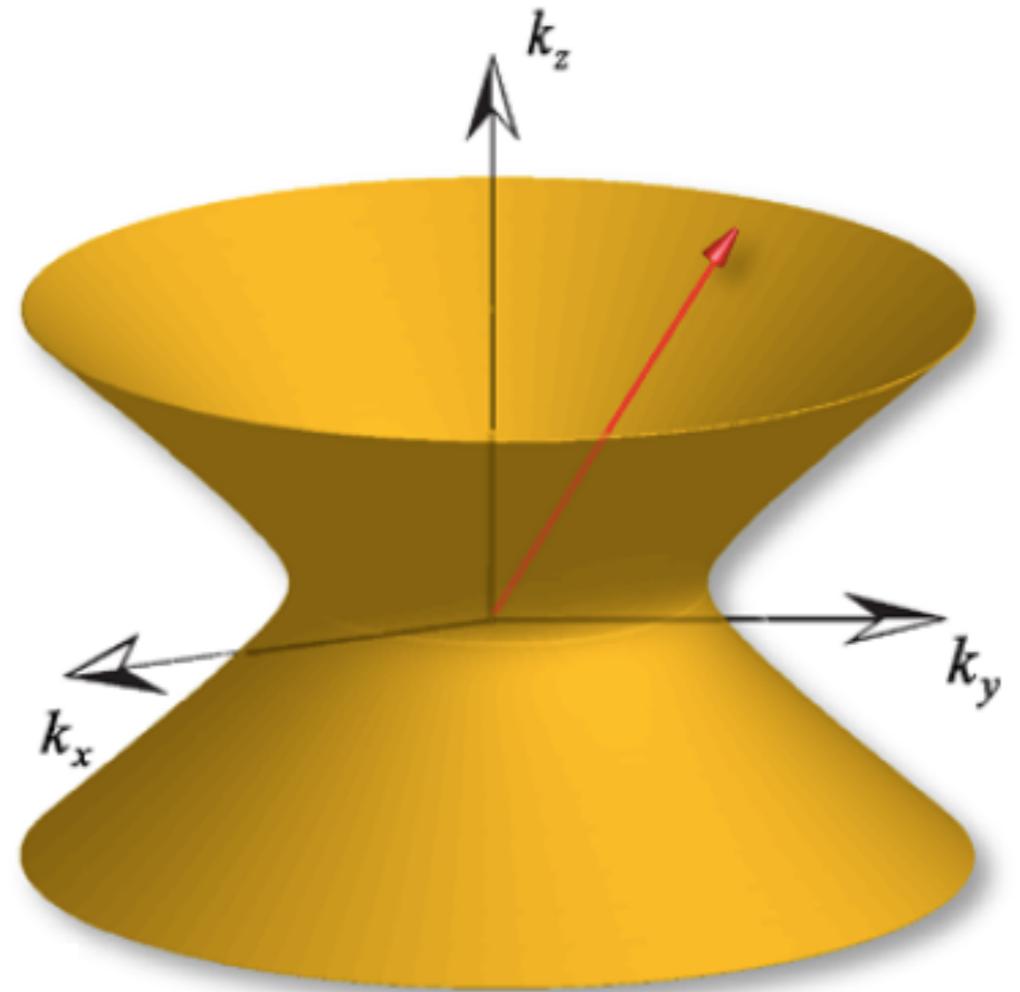
➔ **Blackbody Planck radiation (inefficient)**



## hBN-encapsulated graphene

- Hyperbolic modes with near-infinite range of  $k$ -vectors
- *High thermal energy density*

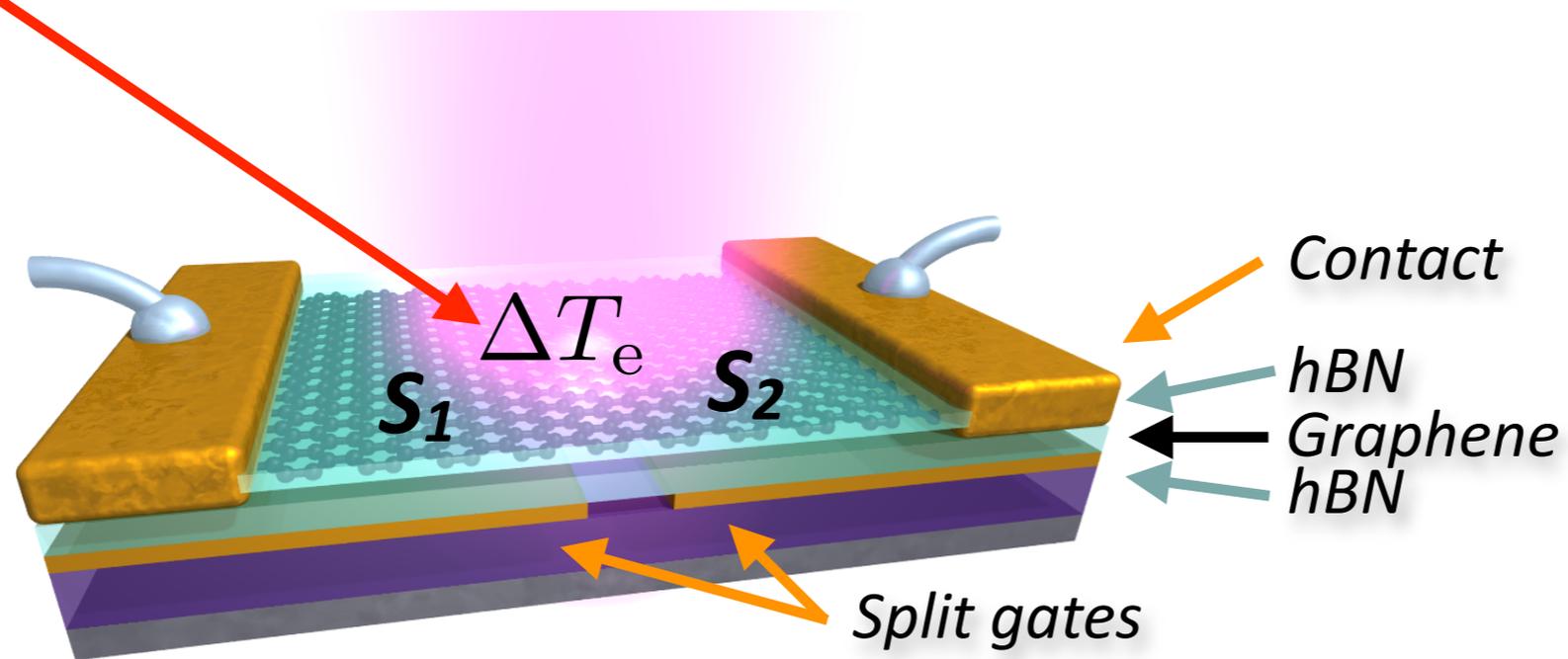
➔ **Super-Planckian radiation (very efficient)**



**More efficient than graphene phonon cooling?**

# Experiment

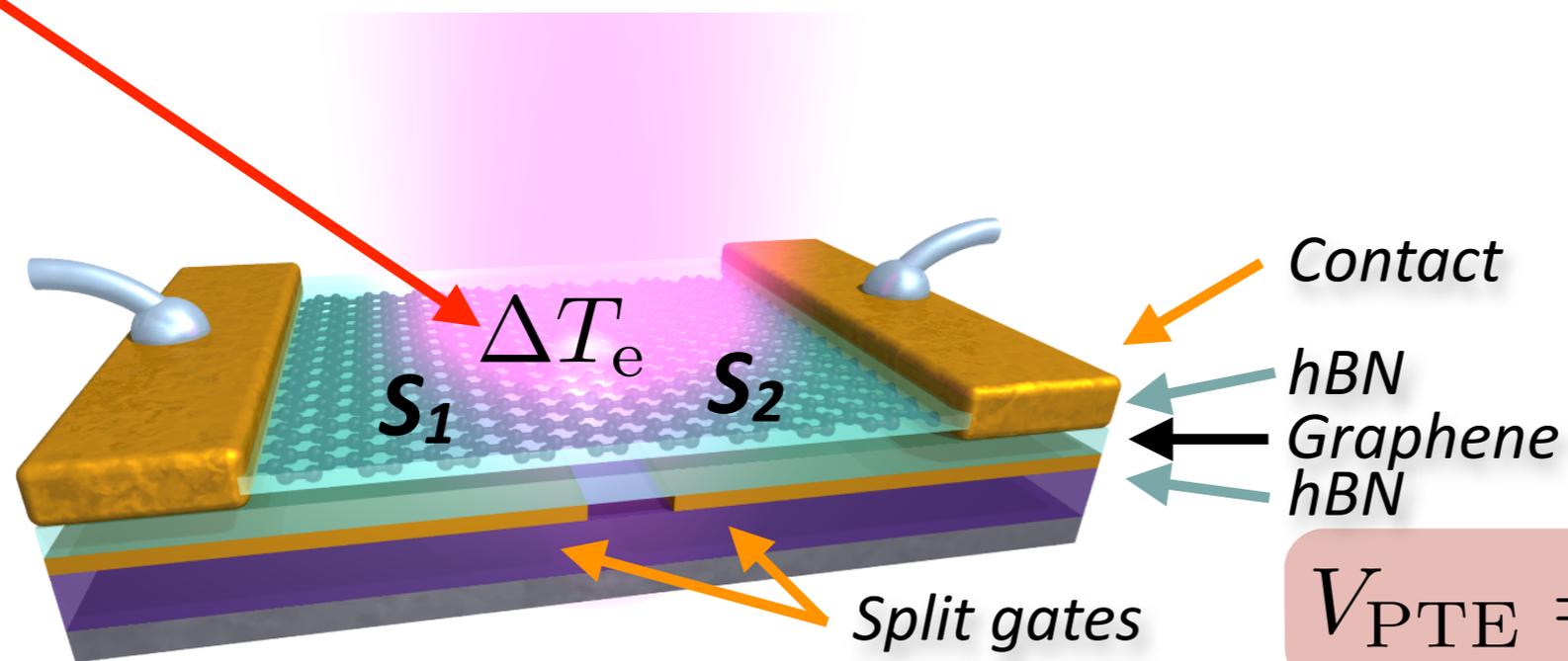
Hot graphene electrons



*Photo-thermoelectric (PTE) detector*

# Experiment

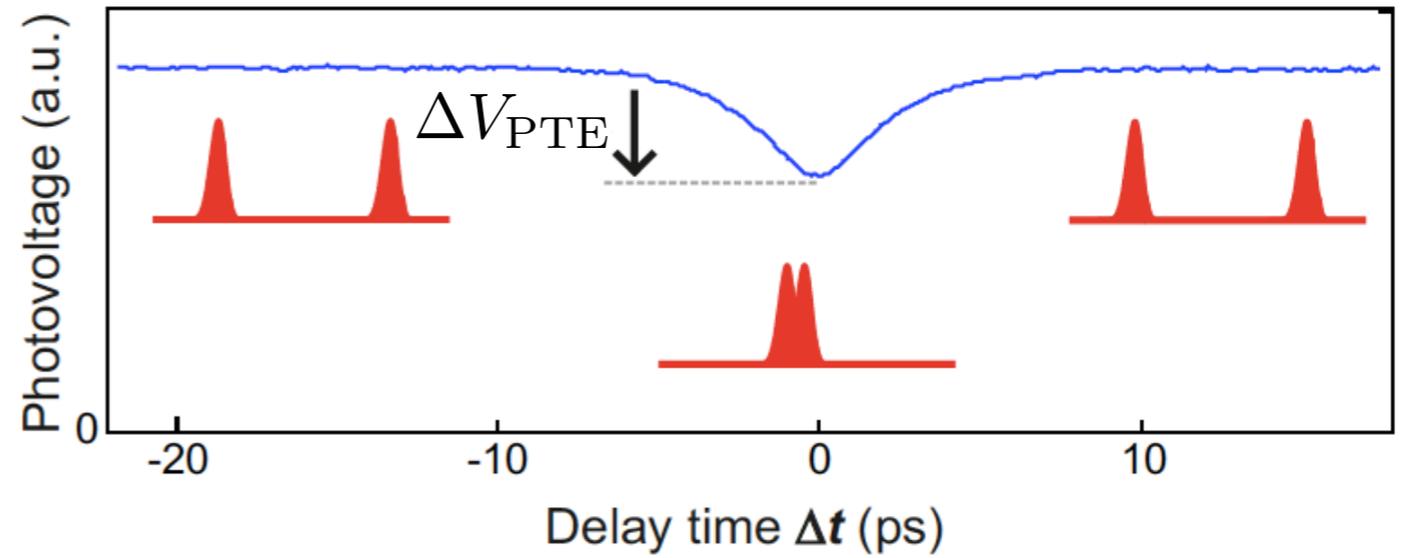
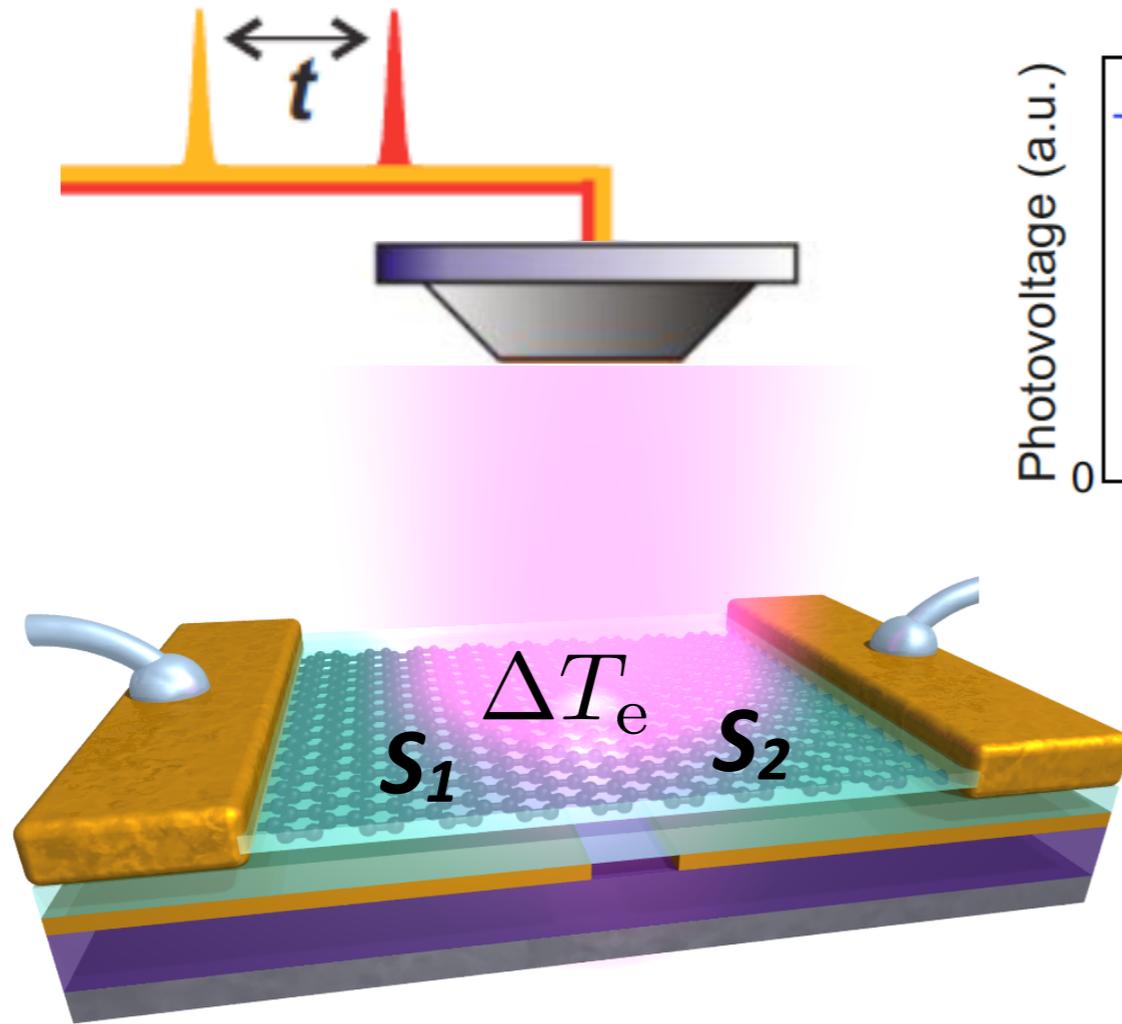
Hot graphene electrons



$$V_{\text{PTE}} = (S_2 - S_1) \Delta T_e$$

*Photo-thermoelectric (PTE) detector*

# Experiment

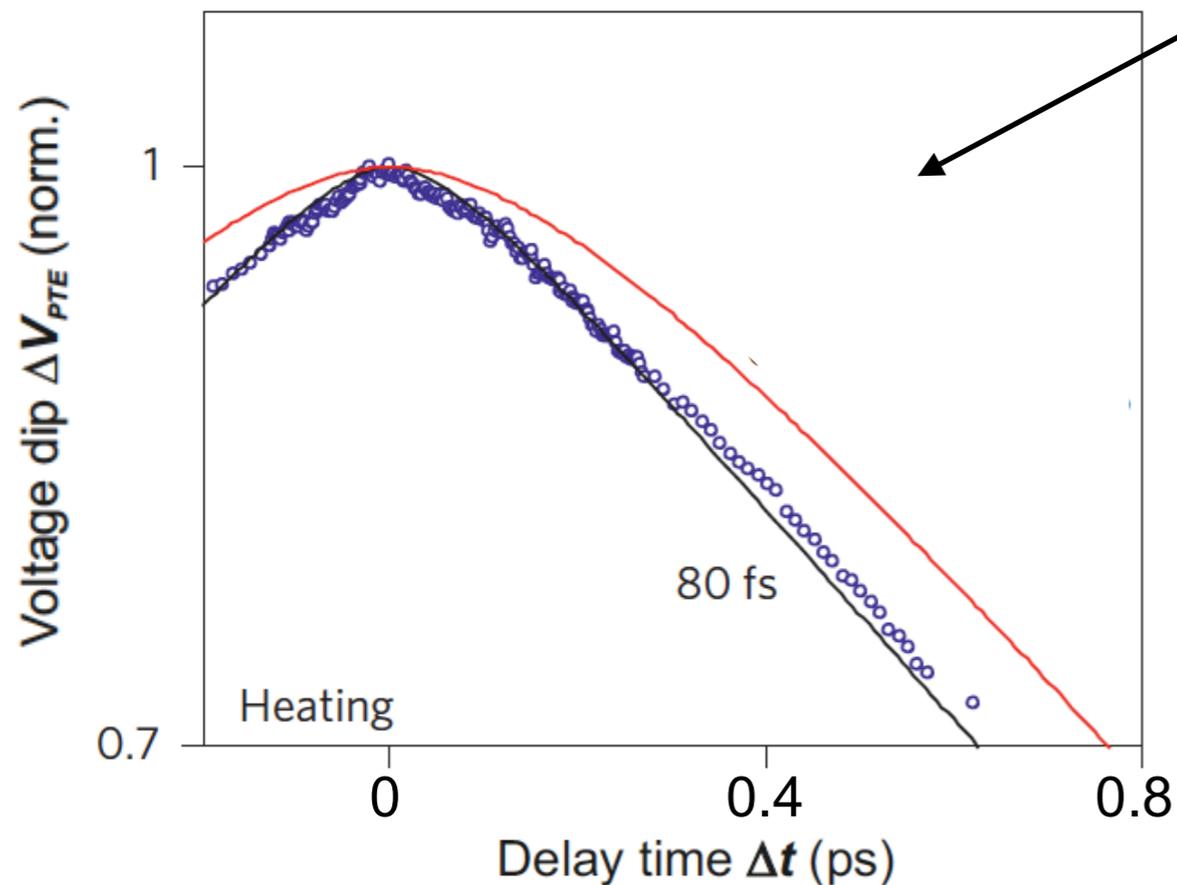
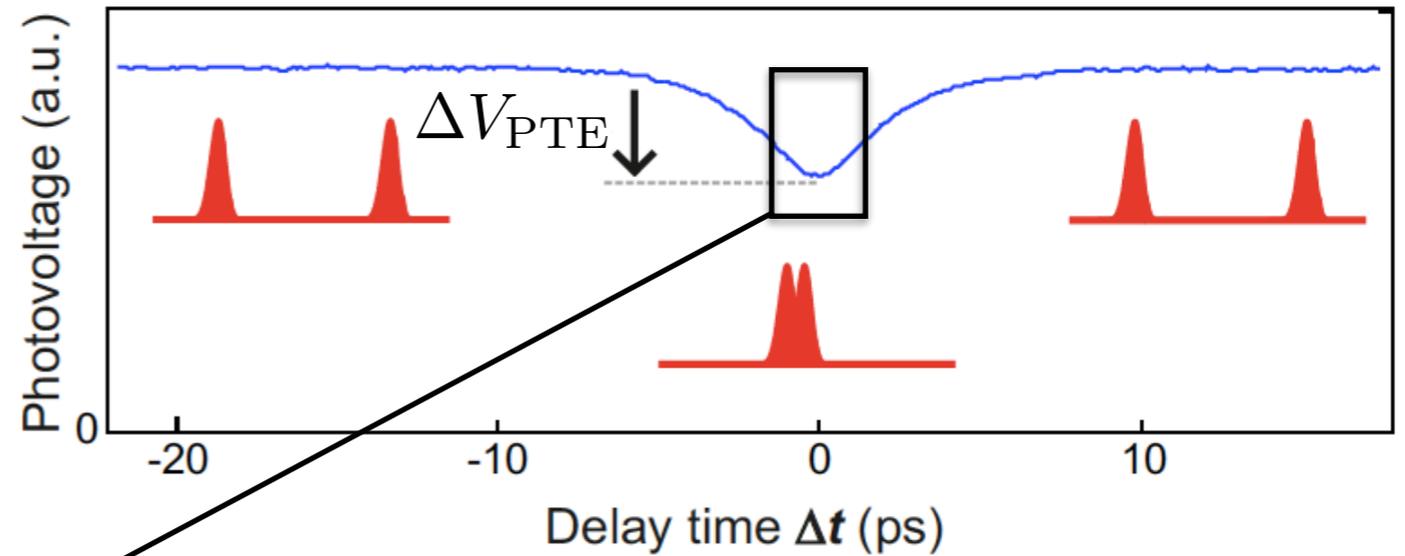


$$V_{\text{PTE}} = (S_2 - S_1) \Delta T_e$$

*Access to temperature dynamics!*

$$\Delta V_{\text{PTE}}(\Delta t) \sim \Delta T_e(\Delta t)$$

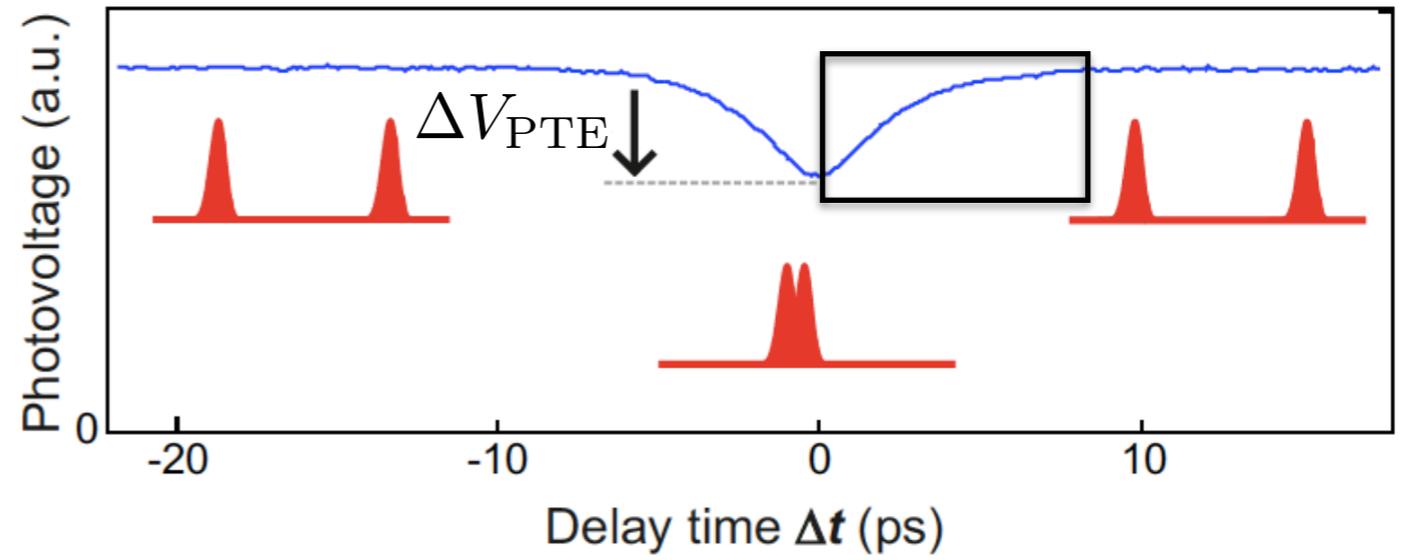
# Ultrafast heating



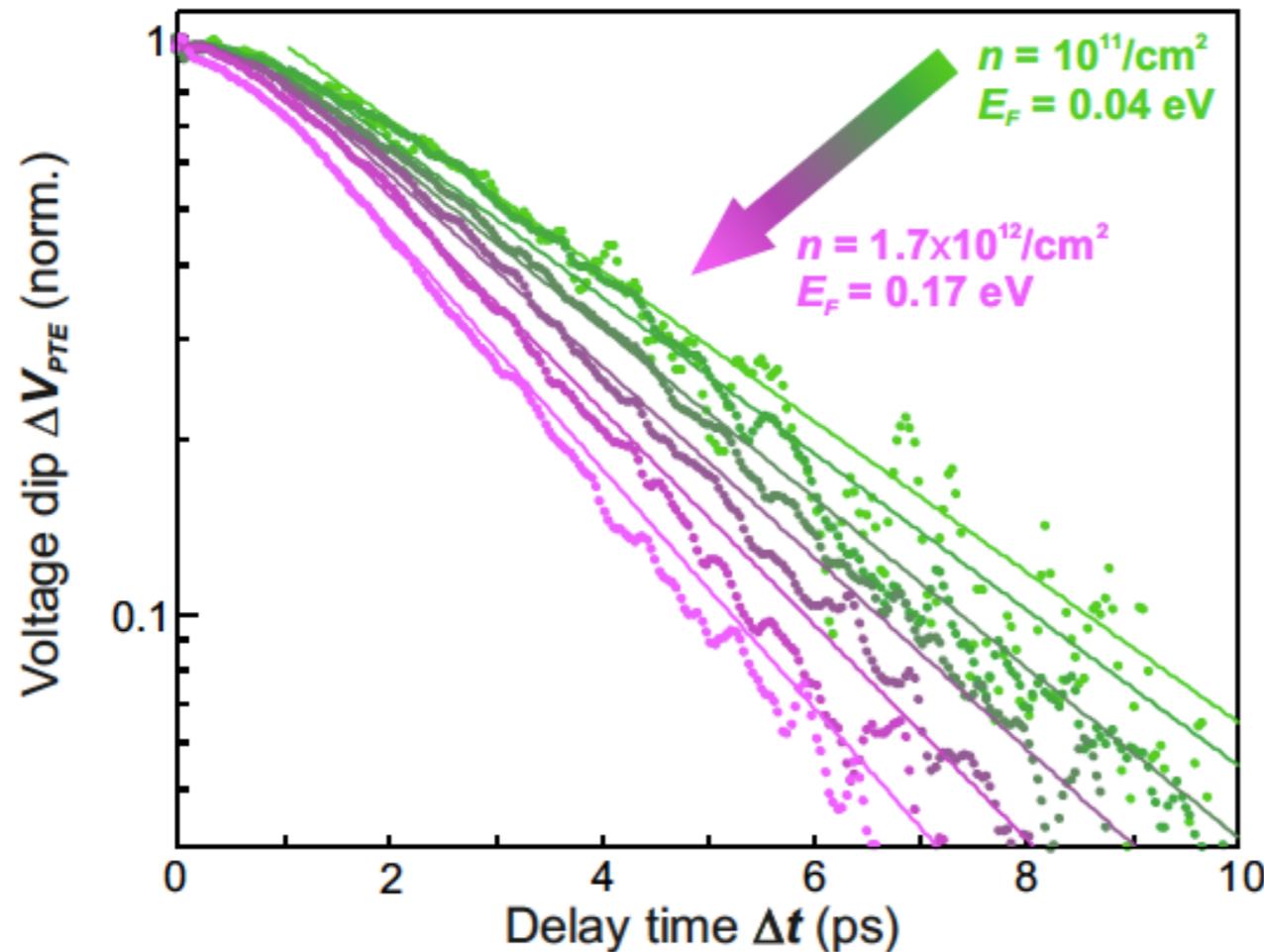
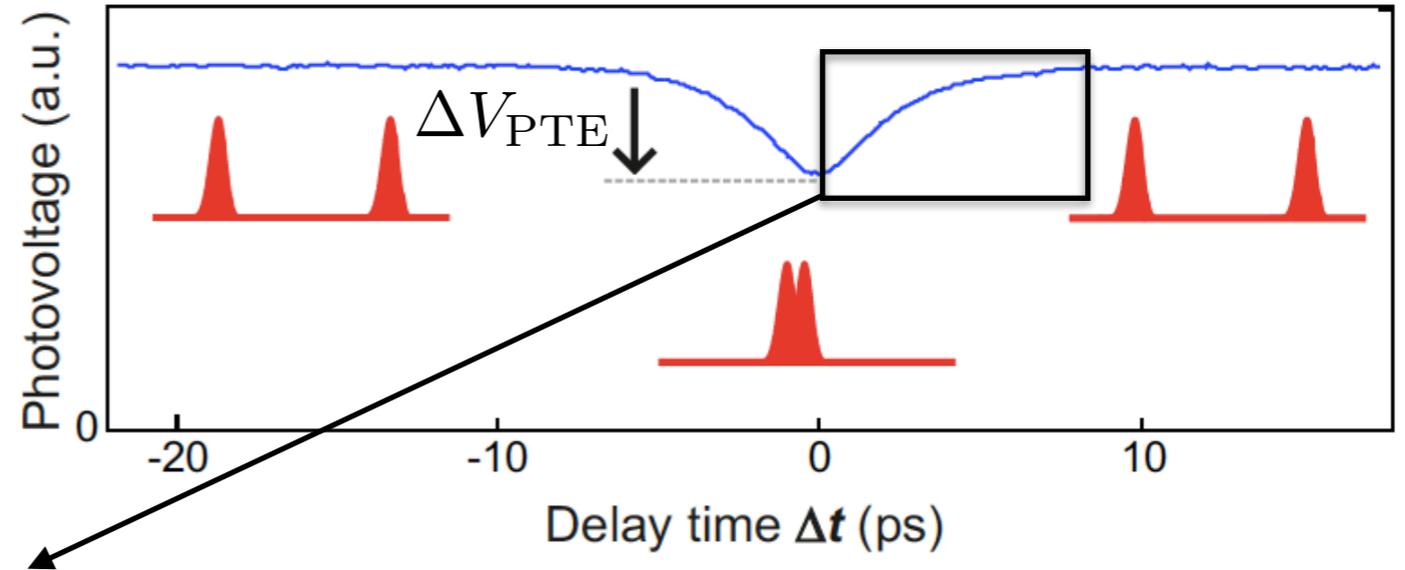
- Ultrafast response function of photodetector
- Ultrafast generation of hot electrons

**→ Ultrafast generation of local photovoltage: <80 fs**

# Cooling: varying carrier density



# Cooling: varying carrier density



**→ Cooling time  $\sim 2-3$  ps**

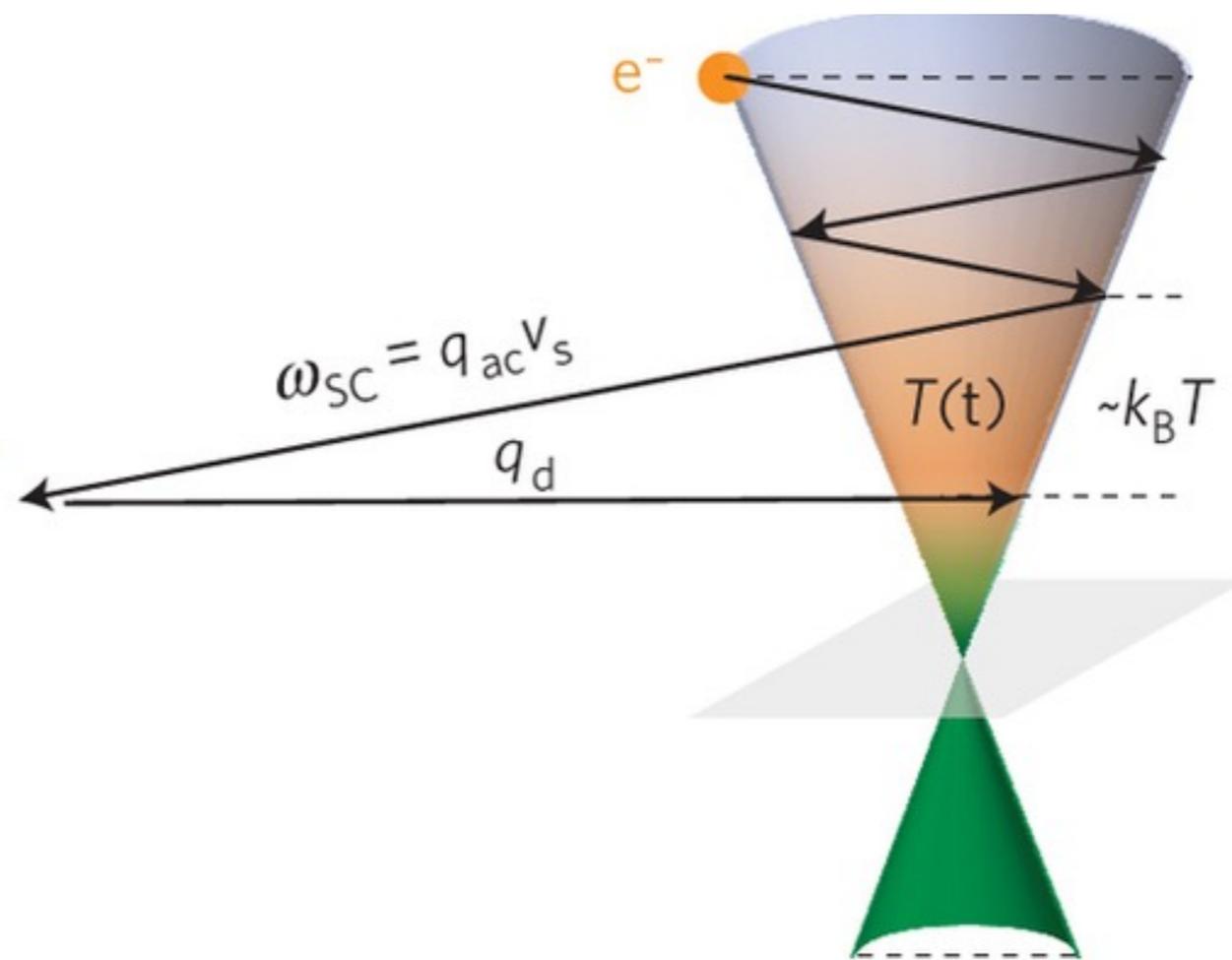
**→ Faster cooling for *higher* density**

# Super-collision cooling?

Disorder-assisted scattering with acoustic graphene phonons:

- Deformation potential
- Disorder density

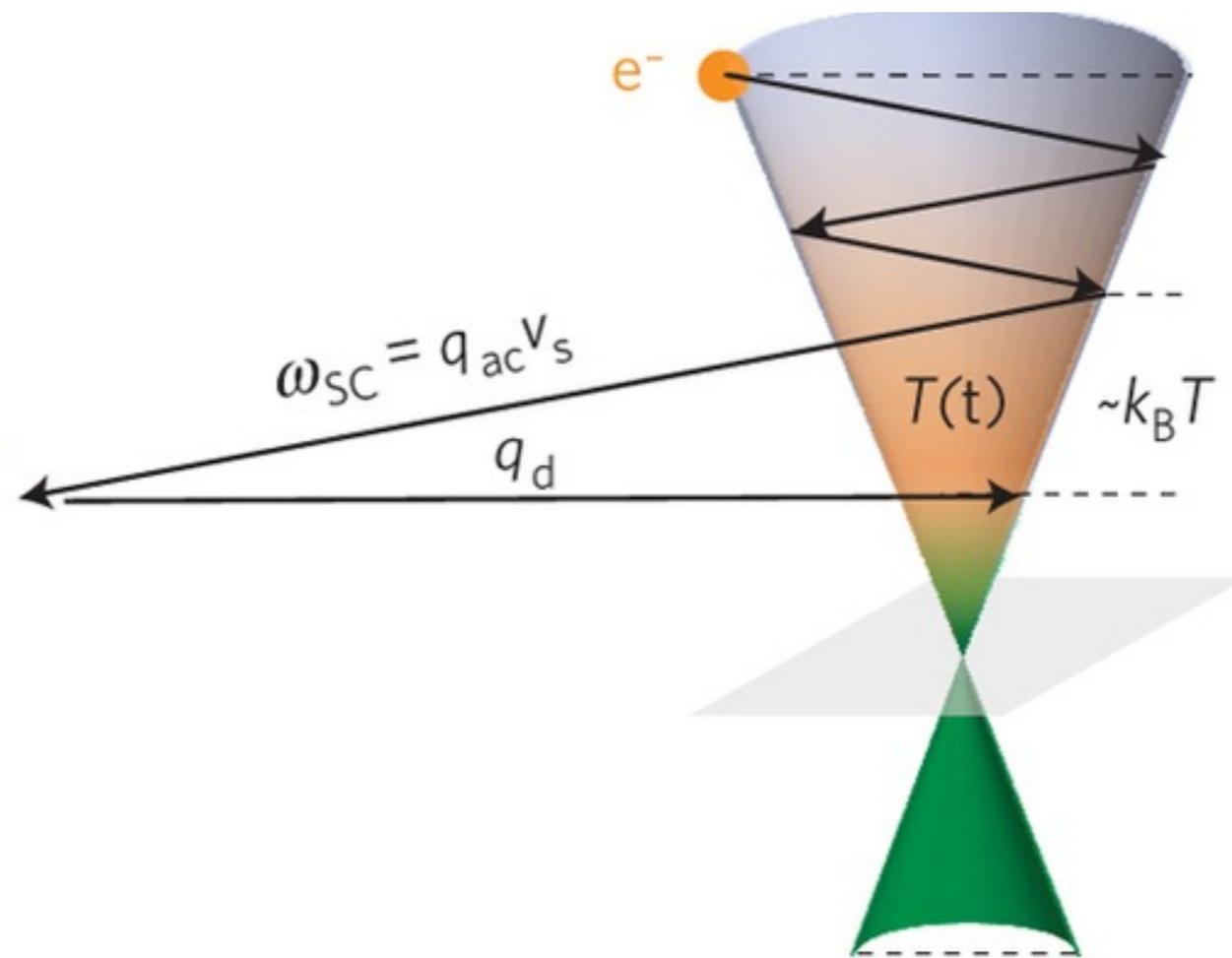
Graham et al. *Nature Phys* (2013)  
Song et al. *PRL* (2012)



# Super-collision cooling?

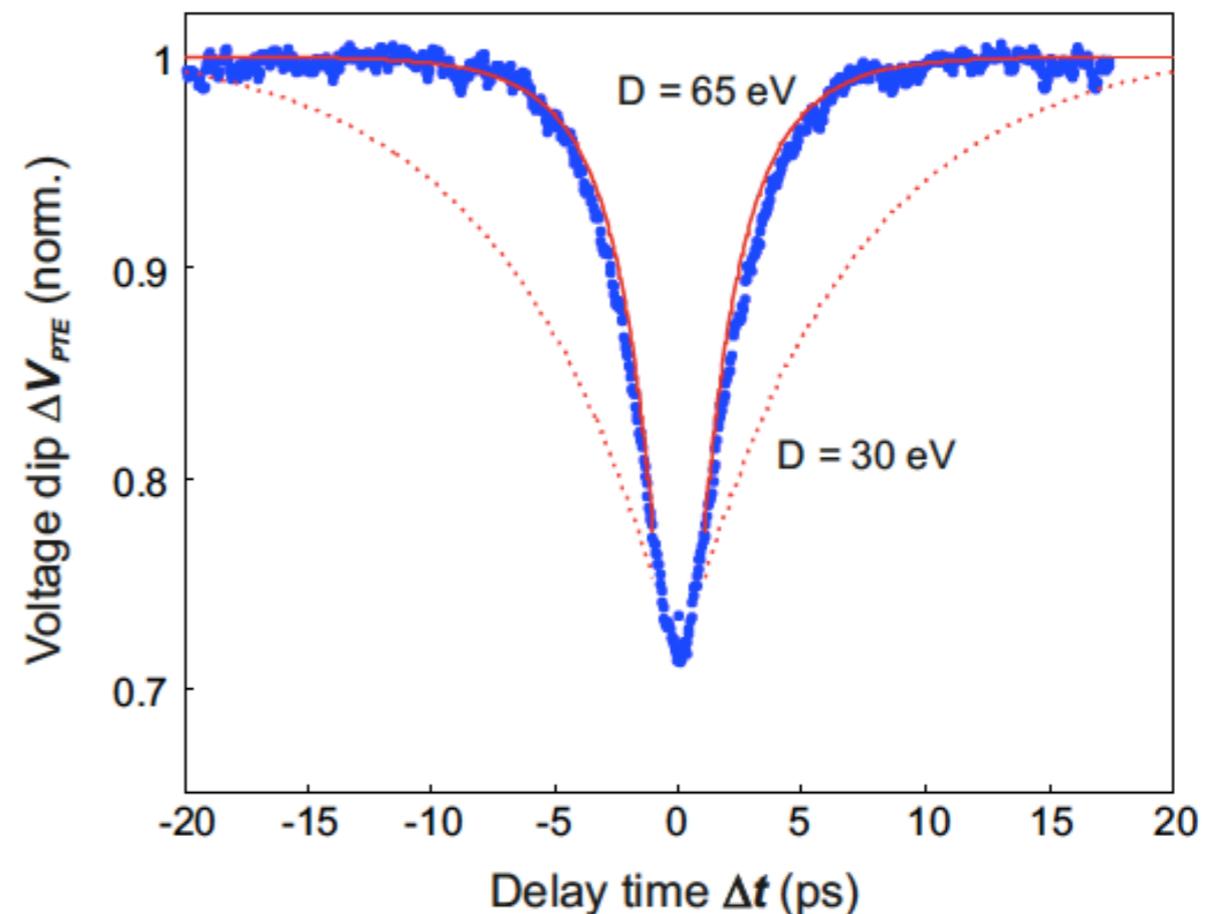
- Super-collision cooling is faster for *lower* carrier density

Graham et al. *Nature Phys* (2013)



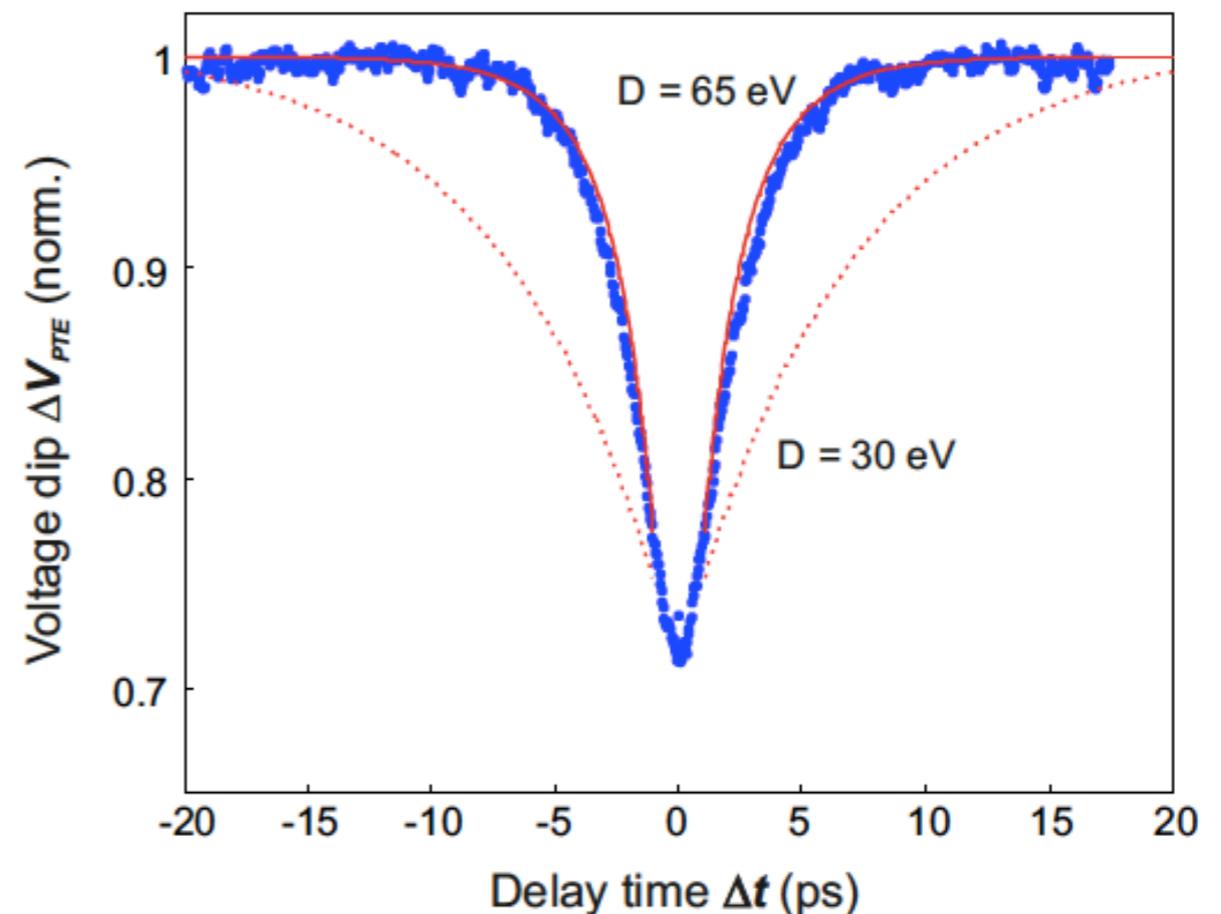
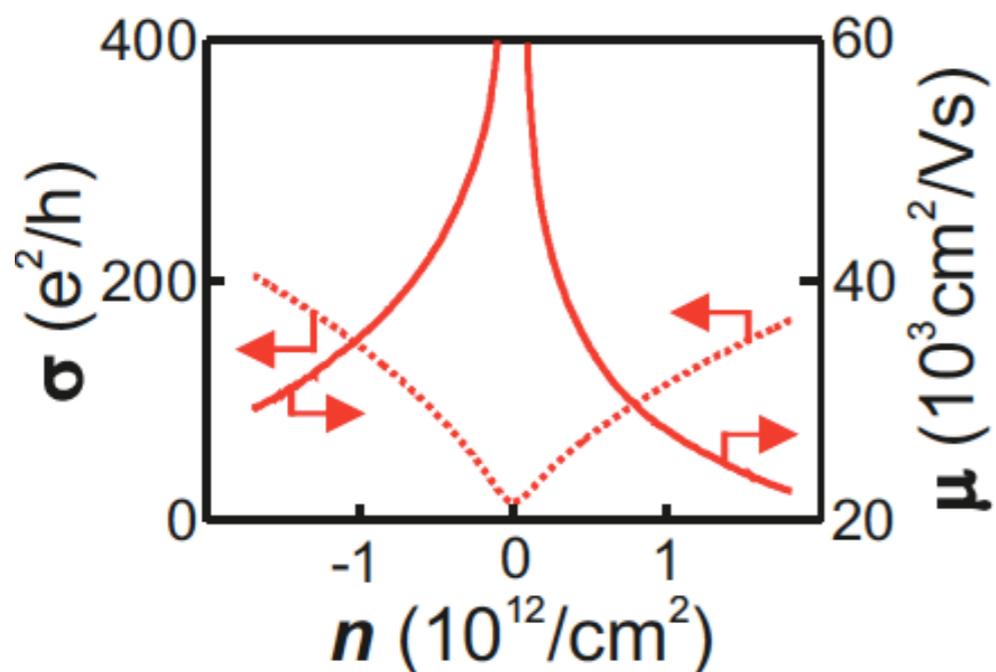
# Super-collision cooling?

- Super-collision cooling is faster for *lower* carrier density
- Super-collision cooling requires an unrealistic deformation potential of **65 eV**

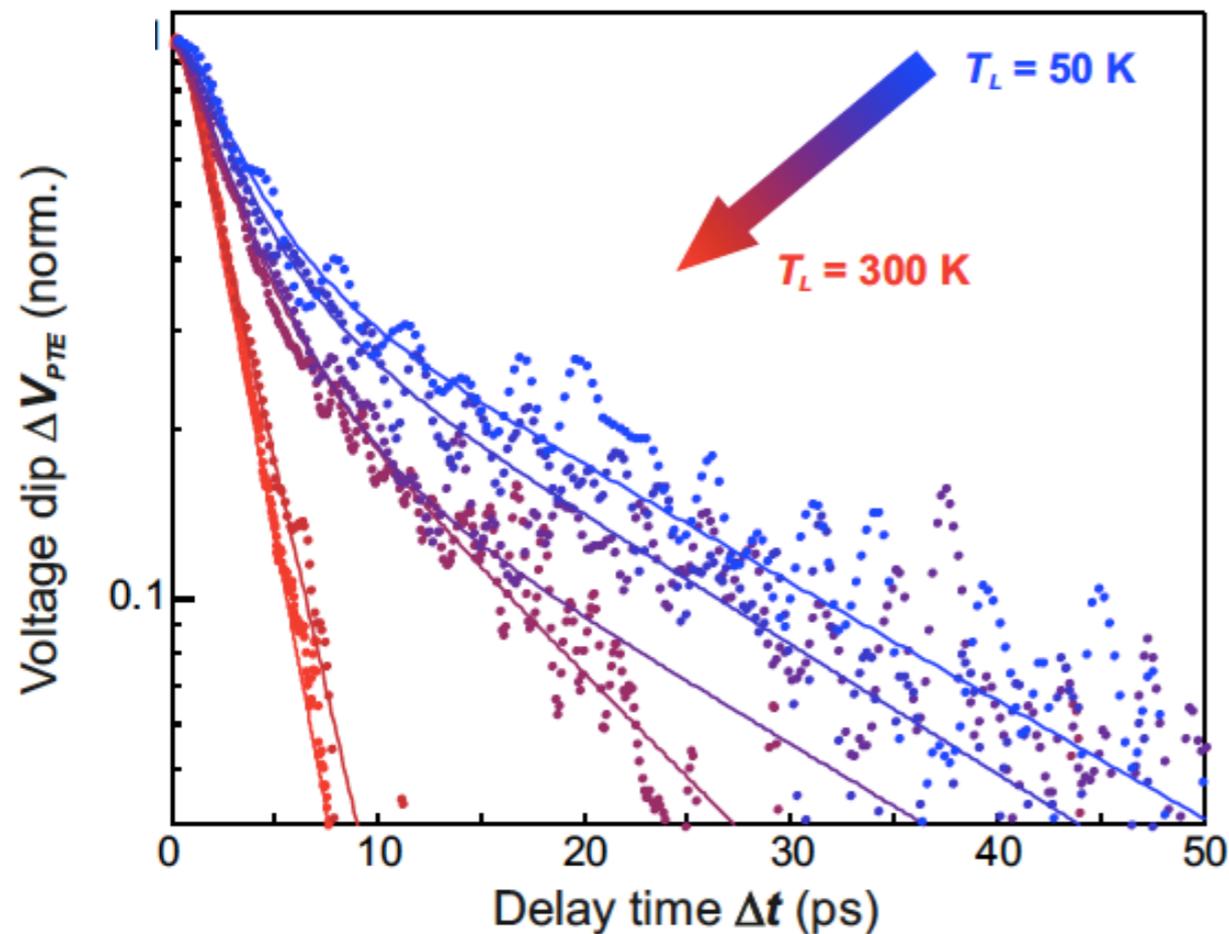
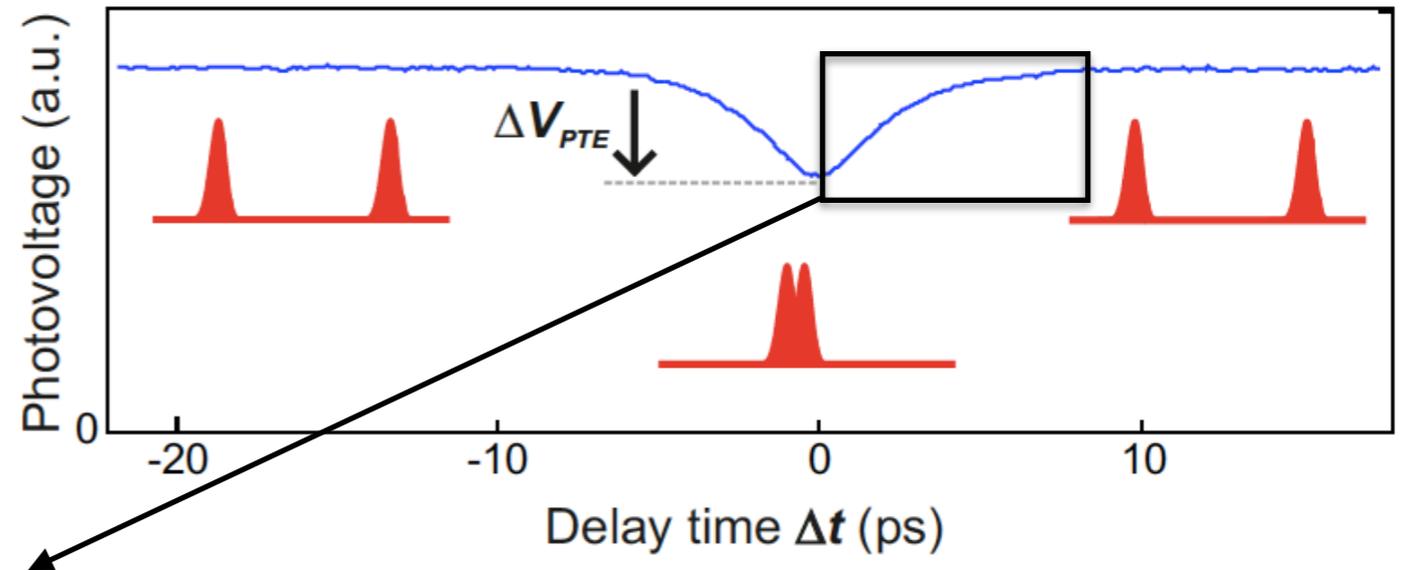


# ~~Super-collision cooling?~~

- Super-collision cooling is faster for *lower* carrier density
- Super-collision cooling requires an unrealistic deformation potential of **65 eV**
- Our device has a deformation potential **<35 eV**



# Cooling: varying lattice temperature



**Faster cooling for *higher* lattice temperature**

# Normal collision cooling?

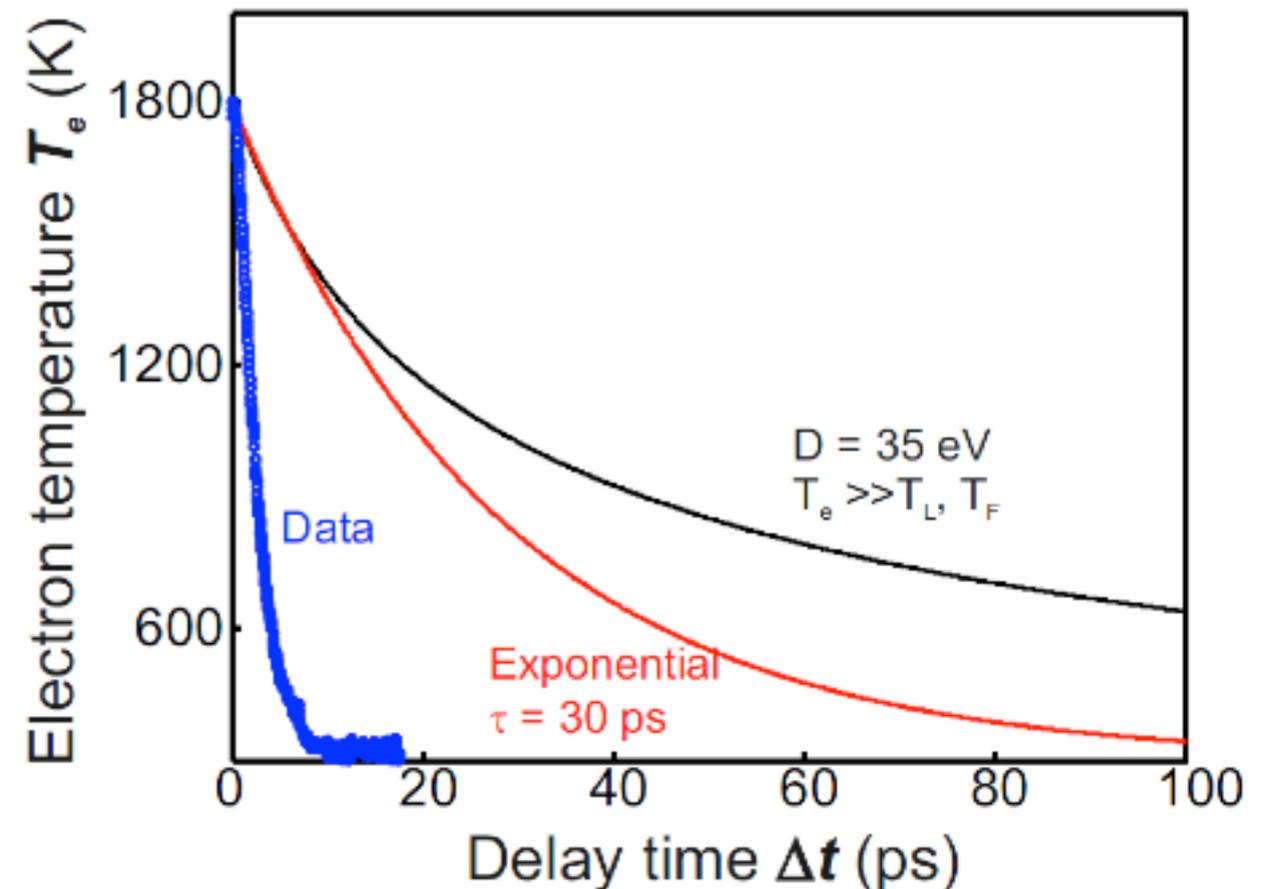
- Normal collision cooling (in overheating) is not dependent on lattice temperature

Bistritzer and MacDonald *PRL* 102, 206410 (2009)

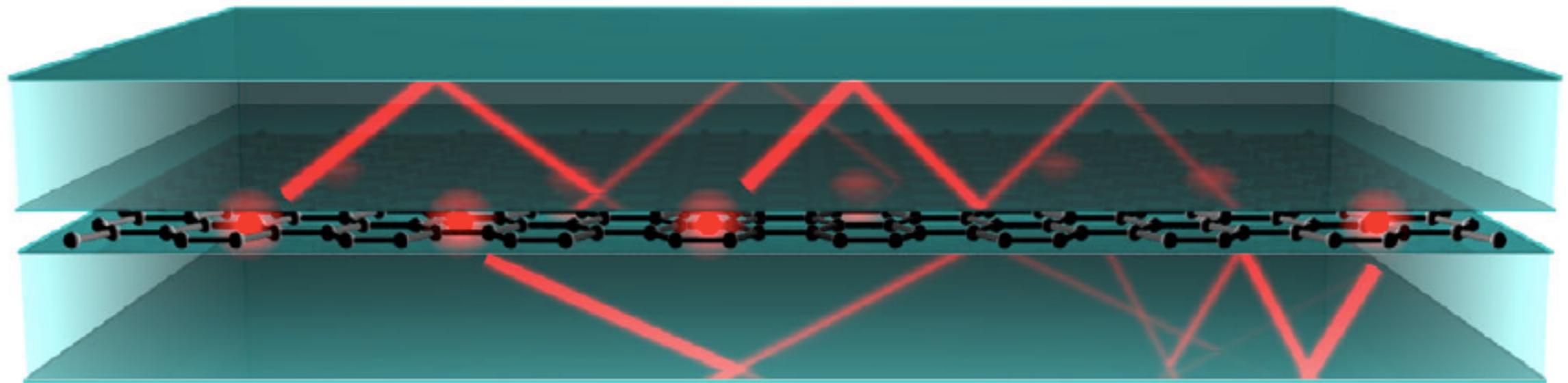
# ~~Normal collision cooling?~~

- Normal collision cooling (in overheating) is not dependent on lattice temperature
- Normal collision cooling gives non-exponential cooling with a timescale  $>30$  ps at RT

Bistritzer and MacDonald *PRL* 102, 206410 (2009)

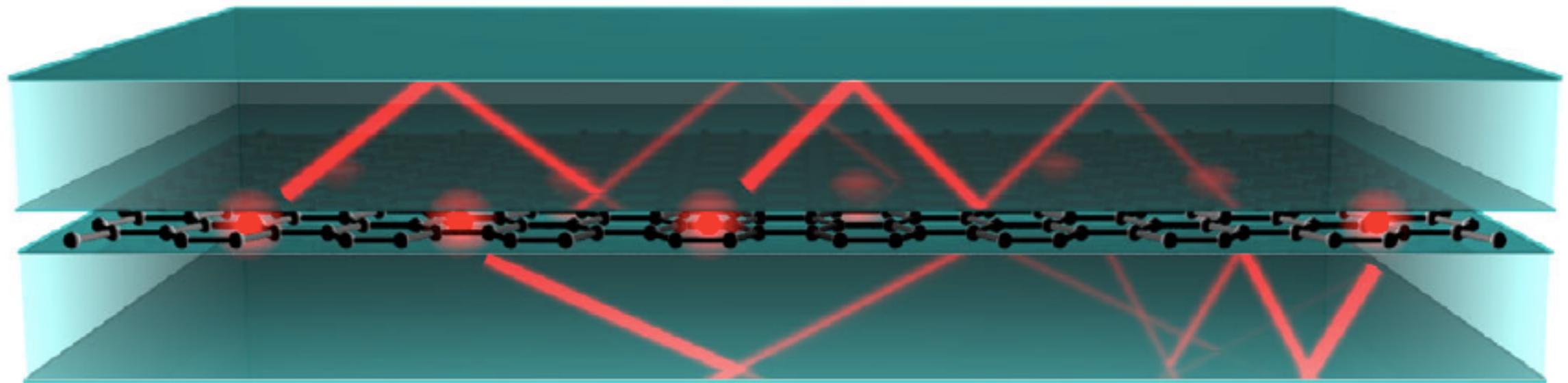


Tielrooij et al, *Arxiv*:1702.03766 (2017)



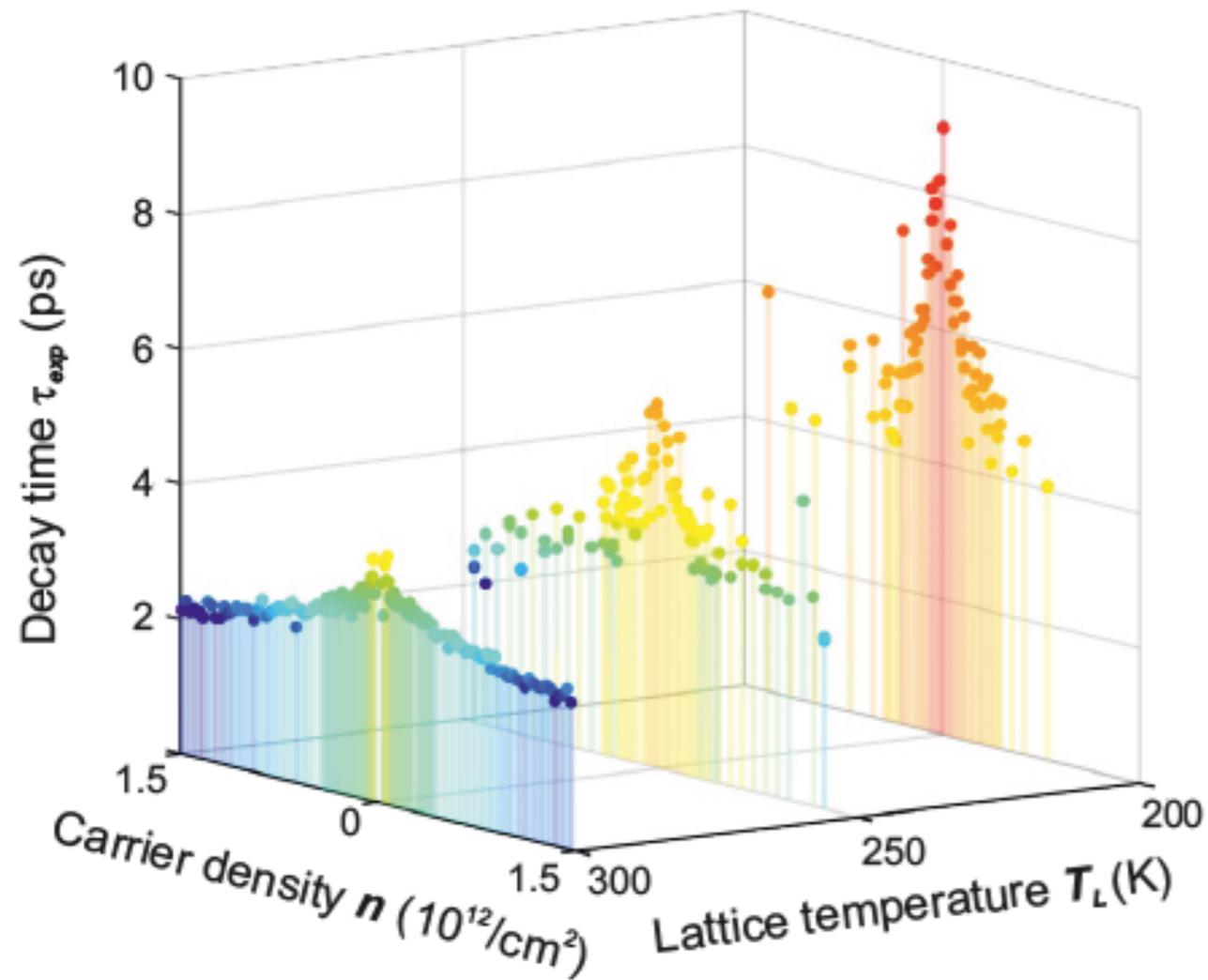
➔ In-plane cooling mechanisms are not consistent with data

# Out-of-plane transport!

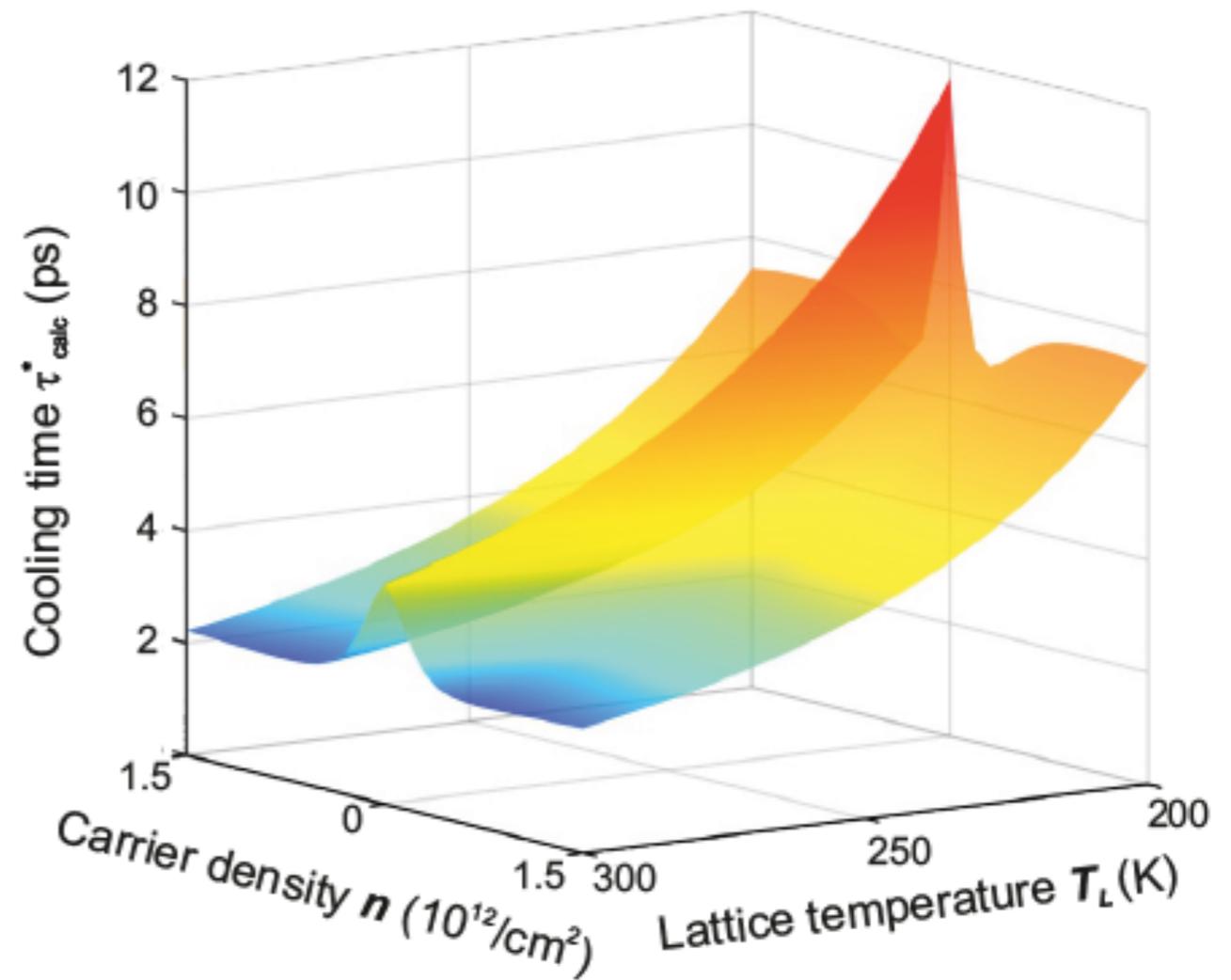
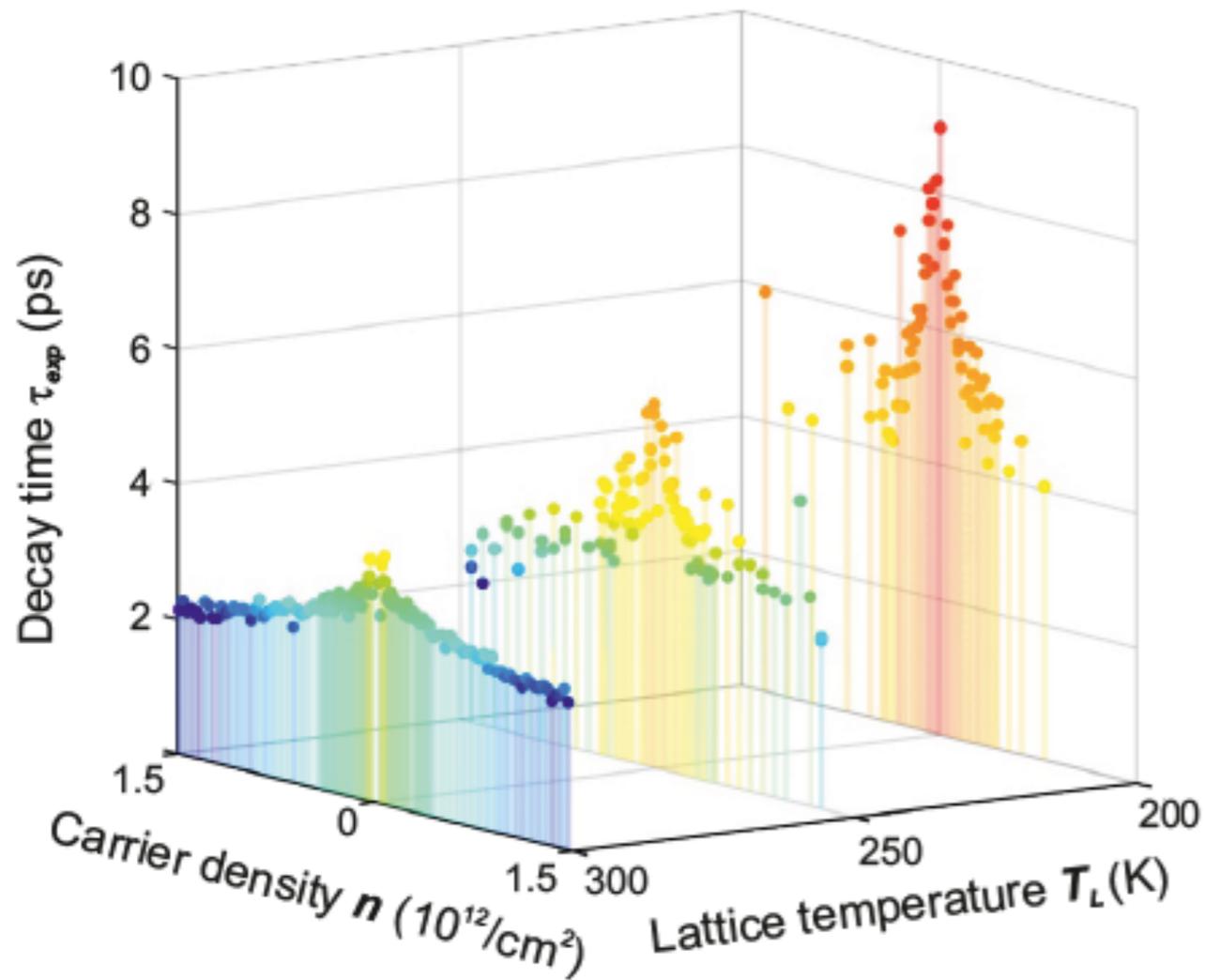


➔ In-plane cooling mechanisms are not consistent with data

# Compare with hyperbolic cooling



# Compare with hyperbolic cooling



➔ Cooling to hyperbolic hBN phonon polaritons reproduces the trends

# Hyperbolic cooling

$$Q = \iiint_{-\infty}^{\infty} \frac{d\omega dk_x dk_y}{(2\pi)^3} [n_B(\omega, T_e) - n_B(\omega, T_L)] M(\omega, k)$$

*Energy transfer rate*



Marco Polini



Mark Lundeborg



Alessandro Principi

Principi et al, *PRL* 118, 126804 (2017)

# Hyperbolic cooling

$$Q = \iiint_{-\infty}^{\infty} \frac{d\omega dk_x dk_y}{(2\pi)^3} [n_B(\omega, T_e) - n_B(\omega, T_L)] M(\omega, k)$$

Energy transfer rate

$$M(\omega, k) = 4 \frac{\mathcal{R}\{Y(\omega, k)\} \mathcal{R}\{\sigma(\omega, k)\}}{|Y(\omega, k) + \sigma(\omega, k)|^2}$$

Impedance matching function  
between graphene electrons and  
hBN phonon polaritons

➔ No adjustable fit parameters



Marco Polini



Mark Lundberg



Alessandro Principi

Principi et al, *PRL* 118, 126804 (2017)

# Hyperbolic cooling

$$Q = \iiint_{-\infty}^{\infty} \frac{d\omega dk_x dk_y}{(2\pi)^3} [n_B(\omega, T_e) - n_B(\omega, T_L)] M(\omega, k)$$

*Energy transfer rate*

$$\Gamma_{\text{cool}} = \left. \frac{\partial Q}{\partial T_e} \right|_{T_e=T_L}$$

*Interfacial heat conductivity*

$$\tau_{\text{calc}}^* = C_n / \Gamma_{\text{cool}}$$

*Near-equilibrium cooling time*



Marco Polini



Mark Lundeberg



Alessandro Principi

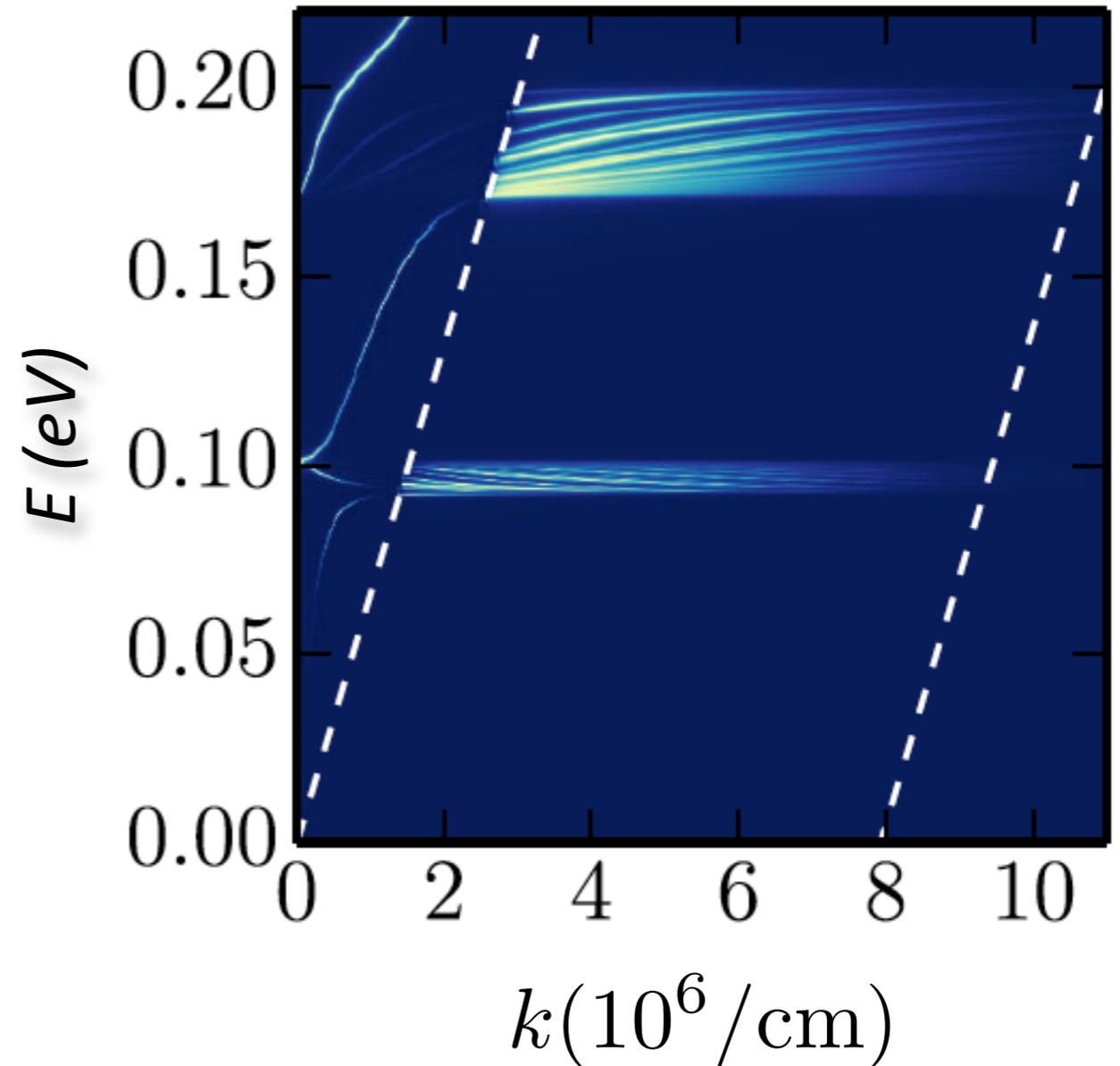
Principi et al, *PRL* 118, 126804 (2017)

# Hyperbolic cooling

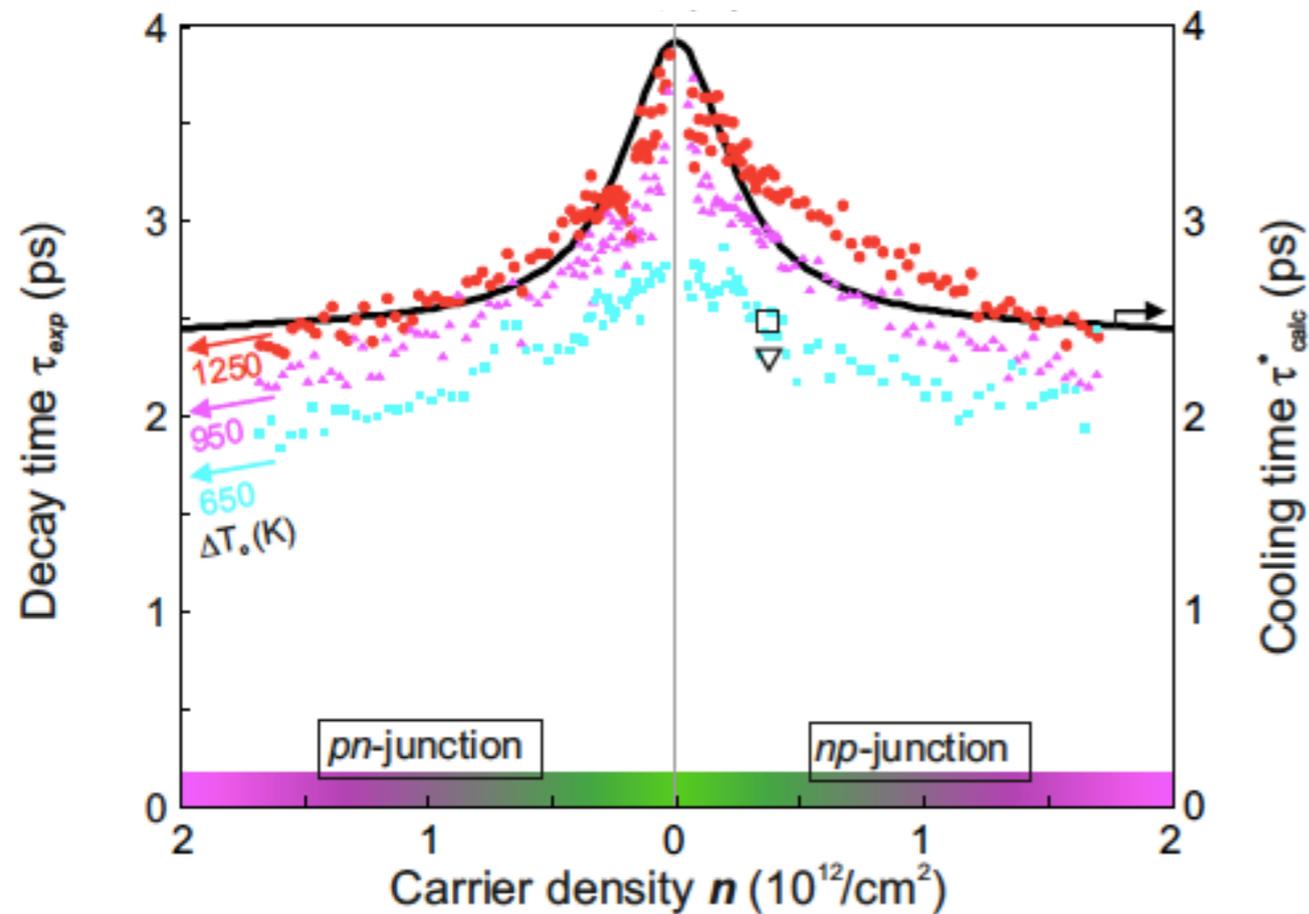
$$Q = \iiint_{-\infty}^{\infty} \frac{d\omega dk_x dk_y}{(2\pi)^3} [n_B(\omega, T_e) - n_B(\omega, T_L)] M(\omega, k)$$

$$M(\omega, k) = 4 \frac{\mathcal{R}\{Y(\omega, k)\} \mathcal{R}\{\sigma(\omega, k)\}}{|Y(\omega, k) + \sigma(\omega, k)|^2}$$

Impedance matching function  
between graphene electrons and  
hBN phonon polaritons

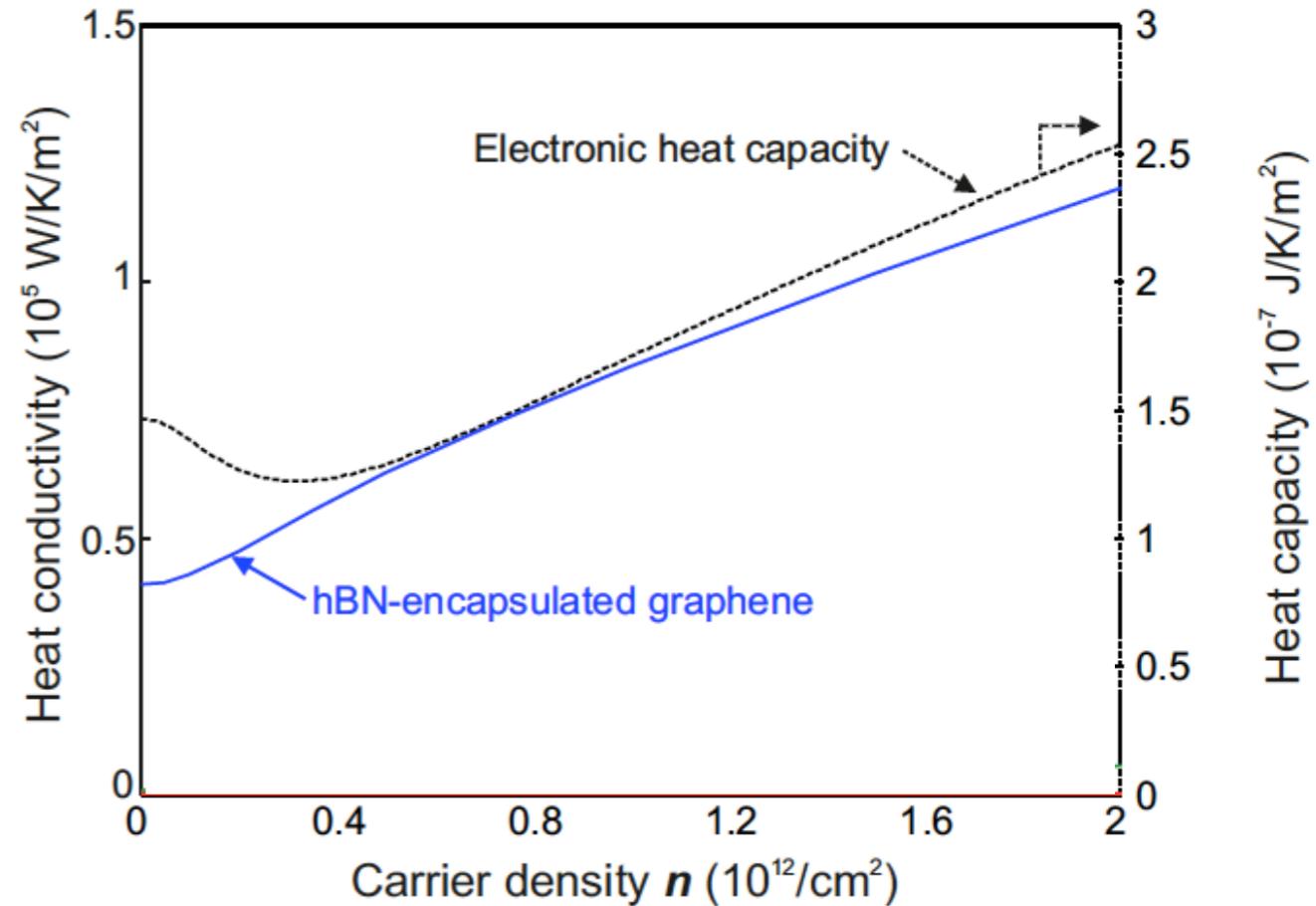
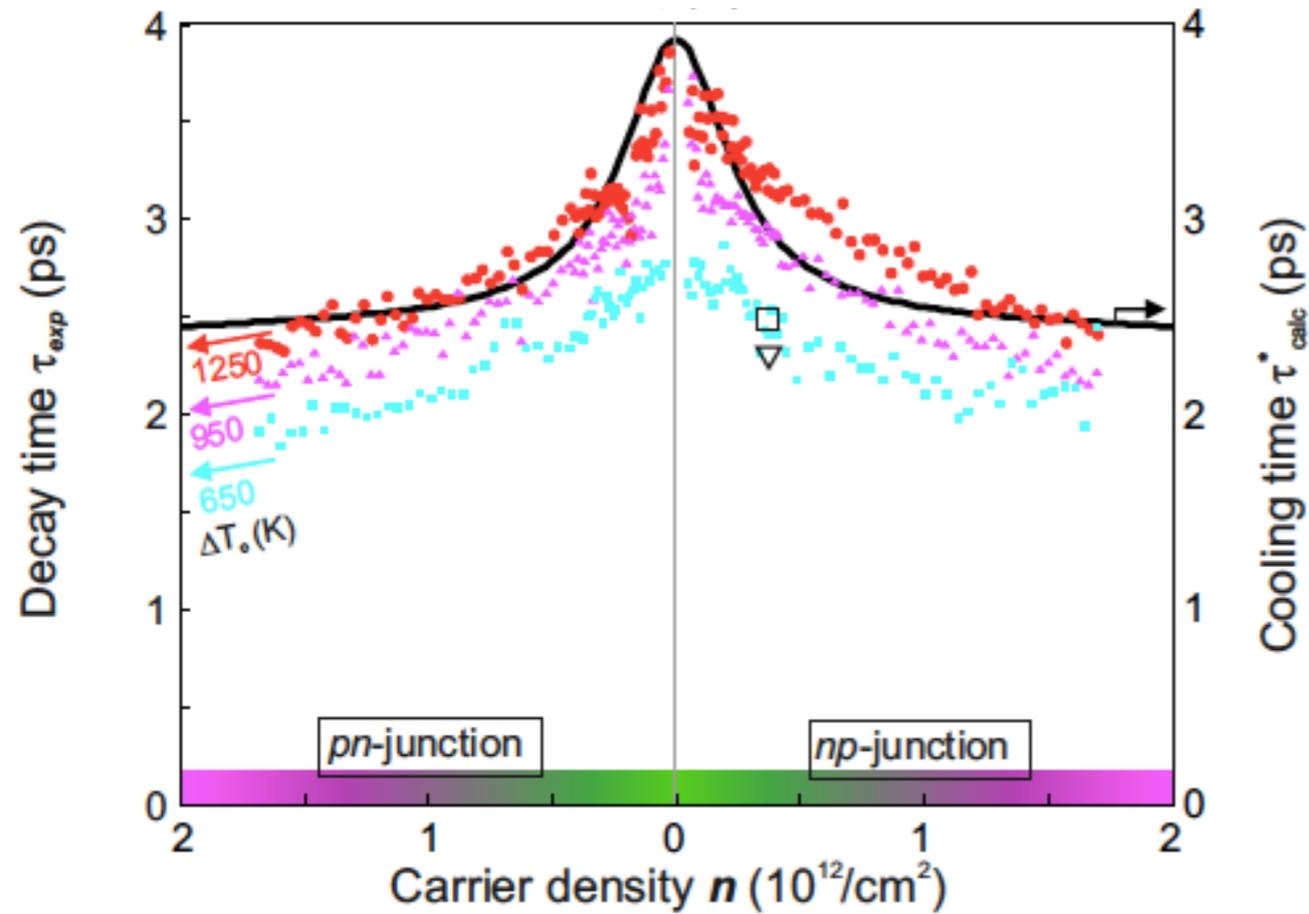


# Quantitative comparison



- Origin of the low-density peak?
- Effect of laser power?

# Quantitative comparison



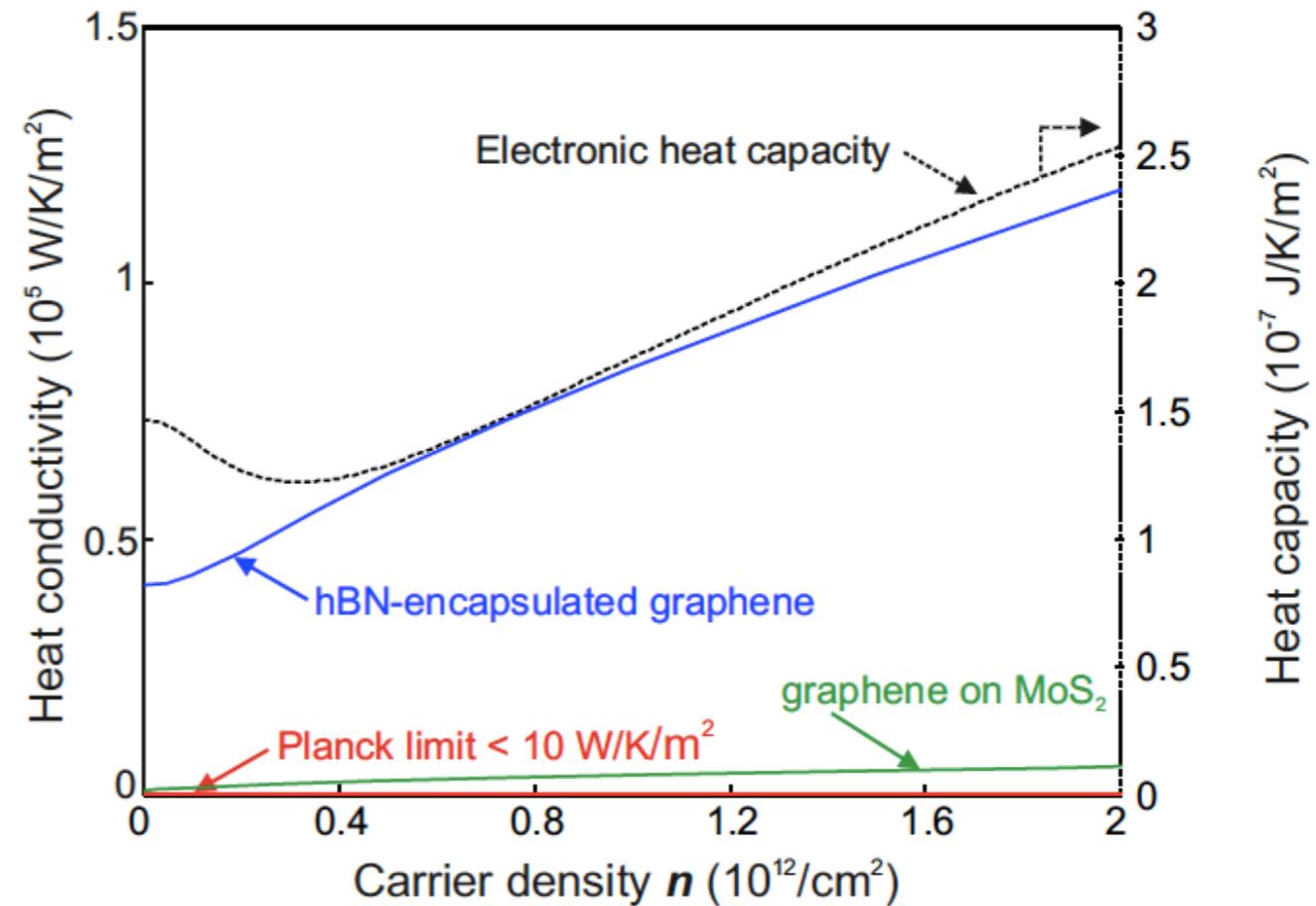
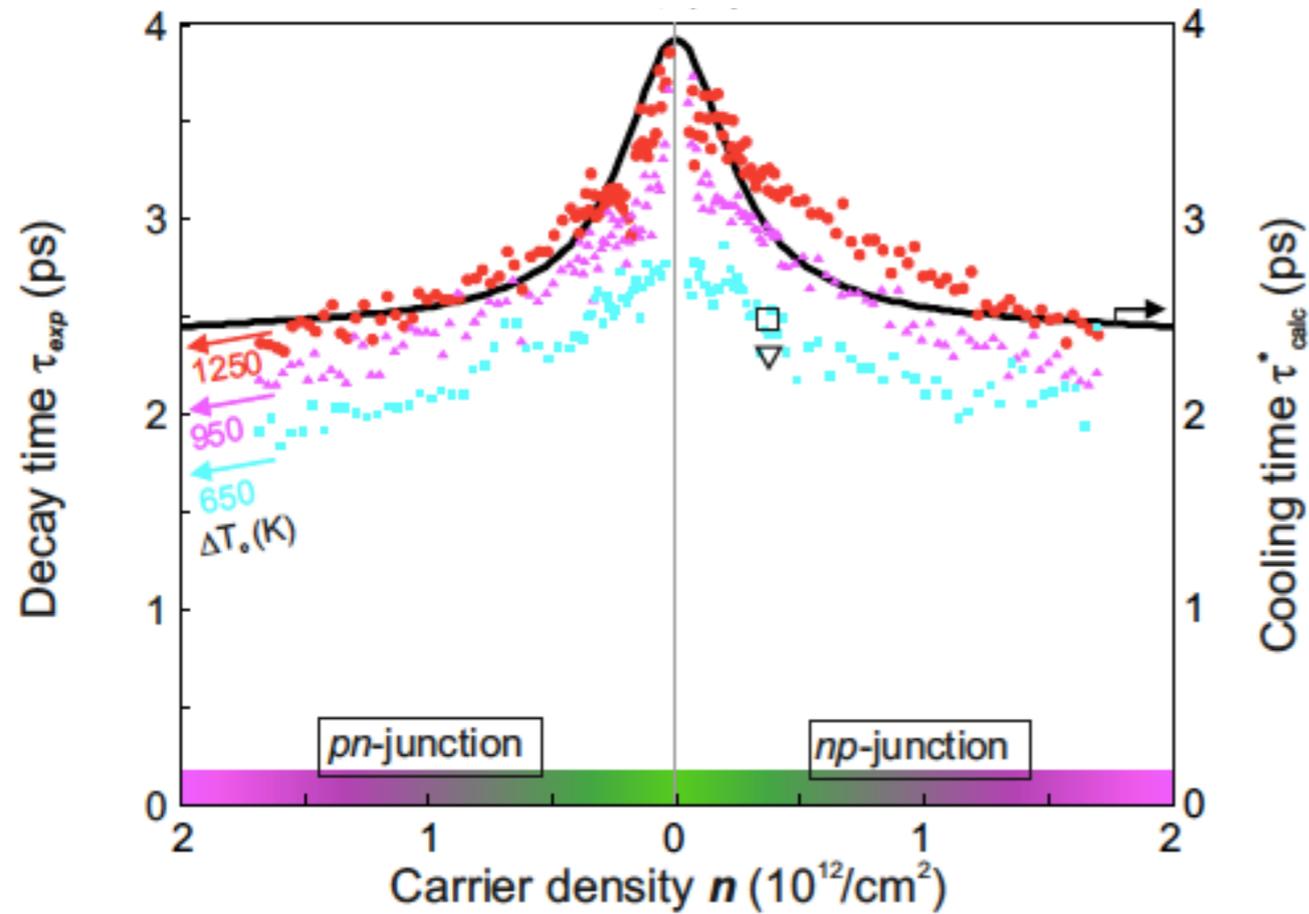
- Origin of the low-density peak?

$$\tau_{\text{calc}}^* = C_n / \Gamma_{\text{cool}}$$

$$\Gamma_{\text{cool}} = \left. \frac{\partial Q}{\partial T_e} \right|_{T_e = T_L}$$

*Interfacial heat conductivity*

# Quantitative comparison



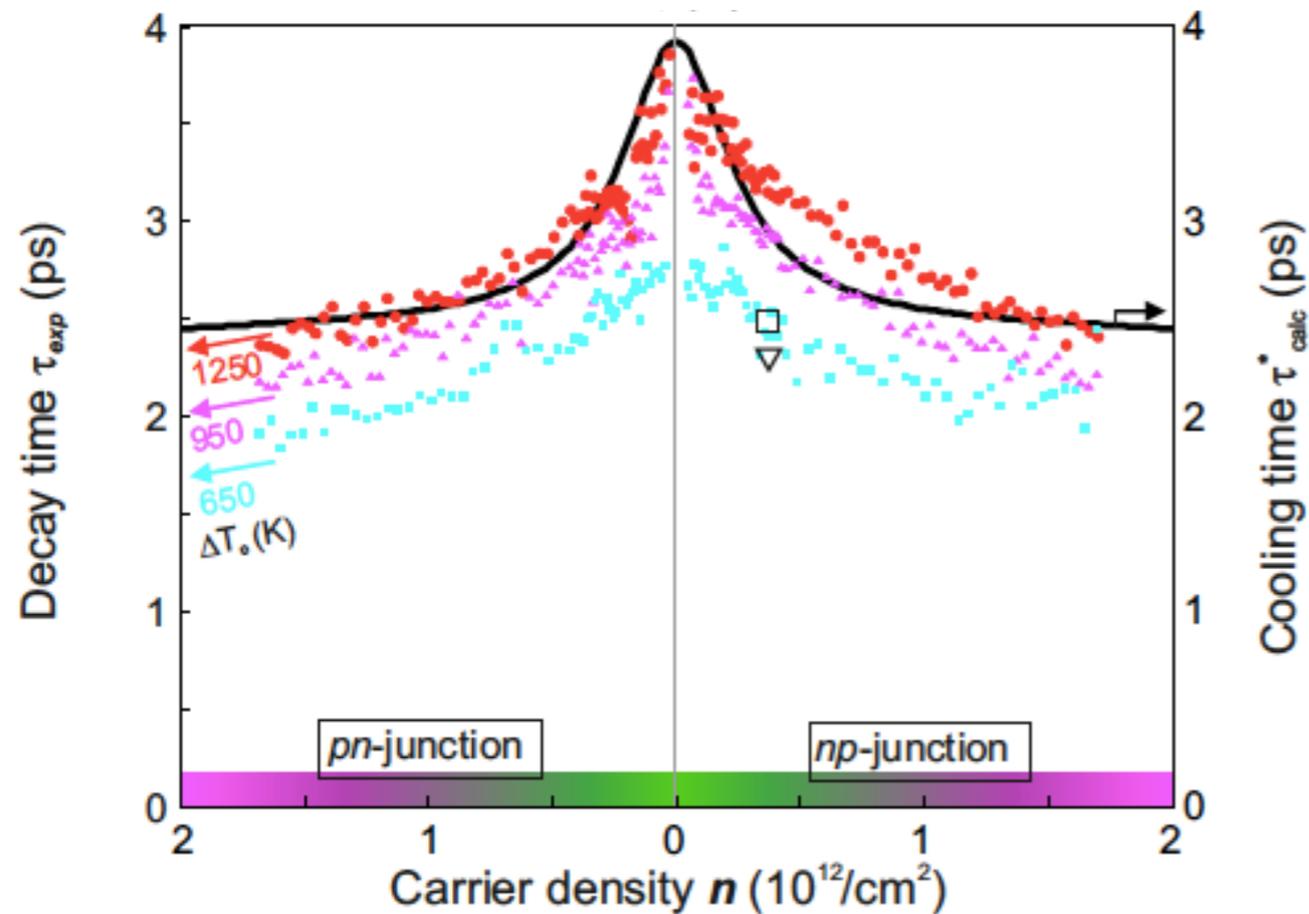
- Origin of the low-density peak?

$$\tau_{calc}^* = C_n / \Gamma_{cool}$$

$$\Gamma_{cool} = \left. \frac{\partial Q}{\partial T_e} \right|_{T_e = T_L}$$

*Interfacial heat conductivity*

# Quantitative comparison



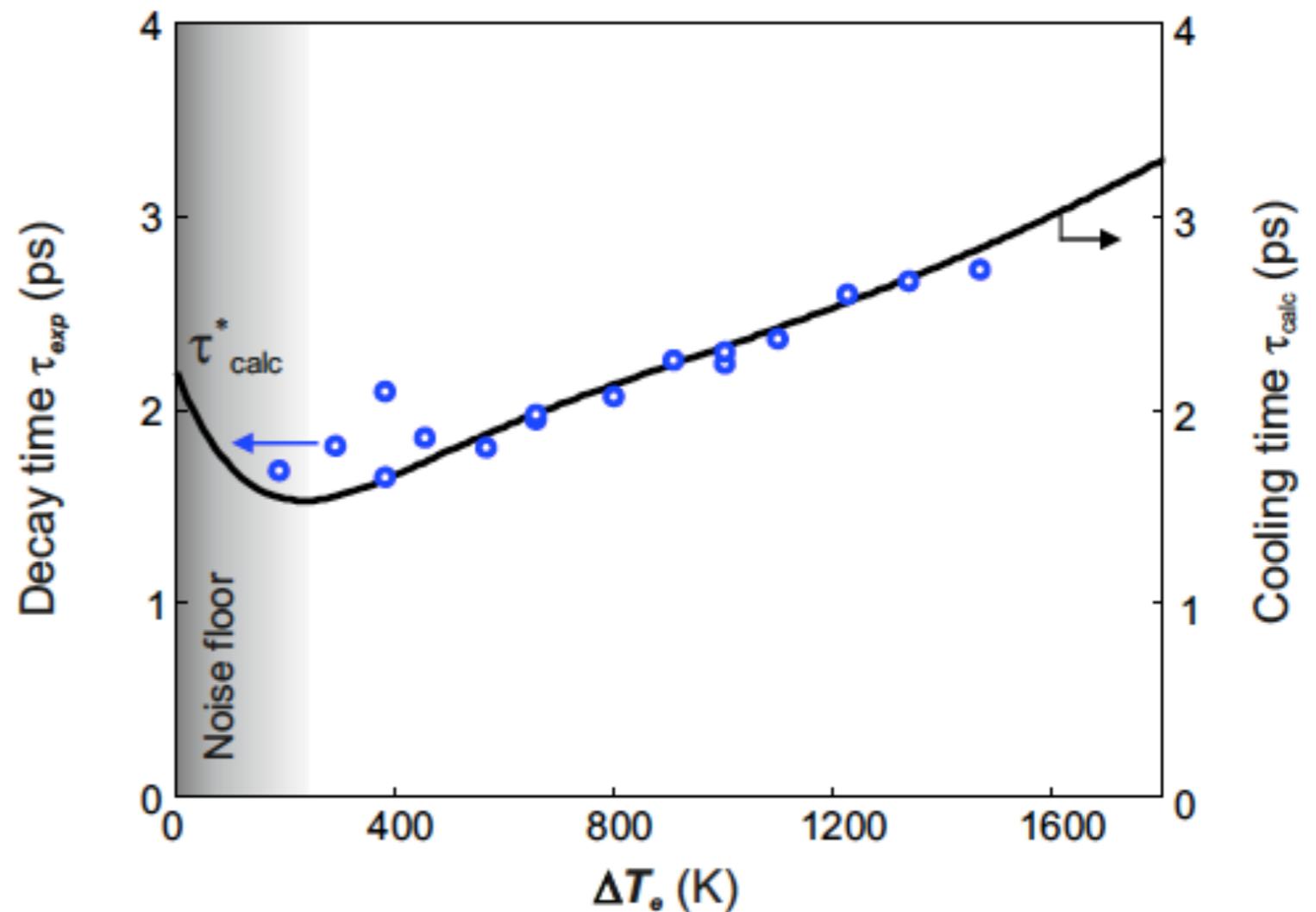
- Origin of the low-density peak?
- **Effect of laser power?**

# Quantitative comparison

$$\tau_{\text{calc}}(T_e, T_L) = C_n \frac{T_e - T_L}{Q}$$

Cooling time in overheating regime

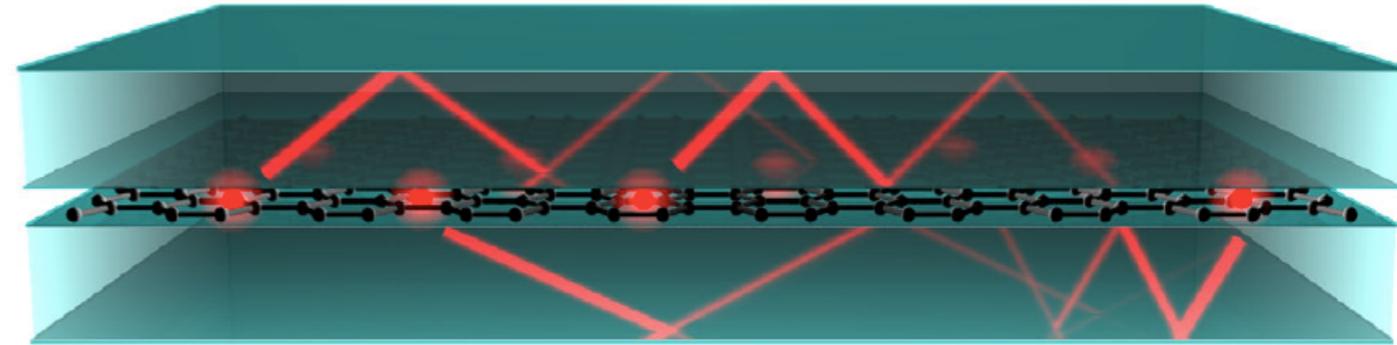
Principi et al, *PRL* 118, 126804 (2017)



- ➔
- Longer cooling time with increasing laser power
  - Consistent with hyperbolic phonon cooling

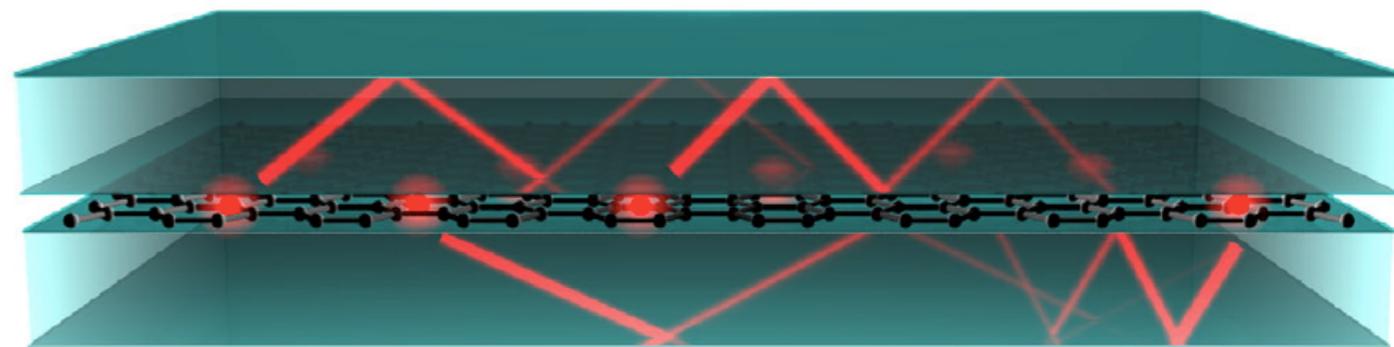
# Summary

Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**

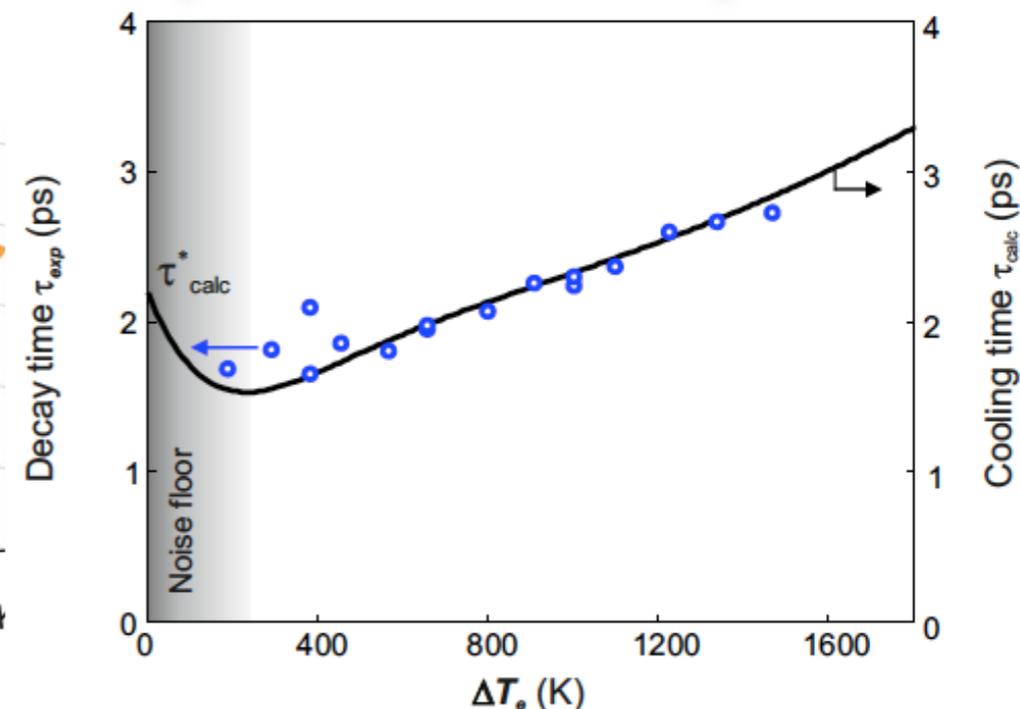
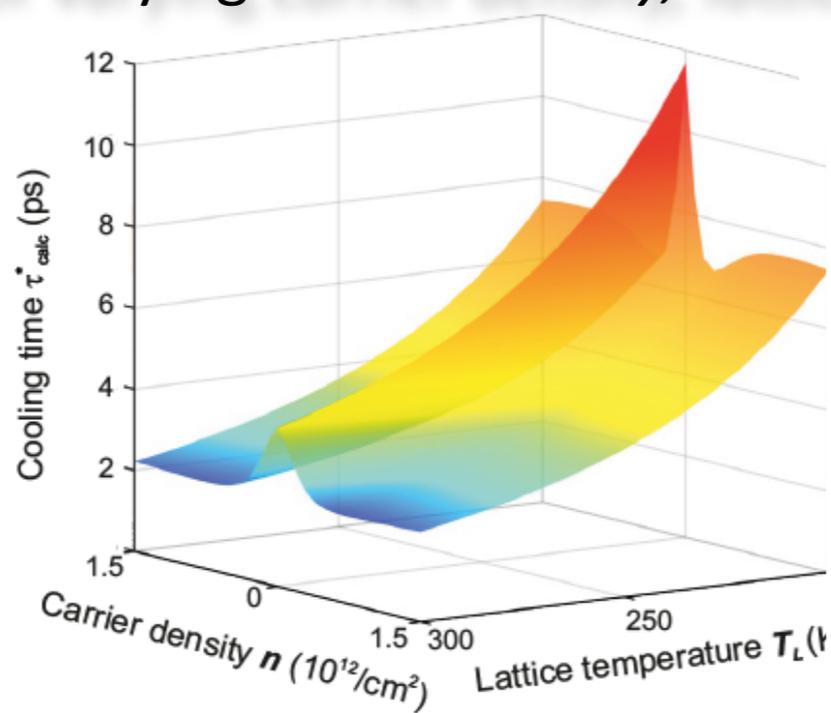
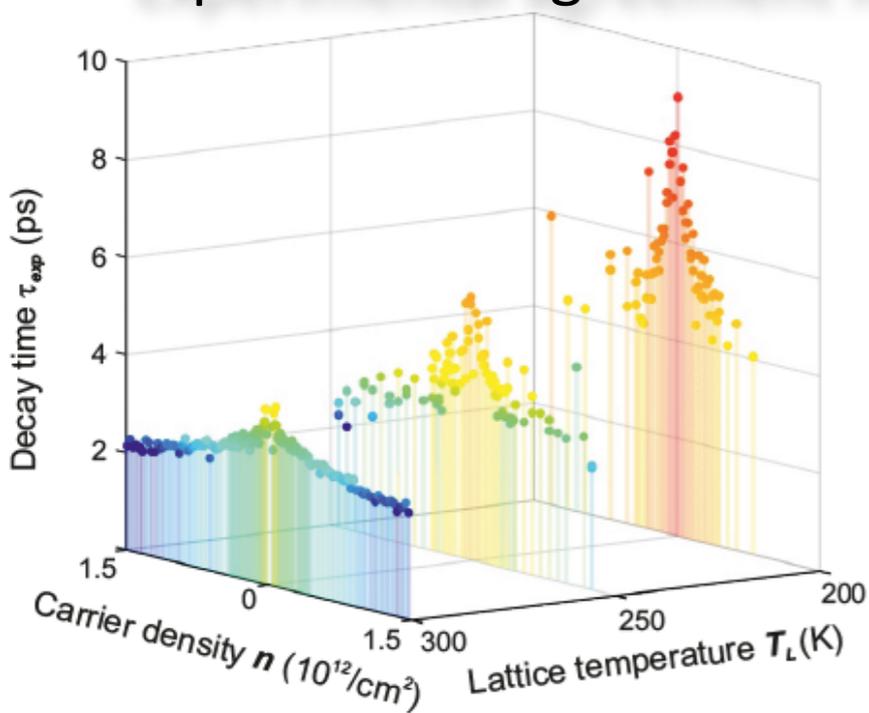


# Summary

Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**

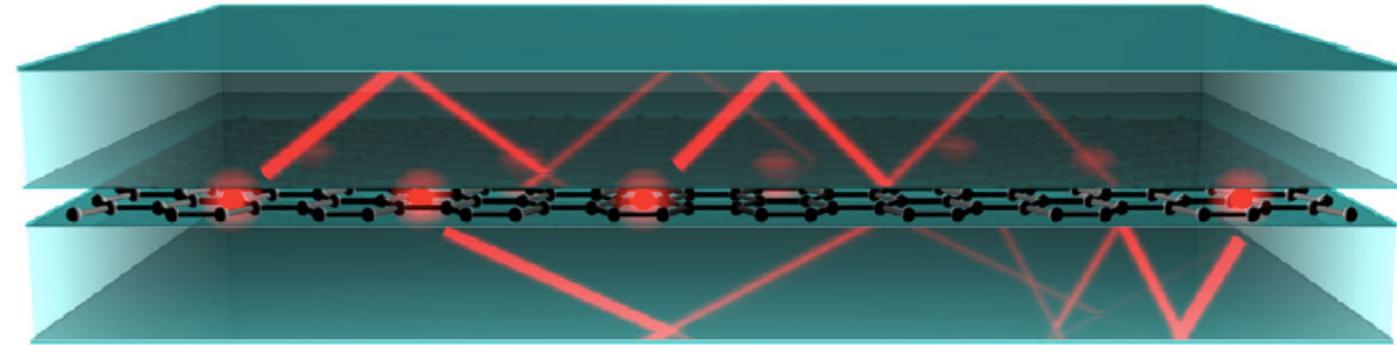


Experimental agreement for varying *carrier density, lattice temperature and laser power*



# Outlook

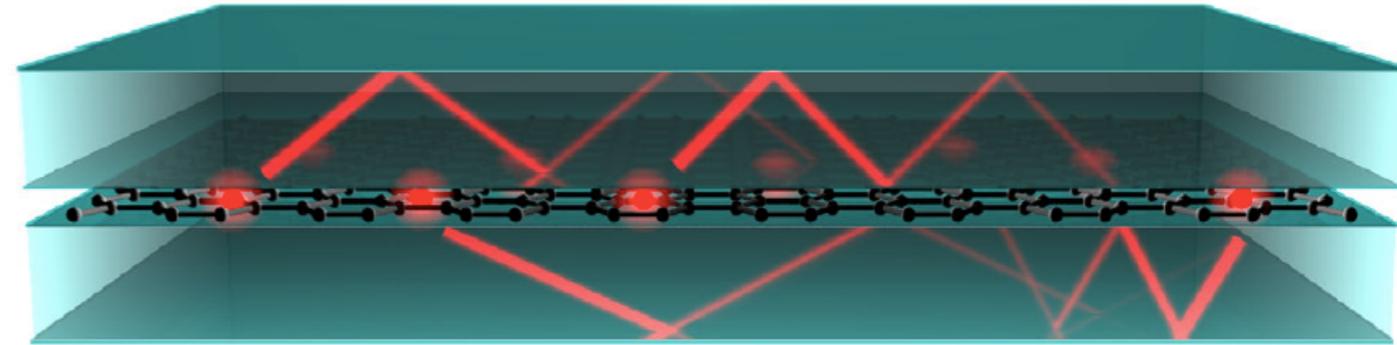
Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**



➔ **Thermal management: ideal substrate = heat sink!**

# Outlook

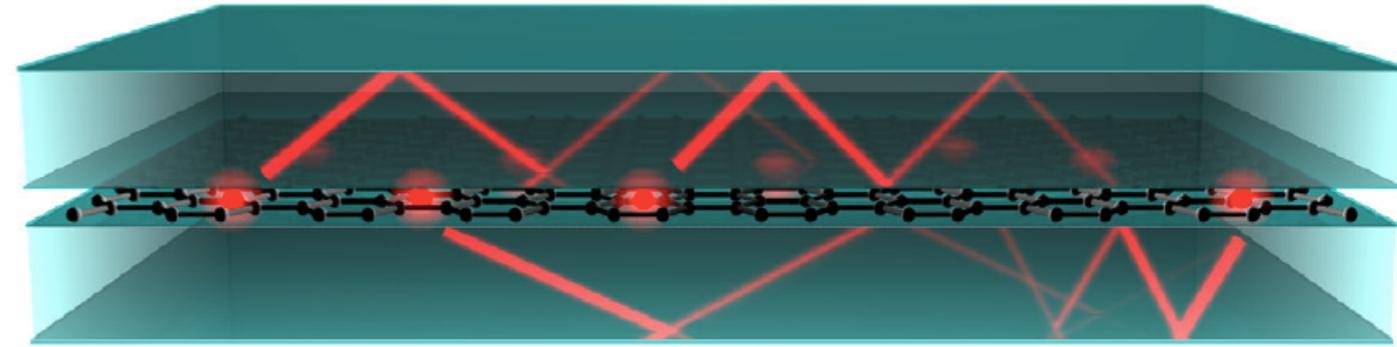
Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**



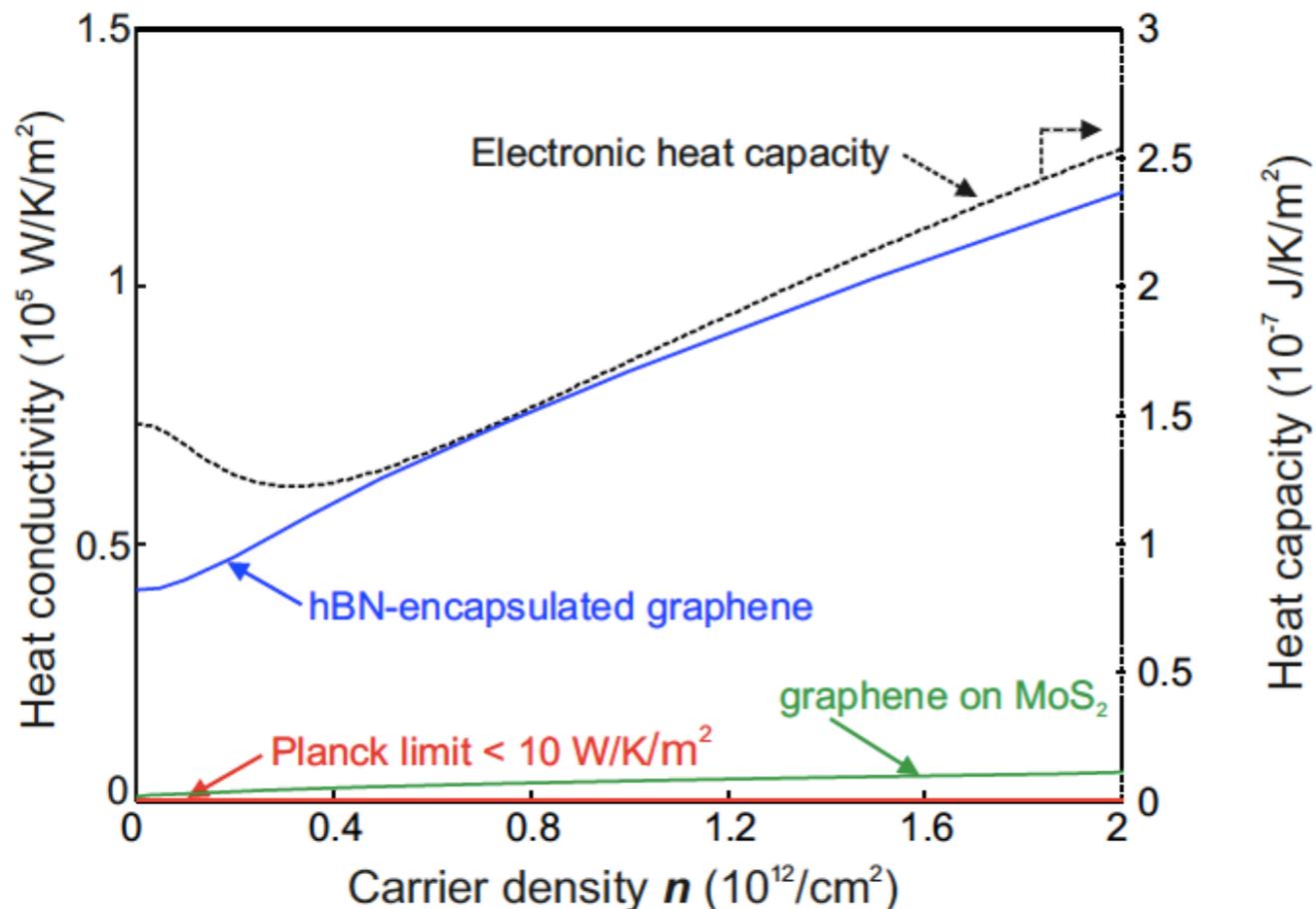
➔ **Photodetectors: electron cooling?**

# Outlook

Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**

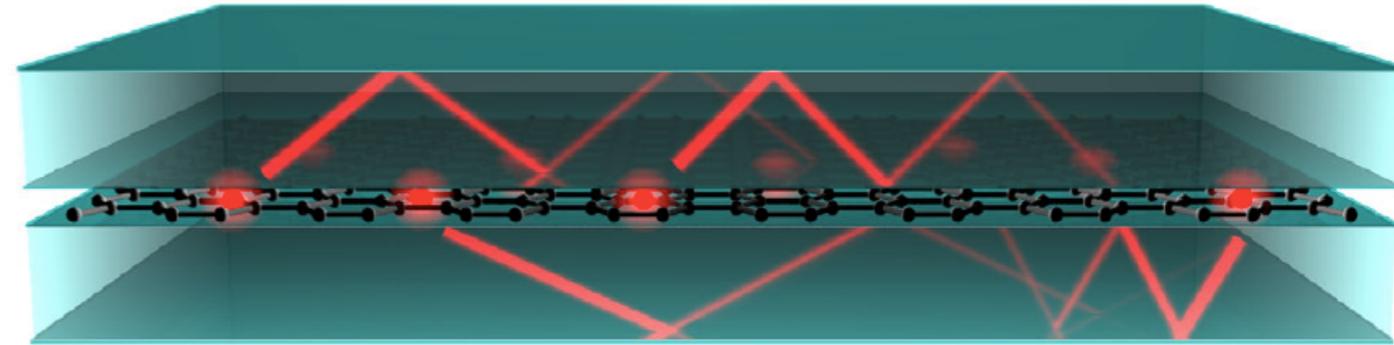


➔ **Photodetectors: electron cooling?**

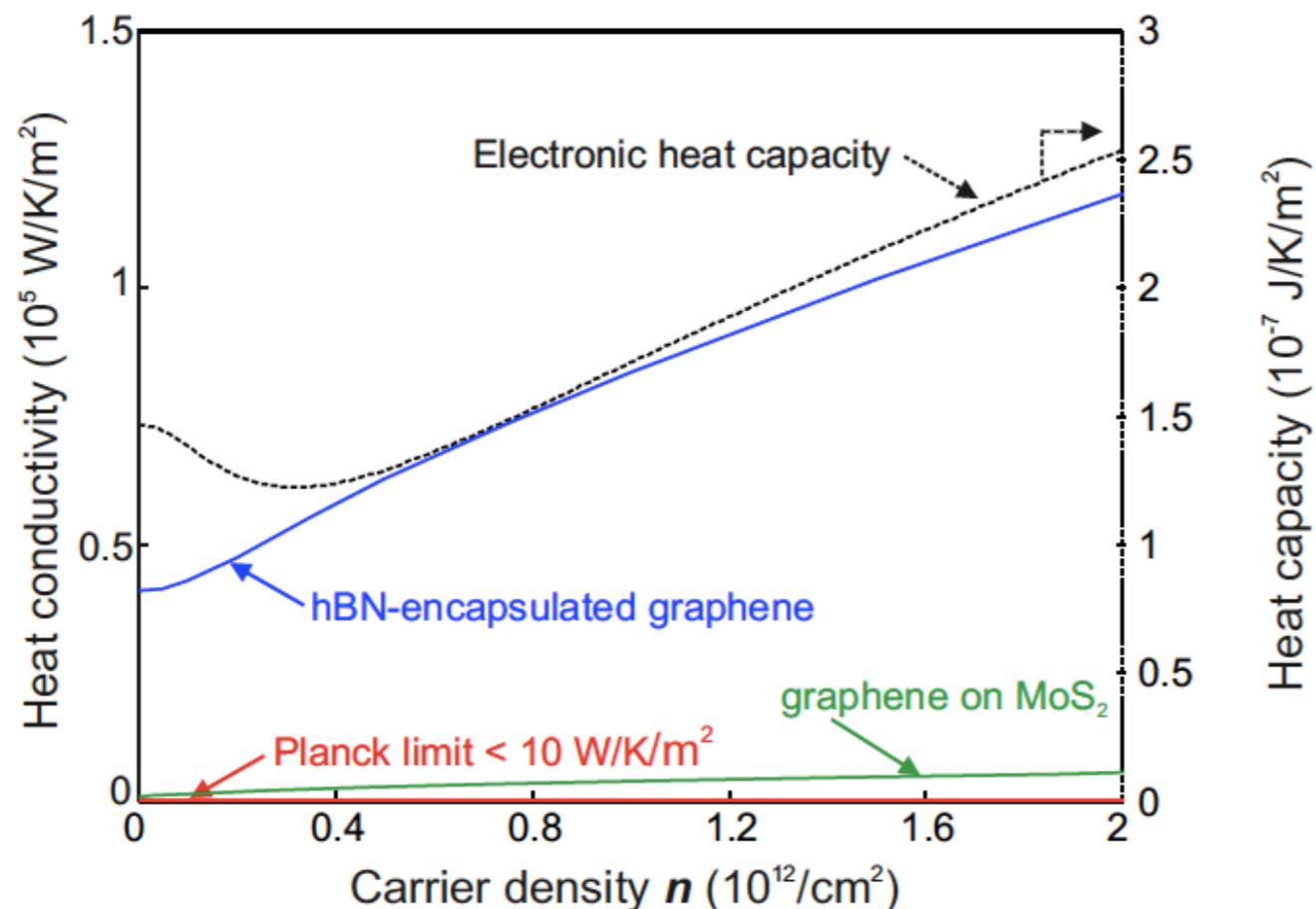


# Outlook

Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**



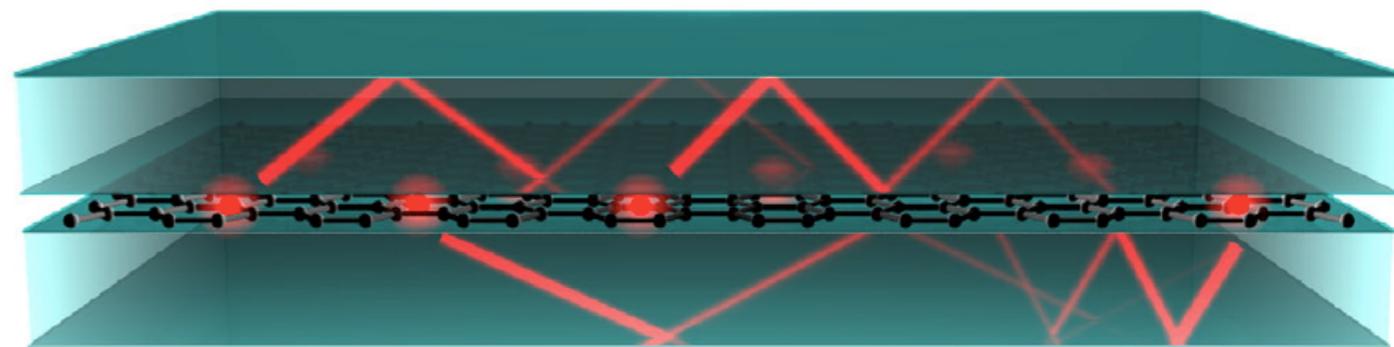
➔ **Photodetectors: electron cooling?**



➔ **Different encapsulant!**

# Summary

Graphene encapsulated by hBN:  
Cooling of **hot graphene carriers**  
through **out-of-plane heat transfer**  
to **hBN hyperbolic phonons**



Experimental agreement for varying *carrier density, lattice temperature and laser power*

